EXHIBIT A

EXHIBIT A

UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

SECURITIES INVESTOR PROTECTION CORPORATION,

Plaintiff-Applicant,

v.

BERNARD L. MADOFF INVESTMENT SECURITIES LLC,

Defendant.

In re

BERNARD L. MADOFF,

Debtor.

IRVING H. PICARD, Trustee for the Substantively Consolidated SIPA Liquidation of Bernard L. Madoff Investment Securities LLC and Bernard L. Madoff,

Plaintiff,

v.

FRANK J. AVELLINO, et al.,

Defendants.

Adv. Pro. No. 08-01789 (CGM)

SIPA LIQUIDATION

(Substantively Consolidated)

Adv. Pro. No. 10-05421 (CGM)

DECLARATION OF KATHRYN ZUNNO-FREANEY IN SUPPORT OF THE TRUSTEE'S MEMORANDUM OF LAW IN OPPOSITION TO DEFENDANTS' MOTION TO AMEND FINDINGS OF THE MEMORANDUM DECISION AND OBJECTION TO PROPOSED ORDER

- I, Kathryn Zunno-Freaney, declare the following:
- 1. I am a Partner with the law firm of Baker & Hostetler LLP, counsel to Irving H. Picard, as trustee ("Trustee") for the substantively consolidated liquidation of the business of Bernard L. Madoff Investment Securities LLC ("BLMIS") under the Securities Investor

Protection Act, 15 U.S.C. §§ 78aaa–*lll*, and the chapter 7 estate of Bernard L. Madoff. I submit this Declaration in support of the Trustee's Memorandum of Law in Opposition to Defendants' Motion to Amend Findings of the Memorandum Decision and Objection to Proposed Order, filed on July 27, 2022. (ECF No. 271.)

- 2. Attached hereto as Exhibit 1 is a true and correct copy of Customer Claim No. 12830, received June 29, 2009, signed "Frank Avellino, Gen Ptr" in connection with BLMIS Account No 1ZB509 in the name of "Aster Associates, Frank Avellino, Nancy Carroll Avellino General Partners" with the same customer name provided on the customer claim. (MWPTAP00535118-40.)
- 3. Attached hereto as Exhibit 2 is a true and correct copy of Customer Claim No. 13050, received June 29, 2009, signed "Nancy Carroll Avellino TRUSTEE" in connection with BLMIS Account No. 1ZB509 in the name of "Aster Associates, Frank Avellino, Nancy Carroll Avellino General Partners" with the customer name provided on the customer claim as Heather Lowles Trust. (MWPTAP00539300-5.)
- 4. Attached hereto as Exhibit 3 is a true and correct copy of Customer Claim No. 13041, received June 29, 2009, signed "Frank J. Avellino TRUSTEE" in connection with BLMIS Account No. 1ZB509 in the name of "Aster Associates, Frank Avellino, Nancy Carroll Avellino General Partners" with the customer name provided on the customer claim as Madison McEvoy Trust. (MWPTAP00539185-8.)
- 5. Attached hereto as Exhibit 4 is a true and correct copy of Customer Claim No. 13048, received June 29, 2009, signed "Nancy Carroll Avellino TRUSTEE" in connection with BLMIS Account No. 1ZB509 in the name of "Aster Associates, Frank Avellino, Nancy Carroll Avellino General Partners" with the customer name provided on the customer claim as Melanie Lowles Trust. (MWPTAP00539288-93.)

- 6. Attached hereto as Exhibit 5 is a true and correct copy of Customer Claim No. 13039, received June 29, 2009, signed "Nancy Carroll Avellino TRUSTEE" in connection with BLMIS Account No. 1ZB509 in the name of "Aster Associates, Frank Avellino, Nancy Carroll Avellino General Partners" with the customer name provided on the customer claim as Rachel Anne Rosenthal Trust. (MWPTAP00539177-80.)
- 7. Attached hereto as Exhibit 6 is a true and correct copy of Customer Claim No. 13038, received June 29, 2009, signed "Nancy Carroll Avellino TRUSTEE" in connection with BLMIS Account No. 1ZB509 in the name of "Aster Associates, Frank Avellino, Nancy Carroll Avellino General Partners" with the customer name provided on the customer claim as Rachel Anne Rosenthal #3 Trust. (MWPTAP00539173-6.)
- 8. Attached hereto as Exhibit 7 is a true and correct copy of Customer Claim No. 13040, received June 29, 2009, signed "Rachel Anne Rosenthal" in connection with BLMIS Account No. 1ZB509 in the name of "Aster Associates, Frank Avellino, Nancy Carroll Avellino General Partners" with the customer name provided on the customer claim as Rachel Anne Rosenthal. (MWPTAP00539181-4.)
- 9. Attached hereto as Exhibit 8 is a true and correct copy of Customer Claim No. 13042, received June 29, 2009, signed "Frank J. Avellino TRUSTEE" in connection with BLMIS Account No. 1ZB509 in the name of "Aster Associates, Frank Avellino, Nancy Carroll Avellino General Partners" with the customer name provided on the customer claim as Taylor McEvoy Trust. (MWPTAP00539189-92.)
- 10. Attached hereto as Exhibit 9 is a true and correct copy of Customer Claim No.13534, received June 30, 2009, signed "Thomas Avellino" in connection with BLMIS AccountNo. 1ZB509 in the name of "Aster Associates, Frank Avellino, Nancy Carroll Avellino General

Partners" with the customer name provided on the customer claim as Thomas Avellino. (MWPTAP00551387-91.)

- 11. Attached hereto as Exhibit 10 is a true and correct copy of Customer Claim No. 13049, received June 29, 2009, signed "Nancy Carroll Avellino TRUSTEE" in connection with BLMIS Account No. 1ZB509 in the name of "Aster Associates, Frank Avellino, Nancy Carroll Avellino General Partners" with the customer name provided on the customer claim as Tiffany Lowles Trust. (MWPTAP00539294-9.)
- 12. Attached hereto as Exhibit 11 is a true and correct copy of Customer Claim No. 12842, received June 29, 2009, signed "Frank Avellino" in connection with BLMIS Account No 1ZB046 in the name of "Grosvenor Partners, Ltd. c/o Frank Avellino" with the same customer name provided on the customer claim. (MWPTAP00535394-404.)
- 13. Attached hereto as Exhibit 12 is a true and correct copy of Customer Claim No. 12841, received June 29, 2009, signed "Frank Avellino, Gen Ptr" in connection with BLMIS Account No. 1ZB032 in the name of "Mayfair Ventures c/o Frank Avellino" with the same customer name provided on the customer claim. (MWPTAP00535383-93.)
- 14. Attached hereto as Exhibit 13 is a true and correct copy of Customer Claim No. 3865, dated February 27, 2009, signed "Michael Bienes" and "Dianne Bienes" in connection with BLMIS Account No. 1ZB510 in the name of "St. James Associates, Michael Bienes, Diane Bienes General Partners" with the same customer name provided on the customer claim. (MWPTAP00259662-85.)
- 15. Attached hereto as Exhibit 14 is a true and correct copy of Customer Claim No. 12840, received June 29, 2009, signed "Frank Avellino" in connection with BLMIS Account No. 1ZA879 in the name of "Kenn Jordan Associates c/o Frank Avellino" with the same customer name provided on the customer claim. (MWPTAP00535372-82.)

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16. Attached hereto as Exhibit 15 is a true and correct copy of Customer Claim No.

13035, received June 29, 2009, signed "Nancy Carroll Avellino TRUSTEE" in connection with

BLMIS Account No. 1ZA879 in the name of "Kenn Jordan Associates c/o Frank Avellino" with

the customer name provided on the customer claim as Rachel Anne Rosenthal Trust.

(MWPTAP00539156-62.)

17. Attached hereto as Exhibit 16 is a true and correct copy of Customer Claim No.

12824, received June 29, 2009, signed "Frank Avellino" in connection with BLMIS Account No.

1ZB249 in the name of "Mayfair Bookkeeping Serv. Inc. Mayfair Pension Plan c/o Frank

Avellino" with the same customer name provided on the customer claim. (MWPTAP00535036-

58.)

18. Attached hereto as Exhibit 17 is a true and correct copy of Customer Claim No.

810, received January 21, 2009, signed "Dianne K. Bienes" in connection with BLMIS Account

No. 1B0018 in the name of "Diane K. Bienes" with the same customer name provided on the

customer claim. (MWPTAP00084058-63.)

Pursuant to 28 U.S.C. § 1746, I hereby declare under penalty of perjury that the foregoing

statements are true and correct.

Dated: August 3, 2022

New York, New York

Respectfully submitted,

BAKER & HOSTETLER LLP

By: /s/ Kathryn Zunno-Freaney

Kathryn Zunno-Freaney

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EXHIBIT 1

08-01789-cgm	Doc 22077-1	Filed 08/0 Pg 8 d		ed 08/03/22 23:1:	3:33 Ex. A
			MER CLAIM	Bernard L. Madoff Invest Case No 08-01789-BRL U.S. Bankruptcy Court for the Claim Number:	
				Date Re	eceived
	BERNARD L.	MADOFF II	NVESTMENT	SECURITIES LL	c received
	* .	In L	iquidation		JUN 29 2009
		DECEM	BER 11, 2008	3	
Irving I	H. Picard, Esq.		Provide you	r office and home	telephone no.
Trustee for Bernard L. M Claims Pr 2100 McKin	adon investment Sec rocessing Center ney Ave., Suite 800	curities LLC	OFFICE:_	Redacted	
Dalla	s, TX 75201		HOME:	Red	
Account Number: 1ZB	509	; ;	TaxpaverL	D. Number (Socia サメタナ	l Security No.)
ASTER ASSOCIATES FRANK AVELLINO, NANG AVELLINO GENERAL PA	CY CARROLL RTNERS			·	
Redac		1	•		
(If incorrect,	please change)				
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C.	If you wish to	repay the Debit Ba	alance.							
	please insert the amount you wish to repay and									
	•	c payable to "Irving	. ,							
		rnard L. Madoff In		•						
	If you wish to I	make a payment,	it must be enc	losed						
	with this claim			\$	0					
d.	If balance is ze	ero, insert "None."		No	NE					
2. Clại	m for securities	as of December 1	1, 2008:							
PLEASE DO	NOT CLAIM AN	IY SECURITIES Y	OU HAVE IN Y	OUR POSSE	SSION.					
,			<u> Y</u>	ES	NO					
/ `` а .	The Broker ow	es me securities		<u> </u>						
b.	I owe the Brok	er securities	-		<u> </u>					
C.	If yes to either	, please list below	:							
Date of Transaction (trade date)		Name of Security		Number of Face Amount The Broker Owes Me (Long)	Shares or nt of Bonds I Owe the Broker (Short)					
Nov 2008	SEE DA1	ATTACHED S	TATEMENTS / 30, Loo8.	· ·						
claim and she Please enclosale confirmations any other do assistance in documentations fers, etc.	norten the time se, if possible, ations and checkers are tone and checkers are tone and the tone are tone as cares.) of your deposite the tone are	speed the revieved required to delicopies of your last which relate to uch as correspoyour claim. In acelled checks, resits of cash or station. You shou	ver your secun st account stat o the securities ndence, which particular, you eceipts from the ecurities with	rities and case ement and pu s or cash you n you believe ou should p ne Debtor, pro the Debtor fi	sh to you. Irchase or claim, and will be of rovide all oof of wire rom as far					

information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		YES	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	A	
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	·	_/_
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	* · · · · · · · · · · · · · · · · · · ·	

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9.	Have you or any me ever filed a claim ur Investor Protection so, give name of the	Act of 1970? if		<u>/</u>
	Please list the full n preparation of this of 190 56 (9 Au	ame and address of anyor claim form: <u>Rebecca L. M.</u> (C. Pompand Beach	ne assisting you in the Nonough, CPA clo Ah	hearn Jasco + Co.
-	-	ount of your claim, you ma aim is an estimated claim.	y file an estimated clai	m. In that

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM.
CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR

IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF. *

Date Jane 25, 2009	Signature Tank	Cuillani	Gen Ptr
Date	Signature	,	
	Olginataro		

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

*Customer reserves the right to amend the claim for any purpose

4

ASTER ASSOCIATES

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061

> PERDOD ENDING 11/30/08

PAGE 1

FRANK AVELLING, NANCY CARROLL AVELLING GENERAL PARTNERS Redacted

YOUR ACCOUNT HUMBER 1-ZBJ09-3-0 YOUR TAX PAYER IDENTIFICATION NUMBER *****4594

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				BALANCE FORWARD		929,458.62	
11/06	1,827 3,248		11109	APPLE INC ABBOTT LATEURATORIES	105•380 55•090	192,602-26	
11/06	2,233		11579	AMGEN INC	60-350	134,850.55	
12/06	1,624		11814	BUEING CO	51-120	83,082.83	
11/06	10,556	-	12049	BANK OF APPERICA	23.840	252,077.04	•
11/06	1,218		12284	BAXTER INTERNATIONAL INC	60.600	73,858.80	
11/06	2,436		12519	BANK OF NEW YORK MELLON CORP	32-290	78,755.44	
11/06	4,050		12754	BRISTUL MYERS SQUIBB COMPANY	20.520	83,638.60	
11/06	1,421		12989	ANHEUSER BUSCH COS INC	62.430	38,769.03	
11/06	11,368		13224	CITI GROUP INC	13.550	154,263.04	
11/06	5,090	-	13459	COMCAST CORP	15.790	96,404-10	
				CL A			e ^c
11/06	3,248	-	13694	CONOCOPHILIPS	51-120	155,165.76	
11/06	12,383	•	13929	CISCO SYSTEMS INC	17.520	217,445.15	
11/06	3,045		14164	CVS CAREMARK CORP	30-510	93,023.95	
11/06	4,253		14399	CHEVRON CURP	73-740	374,523.62	
11/06	4,060		14634	THE WALT DISNEY CO	24.750	100,687.60	
11/06	21,721	•	14869	GENERAL ELECTRIC CO	19-600	426,599.60	•
11/06	406		15104	GOOGLE	356.520	144,763.12	
11/06	d12		15339	GOLDMAN SAICHS GROUP INC	91-870	74,630-44	
12/06	3,654		15574	HOME DEPOT INC	23-300	35,284.20	•
11/06	5,075		15809	HEWLETT PACKARD CO	38-310	1-94-626-25	
11/06	2,842		15044	INTERNATIONAL BUSINESS MACHS	92.300	253,650.60	
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885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061

Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

BERNARD L. MADOFF MADE New York 🗆 London

> PERIOD ENDING 11/30/03

PAGE 2

ASTER ASSOCIATES FRANK AVELLING, NANCY CARROLL AVELLING GENERAL PARTNERS

Redacted

YOUR ACCOUNT HUMBER 1-28,309-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER *****4594

DATE	BO:UGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TSAN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
1/06	11,571		16279	INTEL CORP	16.070	185,407-97	
1/06	5,887		16514	JCHNSON & JUHNSON	61.310	361,166.97	
1/06	7,714		16749	J.P. MORGAN CHASE & CO	46.910	31.5,887.74	
1/06	3,248		16984	KRAFT FOOD INC	29.110	94,678.28	
1/06	4,060		17219	COCA COLA CO	44•490	180,791.40	
1/06	2,436		17454	MCDONALDS CORP	57-200	141,141.40	
1/06	2,436		17589	MEDTRONIC INC	40-310	93,292-16	
1/06	1,421		17924	3M COMPANY	63.590	90,417.39	
1/06	4,263		18359	ALTRIA GROUP INC	19-160	82,849.03	
1/06	4,466		18394	MERCK & CO	30.780	137,641.48	
1/06	16,443		18529	MICROSOFT CORP	22.310	367,500-33	
1/06	8,323		18864	ORACLE CURPORATION	18-110	151,061.53	
1/06	1,827		19569	OCCIDENTAL PETROLEUM CORP	54-290	9'9,260.83	
1/06	3,248		19304	PEPSICO INC	57	185,265.00	
1.406	14,007		20039	PFIZER INC	17-690	248,343-83	
1./06	6,293		20:274	PROCTER & GAMBLE CO	64.570	405,590-01	
1/06	4,466		20,509	PHILLIP MORRIS INTERNATIONAL	42.730	191,010.18	
1/06	3,451		20744	QUALCOMM INC	37-810	130,620-31	
1/06	2,436		20979	SCHLUMBERGER LTD	51.760	126,184.36	
1/06	12,180		21214	ATET INC	26-980	329,103.40	
1/06	7,511		21449	TIME WARNER INC	10.060	75,860.66	
1/06	2,030	*	21684	UNITED PARCEL SYC INC	52.790	107,244.70	,
- 1	1		ŀ	CLASS B			•
1/06	3,654		21919	U S BANCORP	29.550	103,121-70	
L/06	2,030		22.154	UNITED TECHNOLOGIES CORP	54.920	11.1,568-60	
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BERNARD L. MADOFF
MADF INVESTMENT SECURITIES LLC New York 🗆 Lond on

ASTER ASSOCIATES
FRANK AVELLING MANCY CARROLL
AVELLING GENERAL PARTNERS

Redacted

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061

Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

PERIOD ENDING 11/30/08 PAGE 3

YOUR ACCOUNT NUMBER 1-23509-3-0 YOUR TAX PAYER IMENTIFICATION HUMBER *****4594

BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
5+887 6+902 4+669		22389 2262 4 22859	VERIZON COMMUNICATIONS WELLS FARGO & CO NEW WAL-MART STURES INC	29-980 33-660 56-560	176,727 • 25 232,597 • 32 264,264 • 64	
10,962		23094	FIDELITY SPARTAN U S TREASURY MONEY MARKET	73-680 DIV	808-118-12	2•93
45,014		10874	FIDELITY SPARTAN US TREASURY MONEY MARKET	1	√ 45,014.00	Mark.
_	28,163	48391	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1		₹ 28,163.00
`	750+000	48592	U S TREASURY BILL DUE 12/11/2003 12/11/2008	99-989		749,917.50
	2,075,000	48816	U S TREASURY BILL DUE 12/13/2008	99-932		2,073,589.00
	2,575,000	49025	U S TREASURY BILL DUE 01/08/2009	99.960	,	2,573,970.00
	2 , 575 , 000	49238	U S TREASURY BILL DUE 01/15/2009	99 = 946		2,573,609.50
	2,575,000	49453	U S TREASURY BILL DUE 01/22/2009 1/22/2009	99-934		2,573,300.50
			CONTINUED ON PAGE 4			
	5,887 6,902 4,669 10,962	5,887 6,902 4,669 10,962 45,014 28,163 750,000 2,075,000 2,575,000	5,887 6,902 4,669 10,962 22389 22624 22859 23094 45,014 10874 28,163 48391 750,000 48592 2,075,000 48816 2,575,000 49025 2,575,000 49238	5,887 6,902 4,669 10,962 22859 23094 22859 23094 22859 23094 28,163 29,170,100 20,170,100 20,170,100 20,100	5,887 6,902 4,669 10,962 22859 10,962 2859 10,962 2859 10,962 2859 23094 22859 23094 22859 23094 28,163 29,164 20,	5,887 6,902 4,669 10,962 45,014 22,389 22624 22859 23094 EXXON MOBIL CORP FIDELITY SPARTAN U S TREASURY MONEY MARKET FIDELITY SPARTAN U S TREASURY MONEY MARKET FIDELITY SPARTAN U S TREASURY MONEY MARKET FIDELITY SPARTAN U S TREASURY MONEY MARKET FIDELITY SPARTAN U S TREASURY MONEY MARKET FIDELITY SPARTAN U S TREASURY BILL DUE 12/11/2008 2,075,000 48816 2,575,000 49025 10 S TREASURY BILL DUE 01/08/2009 2,575,000 49238 U S TREASURY BILL DUE 01/15/2009 2,575,000 49453 U S TREASURY BILL DUE 01/15/2009 1/15/2009 1/15/2009 1/15/2009 1/15/2009 1/15/2009 1/12/2009 1/12/2009 1/12/2009

MADE IN VESTMENT SECURITIES LLC New York D London

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061

> PERIOD ENDING 11/30/08

PAGE

ASTER ASSOCIATES
FRANK AVELLING, NANCY CARROLL
AVELLING GENERAL PARTNERS Redacted

YOUR ACCOUNT HUMBER 1-23509-3-0 YOUR TAX PAYER IDENTIFICATION NUMBER *****4594

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/06		2,575,000	496 68	U S TREASURY BILL DUE 01/29/2009	99•928		2,573,146.00
11/06		1,075,000	498 81	1/29/2009 U S TREASURY BILL DUE 2/12/2009	99.902		1,073,946.50
11/06	1,625,000	~ - -	501 18	2/12/2009 U S TREASURY BILL DUE 03/26/2009	99•802	1,621,782.50	
12/06	1,625,000		503. 4 7	3/26/2009 U S TREASURY BILL DUE 4/02/2009	99•751	1,620,953.75	
11/06	1,625,000		505 76	4/02/2009 U S TREASURY BILL DUE 04/09/2009 4/09/2009	99•726	1,620,547.50	
11/07 11/07 11/07 11/07 11/07 11/07 11/07 11/07 11/07	1,260 2,240 1,540 1,120 7,140 640 1,540 2,800 980 7,560		236.30 238.65 241.00 243.35 245.70 248.05 250.40 252.75 255.10 257.45	APPLE INC ABBOTT LABORATORIES AMGEN INC BDEING CO BANK OF AMERICA BAXTER INTERNATIONAL INC BANK OF NEW YORK MELLON CORP BRISTOL MYERS SQUIBB COMPANY ANHEUSER BUSCH COS INC CITI GROUP INC CONTINUED ON PAGE 5	108-300 56-570 62-070 53-640 23-720 51-740 34-210 21-020 64-170 14-410	137,138.00 126,850.60 95,648.80 60,120.80 169,645.80 51,894.60 52,744.40 58,768.00 62,945.20 109,241.60	
	·	271 Mar.					

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC

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ASTER ASSOCIATES

FRANK AVELLING, NANCY CARROLL AVELLING GENERAL PARTNERS

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885 Third Avenue New York, NY 10O22 (212) 230-242-4 800 334-1343 Fax (212) 838-40-61 Madoff Securities Internat 12 Be Mayfair, Lond Tel 0

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DATE	BOUGHT RE CEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMC
13./07	4,060		≥5980	COMCAST CORP	17-390	70,755.40	
11/07 11/07 11/07 11/07 11/07 11/07 11/07 11/07 11/07 11/07 11/07 11/07 11/07 11/07 11/07 11/07 11/07 11/07 11/07	2,100 8,260 1,960 2,940 2,660 14,700 280 550 2,380 3,500 1,960 7,840 3,920 2,100 2,800 1,540 1,540 3,980 11,200 5,600		26215 26450 26450 26455 26920 27155 27390 27625 27860 28035 28565 29270 29505 295740 29975 30210 30445 30915 31385	CUNCAPTENT CL A CUNCOPHILIPS CISCD SYSTEMS INC CYS CAREMARK CORP CHEVRON CORP THE WALT DISNEY CO GENERAL ELECTRIC CO GODGLE GOLDMAN SACHS GROUP INC HOME DEPOT INC HOME DEPOT INC HELETT PACKARD CO INTERNATIONAL BUSINESS MACHS INTEL CORP JOHNSON & JOHNSON J.P. MORGAN CHASE & CO KRAFT FJOD INC COCA CULA CO MCDONALDS CORP MEDTRONIC INC 3M COMPANY ALTRIA GROUP INC MERCK & CO MICROSOFT CORP ORACLE CORPORATION CONTINUED ON PAGE 6	53.060 17.180 31.720 75.450 25.450 19.810 349.160 39.070 22.430 15.620 40.960 29.710 46.580 57.510 41.140 64.880 57.510 41.140 64.880 19.370 30.480 22.940 18.470	111,510.00 145,540.80 62,249.20 221,940.00 68,255.20 291,795.00 97,775.80 49,901.20 53,597.40 136,010.00 181,240.80 125,753.00 242,479.00 218,119.20 62,475.00 130,536.00 88,626.40 63,416.60 63,421.40 57,064.80 94,001.40 257,376.00 103,656.00	

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BERNARD L. MADOFF INVESTMENT SECURITIES LLC MADE New York D London

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885 Third Avenue New York, NY 1002.2 (212) 230-2424 800 334-1343 Fax (212) 838-406 1

PERIOD ENDING 11/30/08 PAGE 6

FRANK AVELLINO, NANCY CARROLL AVELLING GENERAL PARTNERS Redacted

YOUR ACCOUNT NUMBER 1-ZB509-3-0 YC-UR TAX PAYER IDENTIFICATION NUMBER *****4594

DATE	BOUIGHT RECEIVED OR LONG	SOLD DELIVERED OR BHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUINT DEBITED TO YOUJR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/07 11/07 11/07 11/07 11/07 11/07 11/07 11/07 11/07	1,120 2,240 9,520 4,340 2,940 2,380 1,680 8,120 5,040 1,400	DELIVERED OR BHORT	32.090 32.325 32.560 32.795 35.030 35.265 35.000 35.735 35.970 34.205	OCCIDENTAL PETROLEUM CORP PEPSICU INC PFIZER INC PROCTER E GAMBLE CO PHILLIP MORRIS INTERMATIONAL QUALCOMM INC SCHLUMBERGER LTD ATET INC TIME MARMER INC UNITED PARCEL SVC INC CLASS B U S BANCORP	54.380 58.630 18 65.180 43.640 37.690 51.770 28.910 10.110 53.680	60,949.60 131,420.20 171,740.00 283,054.20 128,418.60 89,797.20 87,040.60 235,073.20 51,155.40 75,208.00	·
11/07 11/07 11/07 11/07 11/07 11/07	1,400 3,920 4,760 3,220 7,420	45•014	34675 34910 35145 35380 35615	UNITED TECHNOLOGIES CCRP VERIZON COMMUNICATIONS WELLS FARGD & CO NEW WAL-MART STORES INC EXAON MOBIL CORP FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 11/07/08 FIDELITY SPARTAN	31-810 34-080 56-730 75-280 DIV	124,851.20 1.62,410.80 1.82,798.60 558,873.60	•83 \$\inf\$ 45,014.00
11/07	·	1,575,000	11374	U S TREASURY MONEY MARKET U S TREASURY BILL DUE 02/05/09 2/05/2009	99•923		1,573,787.2
				CONTINUED ON PAGE 7			

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885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-40-61

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FRANK AVELLING, NANCY CARROLL AVELLING GENERAL PARTNERS

YOUR ACCOUNT NUMBER 1-ZS509-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER *****4594

DATE	BOUGHT RECEIV ED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/07		1,600,000	11589	U S TREASURY BILL DUE 02/19/2009	99•387	1	1,598,192.00
11/07		1,600,000	11805	2/19/2009 U S TREASURY BILL DUE 02/26/2009	99•889		1,598,224.00
11/07	**	1,575,000	12015	2/26/2009 U S TREASURY BILL DUE 03/05/09	99-866		1,572,889.50
11/07	25,000		12352	3/05/2009 U S TREASURY BILL DUE 04/09/2009	99-720	24,930.00	
11/07	25,000		12572	4/09/2009 U S TREASURY BILL DUE 4/16/2009	99-671	24,917.75	
11/07	30,927		1280 7	4/16/2005 FIDELITY SPARTAN U S TREASURY MONEY MARKET	I,	√ 30 , 927.00	
11/10	1,548		36090	APPLE INC	108-720	168,359.56 153,974.32	
11/10	2,752 1,892		3632 5 36560	ABBOTT LABORATORIES AMGEN INC	55•910 59•620	112,876.04	
11/10	1,376		36795	BUEING CU	52-190	71,868-44	
11/10	8,944		37030	BANK OF AMERICA	24.050	215,460-20	
11/10	1,204	-	37265	BAXTER INTERNATIONAL INC	50-770	73,215.08	
11/10	2,054	,	37500	BANK OF NEW YORK MELLON CORP	33-480	69,184-72 77,115-72	
11/10	3,612		37735	BRISTOL MYERS SQUIBE COMPANY	21.310	111172017	
				CONTINUED ON PAGE 8			
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BERNARD L. MADOFF
INVESTMENT SECURITIES LLC

New York 🗀 London

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061

Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

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BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York D London

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London WJJ 3DT Tel 020 7493 6222

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT GREDITED TO YOUR ACCOUNT
11/10 11/10 11/10 11/10 11/10 11/10 11/10 11/10 11/10 11/10 11/10 11/10 11/10 11/10 11/10	13,932 7,052 1,548 2,752 12,212 5,332 3,612 2,924 10,664 6,192 1,720 3,096 1,720 5,160 5,848 3,956 9,288	30, 927 1, 675, 000	43610 43645 44586 445780 45780 457260 457260 457260 46665 46665 467370 471370 4	MICROSOFT CORP ORACLE CORPORATION OCCIDENTAL PETROLEUM CORP PEPSICO INC PFIZER INC PROCTER & GAMBLE CO PHILLIP MORRIS INTERNATIONAL QUALCOMM INC SCHLUMBERGER LTD AT&T INC TIME WARNER INC UNITED PARCEL SVC INC CLASS B U S BANCORP UNITED TECHNOLOGIES CORP VERIZON COMMUNICATIONS WELLS FARGO & CO NEW WAL-MART SIDRES INC EXXON MOBIL CORP FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 11/10/08 FIDELITY SPARTAN U S TREASURY MONEY MARKET U S TREASURY MONEY MARKET U S TREASURY BILL DUE 3/12/2009 3/12/2009 CONTINUED ON PAGE 10	23.200 18.600 56.601 57.550 17.960 65.230 44.030 37.310 50.500 28.580 11.010 54.420 31.510 56.430 32 04.600 55.710 75.800 DIV	323,779.40 131,449.20 86,764.48 158,487.60 219,815.52 348,019.36 159,180.36 159,180.36 109,210.44 113,007.00 305,203.12 68,420.92 93,670.40 97,677.96 97,127.60 165,325.00 202,573.80 220,546.76 704,401.40	1•68
				L	ــــــــــــــــــــــــــــــــــــــ		

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

New York 🗆 London

MADE

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061

Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

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FRANK AVELLIND, NANCY CARROLL
AVELLIND GENERAL PARTNERS

YOUR ACCOUNT NUMBER 1-28509-3-0

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11/10		1,650,000	13415	U S TREAGURY BILL DUE 03/19/2009	99.867		1,647,805.50
11/10	-	1,625,000	13617	3/19/2009 U S TREASURY BILL DUE 03/25/2009	99 •834		1,622,302.50
11/10		1,625,000	13819	3/26/2009 U S TREASURY BILL DUE 4/02/2009 4/02/2009	95.770		1,621,262.50
11/10		1,650,000	14052	4/02/2009 U S TREASURY #ILL DUE 04/C9/2009 4/09/2009	99 • 742		1,645,743.00
11/10	-	25,000	14272	U S TREASURY BILL DUE 4/16/2009 4/16/2009	₹9 •686		24,921.50
11/10	25,000		14499	U S TREASURY BILL DUE 4/16/2009 4/16/2009	99 •686	24,921.50	
11/10	13,849		14731	FIDELITY SPARTAN US TREASURY MONEY MARKET	1	13,849.00	552 750 00
11/18 11/16	250,000	3,603	49479 49714	ANHEUSER BUSCH COS INC U S TREASURY BILL DUE 4/16/2009	70 99•830	249,575.00	252,350.00
11/18	2,775		49952	4/16/2009 FIDELITY SPARTAN U S TREASURY MONEY MARKET	i	2,775.00	
,				CONTINUED ON PAGE 11			

BERNARD L. MADOFF MADE INVESTMENT SECURITIES LLC New York 🗆 London

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061

Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

ASTER ASSOCIATES FRANK AVELLING, NANCY CARROLL AVELLING GENERAL PARTNERS

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BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
			FIDELITY SPARTAN U.S. TREASURY MONEY MARKET	VΣU		2.24
	16,624	54196	FIDELETY SPARTAN	1		16,524.00
2,300,000		5851 <u>8</u>	U S TREASURY BILL DUE 03/26/2009 3/26/2009	99.926	2,298,298.00	
1,638		63232	FIDELITY SPARTAN U.S. TREASURY MONEY MARKET	1	√ 1,63800	
	2,300,000	63928	U S TREASURY BILL DUE 03/26/2009 3/26/2009	99.962		2,299,126.00
2,300,000		64166	U S TREASURY BILL DUE 4/16/2009 4/16/2009	99 - 947	2,298,781 •00	
345	!	64407	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	√ 345 • 00	-
612 1,088 748 3,468 408 816 1,360		64646 64884 65122 65360 65598 65836 65874	APPLE INC ABBOTT LABORATORIES AMGEN INC BANK OF AMERICA BAXTER INTERNATIONAL INC BANK OF NEW YORK MELLON CORP BRISTOL MYERS SQUIBB COMPANY CONTINUED ON PAGE 12	85.070 54.140 52.680 12.980 52.570 24.690 20.140	52,086 • 34 58,947 • 32 40,144 • 24 45,152 • 64 21,9464 • 56 20,179 • 04 27,444 • 40	
	2,300,000 1,638 2,300,000 345 612 1,088 748 3,468 408 816	16,624 2,300,000 1,638 2,300,000 2,300,000 345 612 1,088 748 3,468 408 816	SOLD TRN	### PERCENTION DELIVERED ON SHORT TRN DESCRIPTION	Redacted 1-78509-2 1-785	SOUGHT DELIVERED ON CHORT TRN DESCRIPTION PRICE OR SYMBOL TO YOUN ACCOUNT

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York
London

885 Third Avenue New York, NY 10-022 (212) 230-242-4 800 334-1345 Fax (212) 838-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

ASTER ASSOCIATES
FRANK AVELLING, NANCY CARROLL
AVELLING GENERAL PARTNERS

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DATE BOUGH	LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DESITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/25 11/25	3,944 1,972 1,088 4,080 1,020 1,428 1,292 476 7,480 1,136 1,700 952 1,136 1,700 2,947 2,584 1,020 1,360 748 816 476 1,428 1,496		66312 66550 56788 67026 67264 67502 67740 67978 68216 68454 68692 68930 69168 69406 696882 70120 70358 70596 70596 70596 70596 70596 71548 71786	CITI GROUP INC COLGATE PALMOLIVE CO COMCAST CORP CL A CONOCOPHILIPS CISCO SYSTEMS INC CVS CAREMARK CORP CHEVRON CORP THE MALT DISNEY CO EXELON CORP GENERAL ELECTRIC CO GOUGLE HOME DEPOT INC HEMLETT PACKARD CO INTERNATIONAL BUSINESS MACHS INTEL CORP JOHNSON & JOHNSON J.P. MORGAN CHASE & CO KRAFT FOOD INC COCA COLA CO MCDUNALDS CURP MEDITRONIC INC 3M COMPANY ALTRIA GROUP INC MERCK & CO CONTINUED ON PAGE 13	6.100 62.640 13.970 45.100 14.970 27.040 68.710 19.750 48.740 14.010 275 19.330 32.990 75.080 12.270 37.630 27.760 25.990 42.040 55 80.800 16.250 25	24, 215.40 21, 317.40 21, 317.40 27, 626.84 49, 111.60 61, 240.60 27, 620.80 98, 174.88 25, 580.92 23, 219.24 105, 093.80 37, 405.00 22, 622.68 56, 151.00 71, 514.16 48, 549.88 113, 763.80 71, 334.84 26, 458.00 57, 228.40 41, 169.00 25, 164.80 27, 760.28 23, 262.00 37, 459.00	

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York
London

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J BDT Tel 020 7493 6222

ASTER ASSOCIATES
FRANK AVELLINO, NANCY CARROLL
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11/30/08

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YOUR TAX PAYER IDENTIFICATION NUMBER ******4594

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/25	5,440		72024	MICROSOFT CORP	18.100	98,681.00	
11/25	2,720		72738	ORACLE CORPORATION	16.050	43,764.00	
11/25	612		72976	OCCIDENTAL PETROLEUM CORP	44-570	27,300 -84	
11/25	1,088		73214	PEPSICO INC	51.800	56,401 -40	
11/25	4,692		73452	PFIZER INC	15-320	72,068.44	
11/25	2,040		73690	PROCTER & GAMBLE CO	61.940	125,438.60	
11/25	1,428		73928	PHILLIP MURRIS INTERNATIONAL	35.330	52,007.64	
11/25	1,156		74166	QUALCOMM INC	29.850	34,552.+60	
11/25	පි16		74404	SCHLUMBERGER LTD	46.270	37,788.032	
11/25	4,080		74642	ATET INC	25	102,163.00	
11/25	2,516		74880	TIME WARNER INC	0.010	20,253.16	
11/25	680		75118	UNITED PARCEL SVC INC	50.760	34,543.80	
	1			CLASS B	1		
11/25	1,224		75356	U S BANCORP	23.400	23,689.60	
11/25	680	,	75594	UNITED TECHNOLOGIES CORP	44-890	30,552.020	
11/25	1,972		75832	VERIZON COMMUNICATIONS	20.570	52,474.04	
11/25	2,652		76070	WELLS FARGO & CO NEW	23.820	63,276.64	
11/25	1,564		76308	WAL-MART STORES INC	51.450	80,525-80	
11/25	952		76546	WYETH	33	31,454.00	
11/25	3,672		76784	EXXON MOBIL CORP	72	264,530.00	
11/25				FIDELITY SPARTAN	DIV	i	•19
				U S TREASURY MONEY MARKET	1	i	
- 1	•			DIV 11/25/08		<u> </u>	//
11/25	1	1,983	77375	FIDELITY SPARTAN	1 1	1	1.983.00
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New York □ London ASTER ASSOCIATES
FRANK AVELLING, NANCY CARROLL
AVELLING GENERAL PARTNERS Redacted

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BERNARD L. MADOFF INVESTMENT SECURITIES LLC

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061

Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

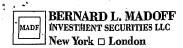
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YOUR TAX PAYER IDENTIFICATION NUMBER *****4594

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/25 11/25	15,673	2,575,000	77615 77912	U S TREASURY BILL DUE 4/16/2009 4/16/2009 FIDELITY SPARTAN U S TREASURY MONEY MARKET	99-878	15,673.00	2,571,858.50
				NEW BALANCE SECURITY POSITIONS	MKT PRICE	3,335,062.52	
14.	35,044 9,328 12,243 6,413			ATET INC ABBOTT LABORATORIES ALTRIA GROUP INC AMGEN INC	28-560 52-390 16-080 55-540		
	5,247 30,108 6,856 3,670			APPLE INC BANK OF AMERICA BANK OF NEW YORK MELLON CORP BAXTER INTERNATIONAL INC	92.670 16.250 30.210 52.900 42.630		
	4,120 11,832 8,605 12,415			BOEING CO BRISTOL MYERS SQUIBB COMPANY CVS CAREMARK CORP CHEVRON CORP	20.700 28.930 79.010 16.540		
	35,215 32,676 11,832 340		to the second se	CISCO SYSTEMS INC CITI GROUP INC CUCA COLA CO CULGATE PALMOLIVE CO	8.290 46.870 65.070		
	\$ \$ \$ \$ \$ \$ \$ \$			CONTINUED ON PAGE 15			



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FRANK AVELLING, NANCY CARROLL
AVELLING GENERAL PARTNERS

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885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

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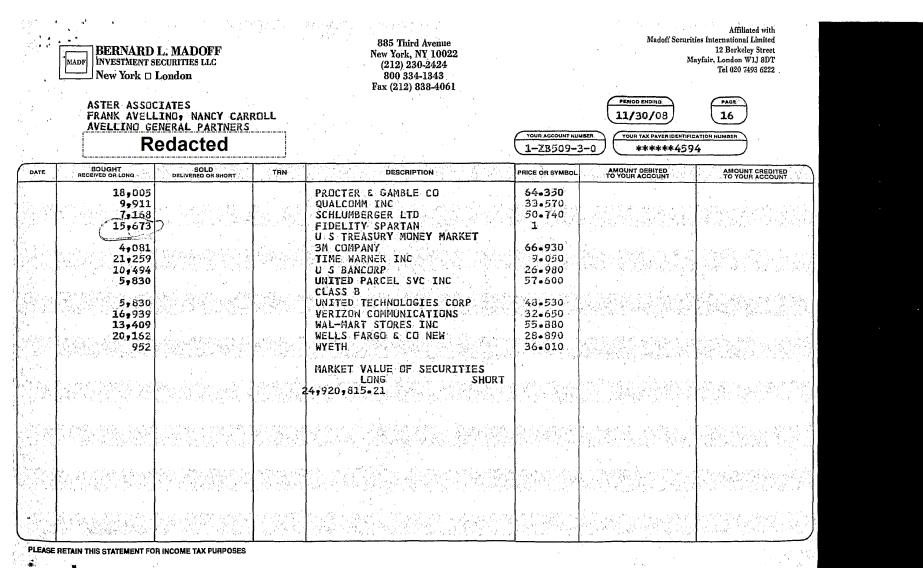
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YOUR TAX PAYER IDENTIFICATION NUMBER *******4594

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	17,282		,	COMCAST CORP	17.340		
2 - j 1 Seq.	9,188			CL A CONOCOPHILIPS	52.520		
	11,280			THE WALT DISNEY CO	22.520		
	476 31•342			EXELON CORP EXXON MOBIL CORP	56.210 80.150		
	62,649			GENERAL ELECTRIC CO	17.170		
	2,232			GOLDMAN SACHS GROUP INC	76.990 292.960	THE TOTAL STREET AND A MARKET	
	1,166 14,747	The concernation of the latest	progress of	HEWLETT PACKARD CO	35-280	rojek je li jedana a troku gradija.	Jan Briggs market from
	10,286 33,503			HOME DEPOT INC INTEL CORP	23.110		
	8,162			INTERNATIONAL BUSINESS MACHS	81.600		
	22,154 16,767		and the state of	J.P. MORGAN CHASE & CO JOHNSON & JOHNSON	31.660 58.580		
	9,120		Sales Sales Sales Sales	KRAFT FOOD INC	27-210		
	5,788 6,856			MCDONALDS CORP MEDTRONIC INC	58•750 30•520		
	12,826			MERCK E CO	26.720		
	47,015 5,107			MICROSOFT CORP OCCIDENTAL PETROLEUM CORP	20.220 54.140		and the first owner that the first of the first
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	9,328 40,431			PEPSICO INC PFIZER INC	56.700 16.430		
	12,446			PHILLIP MORRIS INTERNATIONAL	42.160	1.5:	
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BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York
London

ASTER ASSOCIATES

FRANK AVELLIND, NANCY CARROLL AVELLING GENERAL PARTNERS

Redacted

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

11/30/08

PAGE 1

1-ZB509-4-0

YOUR TAX PAYER IDENTIFICATION NUMBER

AMOUNT CREDITED TO YOUR ACCOUNT AMOUNT DEBITED TO YOUR ACCOUNT PRICE OR SYMBOL SOLD DELIVERED OR SHORT DESCRIPTION 929,459.00 BALANCE FORWARD 411,887.00 S & P 100 INDEX 20.300 19099 203 11/06 NOVEMBER 470 CALL 416,353.00 20.500 19334 S & P 100 INDEX 203 11/06 NOVEMBER 460 PUT 307,860.00 22 / S & P 100 INDEX 140 31620 11/07 NOVEMBER 470 CALL 13.800 193,340.00 S & P 100 INDEX 140 31855 11/07 NOVEMBER 460 PUT 12.400 S & P 100 INDEX 44080 11/10 NOVEMBER 485 CALL 289,132.00 16.800 44315 S & P 100 INDEX 11/10 172 NOVEMBER 475 PUT 1,338,485.00 S & P 100 INDEX 30294 11/19 DECEMBER 430 CALL 30 1,545,515.00 30532 S & P 100 INDEX 11/19 515 DECEMBER 420 PUT 51,793.00 1.500 30770 S & P 100 INDEX 11/19 343 NOVEMBER 470 CALL •900 15,652.00 S & P 100 INDEX 31008 11/19 172 NOVEMBER 485 CALL 1,543,157.00 S & P 100 INDEX 343 31246 11/19 NOVEMBER 460 PUT 1,014,628.00 59 S.E P 100 INDEX 172 31484 11/19 NOVEMBER 475 PUT CONTINUED ON PAGE

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

MWPTAP00535138

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York
London

ASTER ASSOCIATES

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

11/30/08

PAGE 2

Redacted

FRANK AVELLING, NANCY CARROLL

AVELLING GENERAL PARTNERS

1-ZB 509-4-0

YOUR TAX PAYER IDENTIFICATION NUMBER

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/25 11/25	68 (1886)	68	72262 72500	S & P 100 INDEX DECEMBER 380 CALL S & P 100 INDEX DECEMBER 370 PUT	34 21	142,868.00	231,132.00
4		51.5		NEW BALANCE SECURITY POSITIONS S & P 100 INDEX	MKT PRICE 23.300		3,335,063.00
	515	and the state of t		DECEMBER 430 CALL S & P 100 INDEX DECEMBER 380 CALL S & P 100 INDEX DECEMBER 420 PUT	61 16•500		
	58			S & P 100 INDEX DECEMBER 370 PUT MARKET VALUE OF SECURITIES	5.100		
				LONG SHORT 884,430.00 1,614,750.00-			

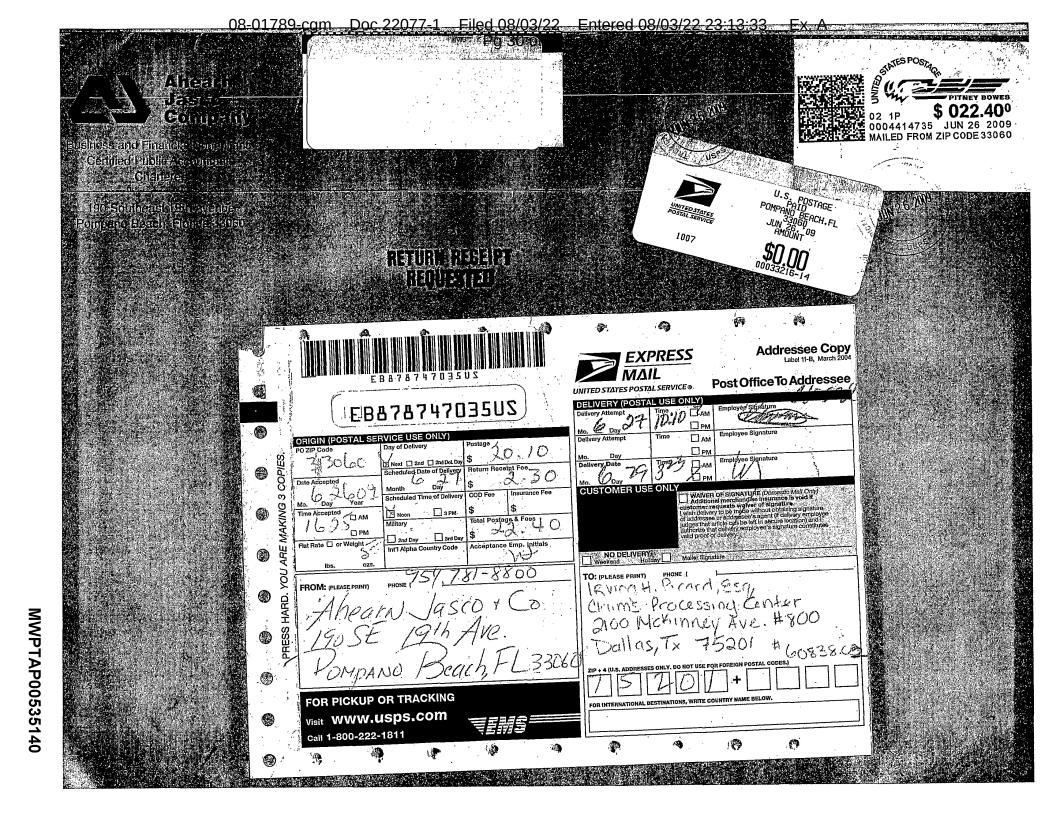


EXHIBIT 2

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UL	101	U	$N \square I \nearrow$	·	₩1141

Bernard L. Madoff Investment Securities LLC Case No 08-01789-BRL

U.S. Bankruptcy Court for the Southern District of New York

Claim Number:

013050

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

JUN 2 9 2009

DECEMBER 11, 2008

Please p	rint o	type)	
Mailing A City R Account	Addre ledac No.:	17.13509, Customer of Bernard L. Ma	Redacted ip: Redacted doff Investment Sec 25 VIA Red
NOTE:	THE SHO PRO REC SUE SUE LES	FORE COMPLETING THIS CLAIM FORM, BE SURE TO ACCOMPANYING INSTRUCTION SHEET. A SEPOULD BE FILED FOR EACH ACCOUNT AND, TO DECTION AFFORDED UNDER SIPA, ALL CUSTOM CEIVED BY THE TRUSTEE ON OR BEFORE MARKED BY THAT DATE, BUT ON OR BEFORE BUT TO DELAYED PROCESSING AND TO BEING SETAVORABLE TO THE CLAIMANT. PLEASE SEND SETIFIED MAIL - RETURN RECEIPT REQUESTED.	RECEIVE THE FULL ER CLAIMS MUST BE ch 4, 2009. CLAIMS July 2, 2009, WILL BE SATISFIED ON TERMS YOUR CLAIM FORM BY
1.		m for money balances as of December 11, 2008:	
	a. b. c.	The Broker owes me a Credit (Cr.) Balance of I owe the Broker a Debit (Dr.) Balance of If you wish to repay the Debit Balance, please insert the amount you wish to repay and attach a check payable to "Irving H. Picard, Esq., Trustee for Bernard L. Madoff Investment Securit If you wish to make a payment, it must be enclowed.	ies LLC."
	d.	If balance is zero, insert "None."	None

1

2. Claim for securities as of **December 11, 2008**:

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

	<u> </u>	YES	NO
a.	The Broker owes me securities	X	
b.	I owe the Broker securities		X
C.	If yes to either, please list below:		
			of Shares or unt of Bonds
Date of Transaction (trade date)	Name of Security	The Broker Owes Me (Long)	I Owe the Broker (Short)
Partners	hip security position in Aste	V ASSOCIA	le <u>s</u>
	hip security position in Aste valued at \$240,462		

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

08-01789-cgm Doc 22077-1 Filed 08/03/22 Entered 08/03/22 23:13:33 Ex. A

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION
ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		×
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		×
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		X
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	•	X
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		X
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.		<u> </u>
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.		Х
	Please list the full name and address of anyone assisting you in the preparation of this claim form:		

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.*

Date 24 June 2009	Signature Nancy and Auellino Twitter
Date	Signature

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, *e.g.*, corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

*Customer reserves the right to amend the claim for any purpose.

Ex. A

Irving H. Picard, Esq.
Trustee for Bernard L. Madoff Securities LLC Claims Processing Center 2100 McKinney Ave., Suite 800 Dallas, TX 75201

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Bernard L. Madoff Investment Securities LLC Case No 08-01789-BRL

U.S. Bankruptcy Court for the Southern District of New York

013041

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

		In Liquidation	RECEIVED
		DECEMBER 11, 2008	JUN 2 9 2009
(Please p	rint or	type)	217 Z 3 ZUUY
City Account	Addres Reda No.: _	ss: Frank (T. Avelling, Trusted; Redac	Redacted
NOTE:	THE SHO PRO REC SUB LESS CER	ORE COMPLETING THIS CLAIM FORM, BE SURE TO ACCOMPANYING INSTRUCTION SHEET. A SEPAI ULD BE FILED FOR EACH ACCOUNT AND, TO FIT TECTION AFFORDED UNDER SIPA, ALL CUSTOMER EIVED BY THE TRUSTEE ON OR BEFORE March EIVED AFTER THAT DATE, BUT ON OR BEFORE JUJECT TO DELAYED PROCESSING AND TO BEING SAS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOU TIFIED MAIL - RETURN RECEIPT REQUESTED.	RATE CLAIM FORM RECEIVE THE FULL R CLAIMS MUST BE 4, 2009. CLAIMS ly 2, 2009, WILL BE LTISFIED ON TERMS OUR CLAIM FORM BY
******	******	**************************************	*
1.	Clain	n for money balances as of December 11, 2008:	
	a.	The Broker owes me a Credit (Cr.) Balance of	\$
	b.	I owe the Broker a Debit (Dr.) Balance of	\$
	C.	If you wish to repay the Debit Balance,	
		please insert the amount you wish to repay and	
		attach a check payable to "Irving H. Picard, Esq.,	
		Trustee for Bernard L. Madoff Investment Securities	LLC."
		If you wish to make a payment, it must be enclose	d
		with this claim form.	\$
	d.	If balance is zero, insert "None."	None

2. Claim for securities as of **December 11, 2008**:

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

		YES	NO
a.	The Broker owes me securities	X	
b.	I owe the Broker securities		
C.	If yes to either, please list below:		
			r of Shares or ount of Bonds
Date of Transaction (trade date)	Name of Security	The Broke Owes Me (Long)	
Partner	ship security position in	Aster Asi	sciales
	Valued alt \$ 607,768		, <u></u>

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		X
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		X
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	•	X
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		<u>×</u>
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.		X
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.		<u>×</u> _
	Please list the full name and address of anyone ass preparation of this claim form:	isting you in the	

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Date Fine 25, 2009	Signature Frank J. Courtles
- V	TRUSTER
Date	Signature

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

*Customer reserves the right to amend The claum for any purpose.

08-01789-cgm	Doc 22077-1	Filed 08/03/22 Entered Pg 44 of 182	d 08/03/22 23	:13:33 Ex. A
			Case No 08-01789-B	f Investment Securities LLC RL
		CUSTOMER CLAIM	U.S. Bankruptcy Cour Claim Number:	rt for the Southern District of New York 013048
				\&&&;\&&\\
	BERNARD L	. MADOFF INVESTMENT S	ECURITIES L	LC
		In Liquidation		RECEIVED
		DECEMBER 11, 2008		JUN 2 9 2009
(Please pri	nt or type)	_		
Account N	Idress: Nan W Redacted	State: Redacted	d i 1	Redacted Thwestment Securities of the VI a Mester Associate
	THE ACCOMPANSHOULD BE FILE PROTECTION AF RECEIVED BY TRECEIVED AFTE SUBJECT TO DE LESS FAVORABLE CERTIFIED MAIL	ETING THIS CLAIM FORM, ENTING INSTRUCTION SHEET LED FOR EACH ACCOUNT FORDED UNDER SIPA, ALLE THE TRUSTEE ON OR BEFORDED PROCESSING AND THE TO THE CLAIMANT. PLEAT RETURN RECEIPT REQUE	A SEPARA AND, TO REG CUSTOMER G ORE March 4 BEFORE July TO BEING SATI ASE SEND YOU STED.	CEIVE THE FULL CLAIMS MUST BE 1, 2009. CLAIMS 2, 2009, WILL BE ISFIED ON TERMS
		balances as of December 1		
1.				
		owes me a Credit (Cr.) Bala		
		Broker a Debit (Dr.) Balance		
	_	to repay the Debit Balance,		
		ert the amount you wish to re		
		neck payable to "Irving H. Pio		1.08
	Trustee for	Bernard L. Madoff Investme	ent Securities I	LLG."

1

If you wish to make a payment, it must be enclosed

with this claim form.

If balance is zero, insert "None."

d.

None

08-01789-cgm Doc 22077-1 Filed 08/03/22 Entered 08/03/22 23:13:33 Ex. A

2. Claim for securities as of **December 11, 2008**:

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

		YES	NO
a.	The Broker owes me securities		
b.	I owe the Broker securities	F10-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-	X
C.	If yes to either, please list below:		
			of Shares or ount of Bonds
Date of Transaction (trade date)	Name of Security	The Brokel Owes Me (Long)	
Partner	ship security position in	Aster Ass	ociates
	ship security position in Valued at \$436,1	.85	

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		<u> </u>
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		X
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	•	×
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		<u>_</u>
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.		
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.		
	Please list the full name and address of anyone asspreparation of this claim form:	sisting you in the	

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.*

Date 24 June 2009	Signature Maney Pauce Anold Inv
Date	Signature

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

* Customer reserves the right to amend the claim for any purpose.

Redacted

Irving H. Picard, Esq.
Trustee for Bernard L. Madoff Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

08-01789-cgm Doc 22077-1 Filed 08/03/22 Entered 08/03/22 23:13:33 Ex. A Pg 51 of 182

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Bernard L. Madoff Investment Securities LLC Case No 08-01789-BRL

U.S. Bankruptcy Court for the Southern District of New York

Claim Number:

013039

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

PECEIVED

		DECEMBER 11, 2008	AN 29 200
(Please p	print (or type)	
City:	Addr Red		Redacted off Invistment Sec
NOTE:	THI SHO PRO REC SUI LES CE	FORE COMPLETING THIS CLAIM FORM, BE SURE TO ACCOMPANYING INSTRUCTION SHEET. A SEROULD BE FILED FOR EACH ACCOUNT AND, TO OTECTION AFFORDED UNDER SIPA, ALL CUSTOM CEIVED BY THE TRUSTEE ON OR BEFORE MATCEIVED AFTER THAT DATE, BUT ON OR BEFORE BJECT TO DELAYED PROCESSING AND TO BEING SE FAVORABLE TO THE CLAIMANT. PLEASE SEND RTIFIED MAIL - RETURN RECEIPT REQUESTED.	PARATE CLAIM FORM PARATE CLAIM FORM PARATE CLAIMS HUST BE PICH 4, 2009. CLAIMS July 2, 2009, WILL BE SATISFIED ON TERMS YOUR CLAIM FORM BY
1.	Clai	m for money balances as of December 11, 2008 :	
	a.	The Broker owes me a Credit (Cr.) Balance of	\$
	b.	I owe the Broker a Debit (Dr.) Balance of	\$
	c.	If you wish to repay the Debit Balance,	
		please insert the amount you wish to repay and	
		attach a check payable to "Irving H. Picard, Esq.,	
		Trustee for Bernard L. Madoff Investment Securit	ies LLC."
		If you wish to make a payment, it must be enclose	sed
		with this claim form.	\$
	d.	If balance is zero, insert "None."	None

502180406

2. Claim for securities as of **December 11, 2008**:

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

		YES _	NO
a.	The Broker owes me securities	<u> </u>	
b.	I owe the Broker securities		
C.	If yes to either, please list below:		
			of Shares or unt of Bonds
Date of Transaction (trade date)	Name of Security	The Broker Owes Me (Long)	
Partner	ship security position in A	rster <u>tsso</u> ù	ates
	ship <u>security position in A</u> <u>valued at \$700,376</u>		
		- WESTAND TO A TO A TO A TO A TO A TO A TO A TO	
		<u> </u>	

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

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		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		Χ
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		X
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?	- Control of the Cont	X
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	·	X
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		X
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.		
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.		<u>X</u>
	Please list the full name and address of anyone ass preparation of this claim form:	isting you in the	

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THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.*

Date 24 June 2009	Signature Manay Panol Auellino
	TRUSTER
Date	Signature
	•

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

* Customer reserves the right to amend the claim for any purpose.

CL	IS	TO	ME	R	CL.	AIM
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Bernard L. Madoff Investment Securities LLC Case No 08-01789-BRL
U.S. Bankruptcy Court for the Southern District of New York Claim Number: 013038

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

RECEIVED
JUN 2 9 2000

		DECEMBER 11, 2008	EUDZ E 2 vien
(Please p	orint (or type)	
	Addr	omer: Rachel Anne Rosenthal Tr ess: Many Carroll Avelling Truske; acted State Redacted	Redacted Zip Redacted
Account		12 B 509, Customer of Bernard L. Mad	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
		Number (Social Security No.): Redacted 52	
			Res.
	THI SHO PRO REG REG SUI LEG CE	FORE COMPLETING THIS CLAIM FORM, BE SURE ACCOMPANYING INSTRUCTION SHEET. A SEI OULD BE FILED FOR EACH ACCOUNT AND, TO OTECTION AFFORDED UNDER SIPA, ALL CUSTOM CEIVED BY THE TRUSTEE ON OR BEFORE MAI CEIVED AFTER THAT DATE, BUT ON OR BEFORE BJECT TO DELAYED PROCESSING AND TO BEING SS FAVORABLE TO THE CLAIMANT. PLEASE SEND RTIFIED MAIL - RETURN RECEIPT REQUESTED.	PARATE CLAIM FORM PARATE CLAIMS FULL MER CLAIMS MUST BE rch 4, 2009. CLAIMS July 2, 2009, WILL BE SATISFIED ON TERMS YOUR CLAIM FORM BY
1.	Clai	m for money balances as of December 11, 2008:	
	a.	The Broker owes me a Credit (Cr.) Balance of	\$
	b.	I owe the Broker a Debit (Dr.) Balance of	\$
	C.	If you wish to repay the Debit Balance,	
		please insert the amount you wish to repay and	
		attach a check payable to "Irving H. Picard, Esq.,	ı
		Trustee for Bernard L. Madoff Investment Securit	ties LLC."
		If you wish to make a payment, it must be enclo	sed
		with this claim form.	\$
	d.	If balance is zero, insert "None."	None

1

2. Claim for securities as of **December 11, 2008**:

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

		YES	NO
a.	The Broker owes me securities		
b.	I owe the Broker securities		<u> </u>
C.	If yes to either, please list below:		
			er of Shares or nount of Bonds
Date of Transaction (trade date)	Name of Security	The Brok Owes Me (Long)	
Partners	ship security position in	n Astert	ssociates
	ship security position in valued at \$1,973	,655	
		-	

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		X
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		Х
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)		
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		X
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.		X
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.		X
	Please list the full name and address of anyone assist preparation of this claim form:	sting you in the	

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

Date 24 June 2009	Signature Mancy Land Apuellind	
	MUSTER	
Date	Signature	

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

*Customer reserves the right to amend the claim for any purpose.

08-01789-cgm Doc 22077-1 Filed 08/03/22 Entered 08/03/22 23:13:33 Ex. A Pg 61 of 182

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Bernard L. Madoff Investment Securities LLC
Case No 08-01789-BRL
U.S. Benkruptcy Court for the Southern District of New York
Claim Number: 013040

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BERNARD L. MADOFF INVESTMENT SECURITIES LLC

		by the state of th	
		In Liquidation	RECEIVED
	_	DECEMBER 11, 2008	JUN 2 9 2009
(Please p	orint o	type)	
City[Account	Addre Reda No.: _	ss: Cla Nancy Carroll Avelling Redac	ip: Redacted
NOTE:	THE SHO PRO REC REC SUE LES	ORE COMPLETING THIS CLAIM FORM, BE SURE TO ACCOMPANYING INSTRUCTION SHEET. A SEPOULD BE FILED FOR EACH ACCOUNT AND, TO TECTION AFFORDED UNDER SIPA, ALL CUSTOM SEIVED BY THE TRUSTEE ON OR BEFORE MARKED AFTER THAT DATE, BUT ON OR BEFORE SIPECT TO DELAYED PROCESSING AND TO BEING SEFORABLE TO THE CLAIMANT. PLEASE SEND NOTIFIED MAIL - RETURN RECEIPT REQUESTED.	ARATE CLAIM FORM RECEIVE THE FULL ER CLAIMS MUST BE ch 4, 2009. CLAIMS July 2, 2009, WILL BE SATISFIED ON TERMS
*****	******	**************************************	***
1.	Clair	n for money balances as of December 11, 2008 :	
	a.	The Broker owes me a Credit (Cr.) Balance of	\$
	b.	I owe the Broker a Debit (Dr.) Balance of	\$
	C.	If you wish to repay the Debit Balance,	
		please insert the amount you wish to repay and	
		attach a check payable to "Irving H. Picard, Esq.,	
		Trustee for Bernard L. Madoff Investment Securiti	es LLC."
		If you wish to make a payment, it must be enclose	sed
		with this claim form.	\$
	d.	If balance is zero, insert "None."	None

2. Claim for securities as of **December 11, 2008**:

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

			YES	NO
a	а.	The Broker owes me securities	Χ	
k	٥.	I owe the Broker securities		X
C	С.	If yes to either, please list below:		
				oer of Shares or mount of Bonds
Date of Transaction (trade date		Name of Security	The Bro Owes M (Long)	le the Broker
Partne	ersl	ripsecurity position in As	ter Assoc	ciates
		valued at \$317,894		
	_			

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

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PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		X
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		X
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		X
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	·	Х
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		*
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.		X
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.		X
	Please list the full name and address of anyone assi preparation of this claim form:	isting you in the	

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.**

Date _	6/23/09	Signature Yall Aug Justine
Date _		Signature

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, *e.g.*, corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

*Customer reserves the right to amend the claim for any purpose.

CUSTOMER CLAIM

Bernard L. Madoff Investment Securities LLC Case No 08-01789-BRL

U.S. Bankruptcy Court for the Southern District of New York

Claim Number:

013042

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

		In Liquidation	TROEIVED 3			
(Please p	rint or	DECEMBER 11, 2008	JUN 2 9 2009			
` •						
Name of			dacted			
	Reda	cted State: Redacted Z	ip: Redacted			
Account		12 B 509, Customer of Bernard L. Ma	aott Division ent seu			
Taxpayer	r 1.D. 1	Number (Social Security No.): Redacted 24	A-soul			
NOTE:	THE SHO PRO REC SUB LESS CER	ORE COMPLETING THIS CLAIM FORM, BE SURE TO ACCOMPANYING INSTRUCTION SHEET. A SEPULD BE FILED FOR EACH ACCOUNT AND, TO TECTION AFFORDED UNDER SIPA, ALL CUSTOM EIVED BY THE TRUSTEE ON OR BEFORE Mark EIVED AFTER THAT DATE, BUT ON OR BEFORE OF TO DELAYED PROCESSING AND TO BEING SETAVORABLE TO THE CLAIMANT. PLEASE SEND NOT TIFIED MAIL - RETURN RECEIPT REQUESTED.	ARATE CLAIM FORM RECEIVE THE FULL ER CLAIMS MUST BE ch 4, 2009. CLAIMS July 2, 2009, WILL BE SATISFIED ON TERMS YOUR CLAIM FORM BY			
1.	Clain	n for money balances as of December 11, 2008 :				
	a.	The Broker owes me a Credit (Cr.) Balance of	\$			
	b.	I owe the Broker a Debit (Dr.) Balance of	\$			
	C.	If you wish to repay the Debit Balance,				
		please insert the amount you wish to repay and				
•		attach a check payable to "Irving H. Picard, Esq.,				
		Trustee for Bernard L. Madoff Investment Securities	ard L. Madoff Investment Securities LLC."			
		If you wish to make a payment, it must be enclosed	sed			
		with this claim form.	\$			
	d.	If balance is zero, insert "None."	None			

2. Claim for securities as of **December 11, 2008**:

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

			YES	NO
a.	The Broker owes m	e securities	<u> </u>	
b.	I owe the Broker se	curities		
c.	If yes to either, plea	se list below:		
				of Shares or ount of Bonds
Date of Transaction (trade date)	Nam	e of Security	The Broke Owes Me (Long)	
Partne	ship security	position in 1	Aster Associ	iates
	valued	at \$641,663		
	S		·	

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		Х
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		×
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		X
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	•	
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		Х
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.		Х
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.		Х
	Please list the full name and address of anyone ass preparation of this claim form:	isting you in the	

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.[⊀]

Date	me 2	5, 2009	Signature trank for turbing	
)		TRUSTER	
Date			Signature	•

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

*Customer reserves the right-to amend the claim for any purpose.

CUSTOMER CLAIM

Bernard L. Madoff Investment Securities LLC
Case No 08-01789-BRL
U.S. Bankruptcy Court for the Southern District of New York
Claim Number: 013534

KEUEIVEU

Date Received

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008

(Please pr	int or	type)	
	ddres Re Vo.: <u>D</u>	ss: Redacted	n: Redacted ates account #1-28-509
NOTE:	THE SHO PRO REC REC SUB LESS	ORE COMPLETING THIS CLAIM FORM, BE SURE TO ACCOMPANYING INSTRUCTION SHEET. A SEPAULD BE FILED FOR EACH ACCOUNT AND, TO ITECTION AFFORDED UNDER SIPA, ALL CUSTOME EIVED BY THE TRUSTEE ON OR BEFORE MarcI EIVED AFTER THAT DATE, BUT ON OR BEFORE JUSCIT TO DELAYED PROCESSING AND TO BEING SUBSTRUCTURE OF THE CLAIMANT. PLEASE SEND YOU TIFIED MAIL - RETURN RECEIPT REQUESTED.	RATE CLAIM FORM RECEIVE THE FULL R CLAIMS MUST BE n 4, 2009. CLAIMS uly 2, 2009, WILL BE ATISFIED ON TERMS
******	*****	******************	**
1.	Clain	n for money balances as of December 11, 2008 :	
	a.	The Broker owes me a Credit (Cr.) Balance of	\$
	b.	I owe the Broker a Debit (Dr.) Balance of	\$
	C.	If you wish to repay the Debit Balance,	•
		please insert the amount you wish to repay and	•
		attach a check payable to "Irving H. Picard, Esq.,	
		Trustee for Bernard L. Madoff Investment Securitie	s LLC."
-		If you wish to make a payment, it must be enclose	ed
		with this claim form.	\$
	d.	If balance is zero, insert "None."	None
			•

2. C	Claim fo	or securities	as of	December 11	I . 2008 :
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PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

	· •	YES	NO
a.	The Broker owes me securities		
b.	I owe the Broker securities		
c.	If yes to either, please list below:		
	en en en en en en en en en en en en en e		of Shares or unt of Bonds
Date of Transaction (trade date)	Name of Security	The Broker Owes Me (Long)	I Owe the Broker (Short)
	Various securities as		
	indicated and reported		
	via Aster Associates		
	account 1-28-509	\$280,317.00	

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

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PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	NO
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		$\sqrt{}$
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		1
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		<u> </u>
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)		<u> </u>
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		<u> </u>
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.		\int
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.		/
	Please list the full name and address of anyone assist preparation of this claim form:	sting you in the	
			•

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

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THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Date	6/25	09	Signature Thomas Outle
Date			Signature

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

This form includes and incorporates in full the attached addendum. Customer reserves the right to amend the claim for any purpose.

Thomas Avellino

Redacted



7008 2810 0001 3774 0873



U.S. POSTAGE
PAID NJ
07730
JUN 26. 09
AMOUNT
\$5.71

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

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Thathlad Handlad all ball and the Handlad and

MWPTAP00551391

EXHIBIT 10

Trustee for Bernard L. Madoff Investment Securities LLC."

If you wish to make a payment, it must be enclosed

I

502180406

d.

with this claim form.

If balance is zero, insert "None."

2. Claim for securities as of **December 11, 2008**:

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

			YES	NO
8	a.	The Broker owes me securities	k	
ł	b.	I owe the Broker securities		X
C	C.	If yes to either, please list below:		
				er of Shares or sount of Bonds
Date of Transaction (trade date		Name of Security	The Broke Owes Me (Long)	
Partne	<u>er</u> 51	rip security position in Associated at \$351,68	ster tsso	chates
	_	valued at \$351,68	.4	

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

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PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

502180406

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.	-	χ
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		Х
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		×
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	·	<u> </u>
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		<u> </u>
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.		Х
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.		X
	Please list the full name and address of anyone ass preparation of this claim form:	isting you in the	

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Date 24 June 2009	Signature Many Canol Aughino Signature Signature
Date	Signature

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

*Costomer reserves the right to amend the Claim for any purpose.

Redacted

Irving H. Picard, Esq. Trustee for Bernard L. Madoff Securities LLC Claims Processing Center 2100 McKinney Ave., Suite 800 Dallas, TX 75201

EXHIBIT 11

1 00 01700 Cgiii	Doc 22077-1 Filed 08/03 Pg 84 c		d 08/03/22 23:13:33 Ex. A
	CUSTO	MER CLAIM	Bernard L. Madoff Investment Securities LLC Case No 08-01789-BRL U.S. Bankruptcy Court for the Southern District of New York Claim Number: 012842
			Date Received
	BERNARD L. MADOFF II	NVESTMENT	SECURITIES LECENTED
2 N. S.	In L	iquidation	JUN 29 2009
	DECEM	BER 11, 2008	3
Trustee for Bernard L. I	H. Picard, Esq. Madoff Investment Securities LLC	Provide you	r office and home telephone no.
2100 McKi	Processing Center nney Ave., Suite 800	OFFICE:	Redacted —
Dal	las, TX 75201	HOME:	Red
Account Number: 1Z GROSVENOR PARTNI C/O FRANK AVELLINO			D. Number (Social Security No.)
(If incorre	ct, please change)	.;	-7
	grand of the first of the		San Car
NOTE:			BE SURE TO READ CAREFULLY ET. A SEPARATE CLAIM FORM AND, TO RECEIVE THE FULL

Claim for money balances as of **December 11, 2008**: a. The Broker owes me a Credit (Cr.) Balance of

I owe the Broker a Debit (Dr.) Balance of

502180406

1.

Pg-85 of 182 C. If you wish to repay the Debit Balance, please insert the amount you wish to repay and attach a check payable to "Irving H. Picard, Esq., Trustee for Bernard L. Madoff Investment Securities LLC." If you wish to make a payment, it must be enclosed with this claim form. d. If balance is zero, insert "None." Claim for securities as of December 11, 2008: PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

08-01789-cgm Doc 22077-1 Filed 08/03/22 Entered 08/03/22 23:13:33

	•	YES	NO
a.	The Broker owes me securities		
b.	I owe the Broker securities		<u> </u>
c.	If yes to either, please list below:		
			of Shares or unt of Bonds
Date of Transaction (trade date)	Name of Security	The Broker Owes Me (Long)	
Nov 2008	SEE ATTACHE'D STATEM	 Ent	
	DATED NOVEMER 200	28 <u>/</u>	
			

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

2.

information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		<u>`</u>
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?	· ·	
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	•	
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.		<u>/</u>

3

MWPTAP00535396

502180406

9.	Have you or any member of your family ever filed a claim under the Securities
	Investor Protection Act of 1970? if
	so, give name of that broker.
	Please list the full name and address of anyone assisting you in the preparation of this claim form: Rebeccal McDonough CPA of Ahear
	Jaseo 100, 190 SE 19 AVE, Pompeno Beach Fr 33060

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.*

Date	Anne 25, 2009	Signature Frank Cuillini
Date	V	Signature

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

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2100 McKinney Ave., Suite 800
Dallas, TX 75201

* Customer reserves the right to amend the claim for any purpose

502180406

MADF INVESTMENT SECURITIES LLC New York 🗆 London

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061

Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

GROSVENOR PARTNERS LTD

C/O FRANK AVELLINO

Redacted

11/30/08

1

YOUR ACCOUNT NUMBER 1-ZB046-3-0 YOUR TAX PAYER IDENTIFICATION NUMBER *****9292

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
			ŧ	BALANCE FORWARD		416,492.47	
1/12	6,132		1325	WELLS FARGO & CO NEW	29-600	182,978.60	
1/12	4,380	•	1827	HEWLETT PACKARD CO	34.900	153.037.00	i . • • • •
1/12	3,796		5651	WAL-MART STORES INC.	55.830	212.081.68	
1/12	2,482		6153	INTERNATIONAL BUSINESS MACHS	87.270	216,703.14	,
1/12	9,198		9977	EXXON MOBIL CORP	72-880	670,717-24	
1/12	10,074		10479	INTEL CORP	14.510	146,575.74	
1/12	4,818		14805	MOZNHOL 3 MOZNHOL	59.580	287,248.44	
1/12	6,570		19130	J.P. MORGAN CHASE & CO	38.530	253,404-10	and the second
1/12	3,504		23456	COCA COLA CO	44-660	156,628.64	
1/12	2,044		27782	MCDONALDS CORP	55.370	113,257.28	The second of the second of
1/12	3,796		32108	MERCK & CO	28.550	108,526.80	
1/12	13.870		36434	MICROSOFT CORP	21.810	303.058.70	,
1/12	7.008		40760	DRACLE CORPORATION	17.300	121.518.40	
1/12	2,774		53738	PEPSICO INC	56.410	156,591.34	
1/12	1,606		54240	APPLE INC	100.780	161,916.68	
1/12	11,826		58064	PFIZER INC	16.940	200,805.44	
1/12	2,774		58566	ABBOTT LABORATORIES	54.610	151,598.14	
1/12	5,256	and the second second	62390	PROCTER & GAMBLE CO	64.080	337.014.48	
1/12	1,898		62892	AMGEN INC	59-150	112,360.68	
1/12	3,650		66716	PHILLIP MORRIS INTERNATIONAL	43.600	159,286.00	
1/12	8,760	and the second second	67218	BANK OF AMERICA	21.590	189-478-40	
1/12	2,920		71042	QUALCOMM INC	33.770	98,724.40	,
1/12	9,490		71544	CITI GROUP INC	12.510	119,098.90	
		•		CONTINUED ON PAGE 2		* \$. •
			,			,	
			1 A 1 A 1	The second of the second of the second			
**							

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York
London

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 338-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

GROSVENOR PARTNERS LTD

C/O FRANK AVELLINO

Redacted

11/30/08

PAGE 2

1-ZB046-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER

*****9292

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TEN	description	PRICE OR SYMEOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	2,190		75368	SCHLUMBERGER LTD	49.480	108,448.20	
11/12	5,256		75870	COMCAST CORP	16.510	86,986-56	
			4.	CL A			
11/12	10,366		79694	ATET INC	27	280,296.00	
11/12	2,628		80196	CONOCOPHILIPS	52.510	138,101.28	
11/12	1,752		84020	UNITED PARCEL SVC INC	52.040	91,244.08	
				CLASS B			
11/12	10,658	,	84522	CISCO SYSTEMS INC	16.730	178,734.34	
11/12	3,066		88346	U S BANCORP	29.530	90,560.98	
11/12	3,650		88848	CHEVRON CORP	73.430	268,165.50	
11/12	1,752		92672	UNITED TECHNOLOGIES CORP	53-160	93,206.32	Section of the second of the s
11/12	18,542		93174	GENERAL ELECTRIC CO	19-630	364,720.46	
11/12	4,964		96998	VERIZON COMMUNICATIONS	30.410	151,153.24	
11/12	438		97500	GOOGLE	337-400	147,798.20	والمراجع والمراجع والمراجع والمراجع والمراجع والمراجع والمراجع والمراجع والمراجع والمراجع والمراجع والمراجع وا
11/12		50,000	20219	U S TREASURY BILL	99-942		49,971.00
			- শ্বিস্ক (১৯	DUE 12/18/2008			
				12/18/2008			
11/12		6,600,000	23931	U.S TREASURY BILL	99-936		6,595,776.00
				DUE 2/12/2009			
				2/12/2009			
11/12				FIDELITY SPARTAN	DIV		35•19
	1			U S TREASURY MONEY MARKET		- 1	
		. •	. '	DIV 11/12/08			Ż
11/12		41,688	19035	FIDELITY SPARTAN	1		√ 41,688.00
		,		U S TREASURY MONEY MARKET			
	Ì						
				CONTINUED ON PAGE 3			
						· · · · · ·	
,					Professional Control	Maria San San San San San San San San San Sa	

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York
London

385 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

GROSVENOR PARTNERS LTD

C/O FRANK AVELLING

Redacted

11/30/08

PAGE 3

1-ZB 046-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER

****** 9292

DATE	EOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DESITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	45 , 853		28403	FIDELITY SPARTAN U.S. TREASURY MONEY MARKET	1	45,853.00	
11/19				FIDELITY SPARTAN U.S. TREASURY MONEY MARKET	DIA		5•63
11/19		45,853	53933	DIV 11/19/08 FIDELITY SPARTAN U S TREASURY MONEY MARKET	i .i. Na manakan	a de la compaña de la comp	√ 45,853.00
11/19	475,000		58374	U S TREASURY BILL DUE 03/26/2009	99•926	474,648.50	
11/19	8,626		62969	3/26/2009 FIDELITY SPARTAN U S TREASURY MONEY MARKET		8,626-00	
				NEW BALANCE SECURITY POSITIONS	MKT PRICE	824,416.53	
y day	10,366 2,774 1,898 1,606			ATET INC ABBOTT LABORATORIES AMGEN INC APPLE INC	28•560 52•390 55•540 92•670		
	8,760 3,650 10,658 9,490 3,504		* 1 ¹¹	BANK OF AMERICA CHEVRON CORP CISCO SYSTEMS INC CITI GROUP INC COCA COLA CO	16.250 79.010 16.540 8.290 46.870		
				CONTINUED ON PAGE 4			
1. /		Janes Commission					

BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York
London

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

GROSVENOR PARTNERS LTD

C/O FRANK AVELLINO

Redacted

11/30/08

PAGE

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YOUR TAX PAYER IDENTIFICATION NUMBER

DATE	BOUGHT	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	5,256		,	COMCAST CORP	17-340		
				CL A			
	2,628			CONOCOPHILIPS	52-520	*	
- :	9,198	•		EXXON MOBIL CORP	80-150	-•	
1	18,542			GENERAL ELECTRIC CO	17-170	_	
	438			GOOGLE	292-960	*14	1.00
	4,380			HEWLETT PACKARD CO	35 • 280		
11	10,074	. '	1	INTEL CORP	13.800	'	
-	2,482			INTERNATIONAL BUSINESS MACHS	81.600		,
	6,570			J.P. MORGAN CHASE & CO	31.660	Jan Barrier Broger	
1.	4,818			JOHNSON & JOHNSON	58-580		
	2,044	at the second		MCDONALDS CORP	58.750	teripe of section of the section of	1
-	3,796			MERCK & CO	26.720		ŀ
	13,870	ř.		MICROSOFT CORP	20-220	. , ,	
.,.	7,008	19.		ORACLE CORPORATION	16.090	the part of the second	
	2,774	1 10	1 11 11	PEPSICO INC	56-700		·
	11,826			PFIZER INC	16.430		
	3,650			PHILLIP MORRIS INTERNATIONAL	42.160		
14	5,256			PROCTER & GAMBLE CO	64.350		** · · · · · · · · · · · · · · · · · ·
	2,920			QUALCOMM INC	33-570		
	2,190			SCHLUMBERGER LTD	50-740		
	. 8,626		ļ	FIDELITY SPARTAN	_		4.
				U S TREASURY MONEY MARKET	26-980		
	3,066		1	U S BANCORP	57.600		'
	1,752	,	1	UNITED PARCEL SVC INC	910000	i	
			1	CLASS B		٠.	1
			1	CONTINUED ON PAGE 5			
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		ļ					
			4.				
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BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York D London

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GROSVENOR PARTNERS LTD

C/O FRANK AVELLINO

Redacted

11/30/08

PAGE 5

1-Z3046-3-0

YOUR YAX PAYER IDENTIFICATION NUMBER

******9292

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	ият	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	475,000 1,752 4,964 3,796 6,132	•		U S TREASURY BILL DUE 03/26/2009 3/26/2009 UNITED TECHNOLOGIES CORP VERIZON COMMUNICATIONS WAL-MART STORES INC WELLS FARGO & CO NEW	99•971 48•530 32•650 55•880 28•890		
				MARKET VALUE OF SECURITIES LONG SHORT 6,915,998.59			
					. :		
			, , , , , , , , , , , , , , , , , , , ,				

BERNARD L. MADOFF INVESTMENT SECURITIES LLC New York D London 885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

GROSVENOR PARTNERS LTD

C/O FRANK AVELLING

Redacted

21/30/08

PAGE 1

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YOUR TAX PAYER IDENTIFICATION NUMBER

******9292

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
			3.	BALANCE FORWARD			416,493.00
11/12	- 6	146	45086	S & P 100 INDEX	15.800		230,534.00
11/12	146		49412	NOVEMBER 460 CALL S & P 100 INDEX NOVEMBER 450 PUT	17-800	260,026.00	,
11/19		146	35516	S & P 100 INDEX DECEMBER 430 CALL	26		379,454-00
11/19	146		39841	S & P 100 INDEX DECEMBER 420 PUT	30	438,146.00	e de la companya de l
11/19	146		44166	S & P 100 INDEX NOVEMBER 460 CALL	3	43,946.00	
11/19		1.46	48491	S & P 100 INDEX NOVEMBER 450 PUT	37		540,054.00
				NEW BALANCE			824,417.00
*		146		SECURITY POSITIONS S & P 100 INDEX	MKT PRICE 23.300		
	146		S 1 A	DECEMBER 430 CALL S & P 100 INDEX DECEMBER 420 PUT	16.500	The section beautiful to the section of the section	
				MARKET VALUE OF SECURITIES		148 11 87 8	S 18 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5
,	t .	-		LDNG SHORT 240,900.00 340,180.00-			

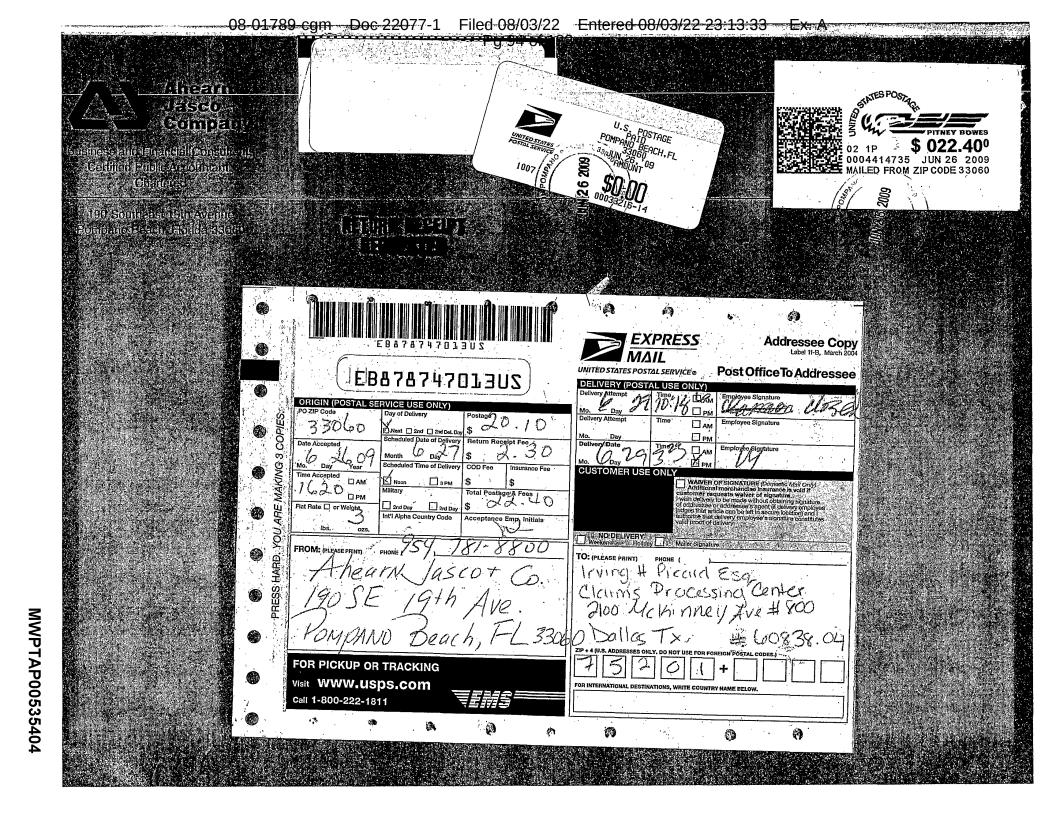


EXHIBIT 12

08-01789-cgm Doc 2207	77-1 Filed 08/03/22 Ente Pg 96 of 182	red 08/03/22 23:1	3:33 Ex. A
	CUSTOMER CLAIN		them District of New York
DED.I.A		Date Ke	
BERNA	RD L. MADOFF-INVESTMEN	I SECURITIES LLO	RECEIVED
	In Liquidation		JUN 29 2009
	DECEMBER 11, 20	08	
Luci until Picard Face		our office and home	telephone no.
Irving H. Ficard, Esq Trustee for Bernard L. Made Investme Claims Processing Cer	ent Securities LLC OFFICE:	Redacted	
2100 McKinney Ave., Suit Dallas, TX 75201		Reda	
Danas, 17(10201	Taxpaver	LD. Number (Socia	l Security No.)
Account Number: 1ZB032	- Level	W X 7 X	
MAYFAIR VENTURES			
C/O FRANK AVELLINO			
Reda			
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,		·	
NOTE: BEFORE CONTHE ACCONTHE ACCONTHE ACCONTHE PROTECTION RECEIVED SUBJECT TO LESS FAVOR CERTIFIED	OMPLETING THIS CLAIM FORM MPANYING INSTRUCTION SHI E FILED FOR EACH ACCOUL ON AFFORDED UNDER SIPA, A BY THE TRUSTEE ON OR E AFTER THAT DATE, BUT ON O TO DELAYED PROCESSING AN ORABLE TO THE CLAIMANT. PL MAIL - RETURN RECEIPT REQ	M, BE SURE TO REASET. A SEPARATE NT AND, TO RECELL CUSTOMER CLEFORE March 4, 20 TO BEING SATISFEASE SEND YOUR CUESTED.	E CLAIM FORM EIVE THE FULL AIMS MUST BE 2009. CLAIMS 2009, WILL BE FIED ON TERMS
	oney balances as of Decembe roker owes me a Credit (Cr.) B		0
b. I owe	the Broker a Debit (Dr.) Balanc	e of \$	6

502180406

С	. If	you wish to repay the Debit Balance,			
	pl	ease insert the amount you wish to repa	ay and		
	at	tach a check payable to "Irving H. Picar	d, Esq	• 1	
	T	rustee for Bernard L. Madoff Investment	Secur	ities LLC."	•
	lf	you wish to make a payment, it must b	e encl	osed	•
	W	ith this claim form.		\$. 0
d	. If	balance is zero, insert "None."			None
2. C	laim f	or securities as of December 11, 2008 :			
PLEASE D	ON O	T CLAIM ANY SECURITIES YOU HAV	E IN Y	OUR POS	SSESSION.
,			Y	ES	NO
a.	. Т	he Broker owes me securities		<u>/</u>	
b	. 10	owe the Broker securities			
С	. If	yes to either, please list below:			
					er of Shares or
				_ Face Arr	nount of Bonds
Date of				The Broke	-
Transaction (trade date		Name of Security		Owes Me (Long)	the Broker (Short)
(,	, ,		(==9)	(5.1.5.4)
Nov 200	- 58	SEE ATTACHED STM	T Di	TED	
	_				
	-	November 30, 2008	-		
	_		-		
		(-		
-		ntation can speed the review, allowaten the time required to deliver your			-

08-01789-cgm Doc 22077-1 Filed 08/03/22 Entered 08/03/22 23:13:33

Pg 97 of 182

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	•	<u> </u>
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		
8. ~	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.		

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF. **

Date 25, 2009	Signature Trank	Carollina	, Gen Ptr
Date	Signature		

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, *e.g.*, corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

* Customer reserves the right to amend the claim for any purpose.

502180406

MADF BERNARD L. MAD OFF INVESTMENT SECURITIES LLC
New York
London

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 F ax (212) 838-4061 Affihated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

MAYFAIR VENTURES

C/O FRANK AVELLINO

Redacted

11/30/08

PAGE 1

1-ZB032-3-0

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DATE	RECEIVED OR LONG	SOLD DELIVERED ORSHORT	TRN	DESCRIPTION	PRICE OF SYMBOL	AMOUNT DESITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				BALANCE FORWARD		1,223,684-51	
				DATUME I CHANGE			
11/12	18,270	•	1319	WELLS FARGO & CO NEW	29-800	545,176=00	
11/12	13,050	•	1821	HEWLETT PACKARD CO	34.900	455 , 96 7 = 00	•
11/12	11,310		5645	WAL-MART STORES INC	55•330	631,889=30	
11/12	7,395		6147	INTERNATIONAL BUSINESS MACHS	87.270	645,656-65	
11/12	27,405		9971	EXXON MOBIL CORP	72-380	1,998,372-40	
11/12	30,015	·	10473	INTEL CORP	14.510	436,717-65	
11/12	14,355		14799	HOSHHOL 3 NOSHHOL	59-580	855,844-90	
11/12	19,575		19124	J.P. MORGAN CHASE & CO	38-530	755,007=75	e e e e e e e e e
11/12	10,440		23450	COCA COLA CO	44.560	466,667=40	
11/12	6,090		27776	MCDONALDS CORP	55•370 28•550	337,446=30 323,352=50	
11/12	11,310		32102	MERCK & CO MICROSOFT CORP	21.310	902,951,25	
11/12	41,325		35428 40754	ORACLE CORPORATION	17.300	362.059-00	. 3
11/12	20,880 8,265		53732	PEPSICO INC	56-410	466,558=65	and the second
11/12	4.785		54234	APPLE INC	100.780	482,423-30	
11/12	35,235		58058	PFIZER INC	16.940	598,289.90	
11/12	8+265		58560	ABBOTT LABORATORIES	54.510	451,681-65	
11/12	15,660		62384	PROCTER & GAMBLE CO	64-080	1,004,118-80	
11/12	5,655		62886	AMGEN INC	59-160	334,775-80	
11/12	10,875		66710	PHILLIP MORRIS INTERNATIONAL	43 - 600	474,585-00	
11/12	26,100		67212	BANK OF AMERICA	21.590	564,543.00	
11/12	8,700		71036	QUALCOMM INC	33-770	294,147.00	
11/12	28,275		71538	CITI GROUP INC	12-510	354,351.25	
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BERNARD L. MADOFF
INVESTMENT SECURITIES LLC New York D London

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061

Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London WIJ 8DT Tel 020 7493 6222

MAYFAIR VENTURES

C/O FRANK AVELLINO

Redacted

PERIOD ENDING 11/30/08 2

YOU'M ACCOUNT NUMBER 1-28032-3-0 YOUR TAX PAYER INTENTIFICATION HUMBER *****6232

DATE	BOUGHT RECEIVED OR LONG	SOLD DE_IVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	6,525		75362	SCHLUMBERGER LTD	49 • 480	323,118-00	
11/12	15,660		75864	COMCAST CORP	16.510	259,172-60	
	. 1	,		CL A	1		
11/12	30,885		79688	ATET INC	27	835,130=00	
11/12	7,830	Í	30190	CONOCOPHILIPS	52.510	411,466=30	
11/12	5,220		34014	UNITED PARCEL SVC INC	52.040	271,856-80	
	1			CLASS B			eet ,
11/12	31,755		84516	CISCO SYSTEMS INC	16.730	532,531-15	
11/12	9,135	į	88340	U S BANCORP	29 • 530	270,121-55	
11/12	10,875	ie.	88842	CHEVRON CORP	73 • 430	798,986-25	
11/12	5,220		92666	UNITED TECHNOLOGIES CORP	53-160	277,703-20	
11/12	55,245	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	93168	GENERAL ELECTRIC CO	19-630	1,086,668-35	
11/12	14,790	į	96992	VERIZON COMMUNICATIONS	30.410	450,354-90	
11/12	1,305		97494	GDOGLE	337 • 400	440,359=00	
11/12	1	150,000	20218	U S TREASURY BILL	99-942	,	149,913.00
l	1			DUE 12/18/2008	1		
1	i			12/18/2008	1	1	
11/12		19,650,000	23925	U 5 TREASURY BILL	99•936		19,637,424.00
ĺ				DUE 2/1:2/2009	1 1	1	* .
]		,	2/12/2009			10.23
11/12	1			FIDELITY SPARTAN	DIV		\ 10.31
1	ł	1		U S TREASURY MONEY MARKET			\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
				DIV 11/12/08	_		201 201
11/12	,	24,706	19029	FIDELITY SPARTAN	1 1	1	24,706.00
1				U S TREASURY MONEY MARKET		•	
i				CONTRACTO IN DICT. 2	1 1		\ .
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MADE BERNARD L. MIADOFF
INVESTMENT SECURITIES LLC New York 🗆 London

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061

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MAYFAIR VENTURES

C/O FRANK AVELLINO

Redacted

РЕПІОВ ЕНВІМО 11/30/08 PAGE 3

YOUR ACCOUNT NUMBER 1-ZB032-3-0

YOUR TAX PAYER ID ENTIFICATION NUMBER *****6232

DATE	EQUGNT RECEIVED OR LONG	SOLD L'ELIVERED OR DHORT	TAN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DESITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	23,633		2839 7	FIDELETY SPARTAN	1	23,633.00	
11/19				U S TREASURY MONEY MARKET FIDELITY SPARTAN	VIQ		2.90
	ľ	•		U S TREASURY MONEY MARKET DIV 13/19/08			
11/19		23,633	53927	FIDELITY SPARTAN	1	No any many	23,633.00
11/19	1,325,000		58368	U S TREASURY MONEY MARKET U S TREASURY BILL	99.926	1,324,019.50	,
	2,022,000	!		DUE 03/26/2009 3/26/2009			
11/19	2,876		62963	FIDELITY SPARTAN	1	2,876.00	
		and the second of the second		U'S TREASURY MONEY MARKET			
				NEW BALANCE		2,439,074.35	,
			- 1 · · · ·	SECURITY POSITIONS	MKT PRICE		
	30,885 8,265			ATET INC ABBOTT LABORATORIES	28.560 52.390		
	5,655	V		AMGEN INC	55-540		
	4,785 26,100	* !		APPLE INC. BANK OF AMERICA	92.670 16.250		
	10,875	,		CHEVRON CORP CISCO SYSTEMS INC	79-010 15-540	,	
	31,755 28,275	,		CITI GROUP INC	8-290		*
	10,440	/		COCA COLA CO	46.870		
*				CONTINUED ON PAGE 4			
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.		4.7					

BERNARD L. MADOFF INVESTMENT SECURITIES LLC
New York © London

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 338-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

MAYFAIR VENTURES

C/O FRANK AVELLIND

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11/30/08

PAGE 4

1-ZB032-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER

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DATE	BOUGHT RECEIVED ON LONG	SOLD DELIVERED ON BHORT	TFRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
$\neg \uparrow$	15,660			COMCAST CORP /	17.340/		
- 1				CL A	1.		
- 1	7,830			CONDCOPHILIPS	52-520		
- 1	27,405			EXXON MOBIL CORP /	80-150		
)	55,245			GENERAL ELECTRIC CO	17.170	j	
1	1,305			GOOGLE '	292.960		
1	13,050			HEHLETT PACKARD CO	35-280	The state of the s	
- 1	30,015			INTEL CORP	13.800	'	
}	7,395			INTERNATIONAL BUSINESS MACHS	81.600	İ	
-	19,575			J.P. MORGAN CHASE & CC	31.660		
- 1	14,355		1.6	JOHNSON E JOHNSON	58-580	The second of the second	
- 1	6,090		A 10 10 10 10 10 10 10 10 10 10 10 10 10	MCDONALDS CORP	38-750	A CONTRACTOR OF THE STATE OF TH	
- 1	11,310			MERCK & CO	26.720		
- [41,325			MICROSOFT CORP	20-220	Į.	
- 1	20,880			DRACLE CORPORATION	16-090		
[The second	, -	PEPSICO INC	56.700	* * *	
1	8•265 35•235			PFIZER INC	16.430		
- 1				PHILLIP MORRIS INTERNATIONAL	42-160	•	
1	10,875			PROCTER & GAMBLE CD	64-350	,	
- (15,660			QUALCOMM INC	33-570		
Ī	8,700.		i	SCHLUMBERGER LTD	50.740		
- 1	6,525.			FIDELITY SPARTAN	1		
i	2,876		l		- 1		
i				U S TREASURY MONEY MARKET	26-980-		
1	9,135			UNITED PARCEL SVC INC.	57-600		
- 1	5,220		1	CLASS B	370000		
l			ŀ	CLASS D			
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BERNARD L. MADOFF INVESTMENT SECURITIES LLC
New York
London

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MAYFAIR VENTURES

C/O FRANK A VELLINO

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11/30/08

PAGE 5

1-ZB 032-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER
***** 6232

DATE	GOUGHT RECEIVED OR LONG	SOLD DELIVERED ON SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	1,325,000 5,220 14,790 11,310 18,270			U S TREASURY BILL DUE 03/26/2009 3/26/2009 UNITED TECHNOLOGIES CORP VERIZON COMMUNICATIONS WAL-MART STORES INC WELLS FARGO & CO NEW	99•971 48•530 32•650 55•880 28•890	en de la company	
, ,			1950) 1. 2 7 (8)	MARKET VALUE OF SECURITIES LONG SHORT 0,492,847.90			
,			,			ety of extract	
		i					·

BERNARD L. MADOFF INVESTMENT SECURITIES LLC
New York
London

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MAYFAIR VENTURES

C/O FRANK AVELLINO

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11/30/08

PAGE 1

1-ZB032-4-0

YOUR TAX PAYER IDENTIFICATION NUMBER

*****6232

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TAN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
-			ì	BALANCE FORWARD			1,223,685.00
11/12		435	45080	S & P 100 INDEX NOVEMBER 460 CALL	15-800		686,865.00
1/12	435		49406	S & P 100 INDEX NOVEMBER 450 PUT	17-800	774,735.00	
11/19		435	35510	S & P 100 INDEX DECEMBER 430 CALL	26		1,130,565.00
1/19	435		39835	S & P 100 INDEX	30	1,305,435.00	· ·
1/19	435	inggalings Tiday yan inggaliwati	44160	DECEMBER 420 PUT S & P 100 INDEX NOVEMBER 460 CALL	3	130,935.00	
1/19		435	48485	S & P 100 INDEX NOVEMBER 450 PUT	37		1,609,065.00
				NEW BALANCE	(Allen Sill		2,439,075.00
		435		SECURITY POSITIONS S & P 100 INDEX	MKT PRICE 23+300	et e	
	435	•		DECEMBER 430 CALL S & P 100 INDEX DECEMBER 420 PUT	16.500		
				MARKET VALUE OF SECURITIES		* · · · · · · · · · · · · · · · · · · ·	,
				LONG SHORT 717,750.00 1,013,550.00-			
, -					10,100		

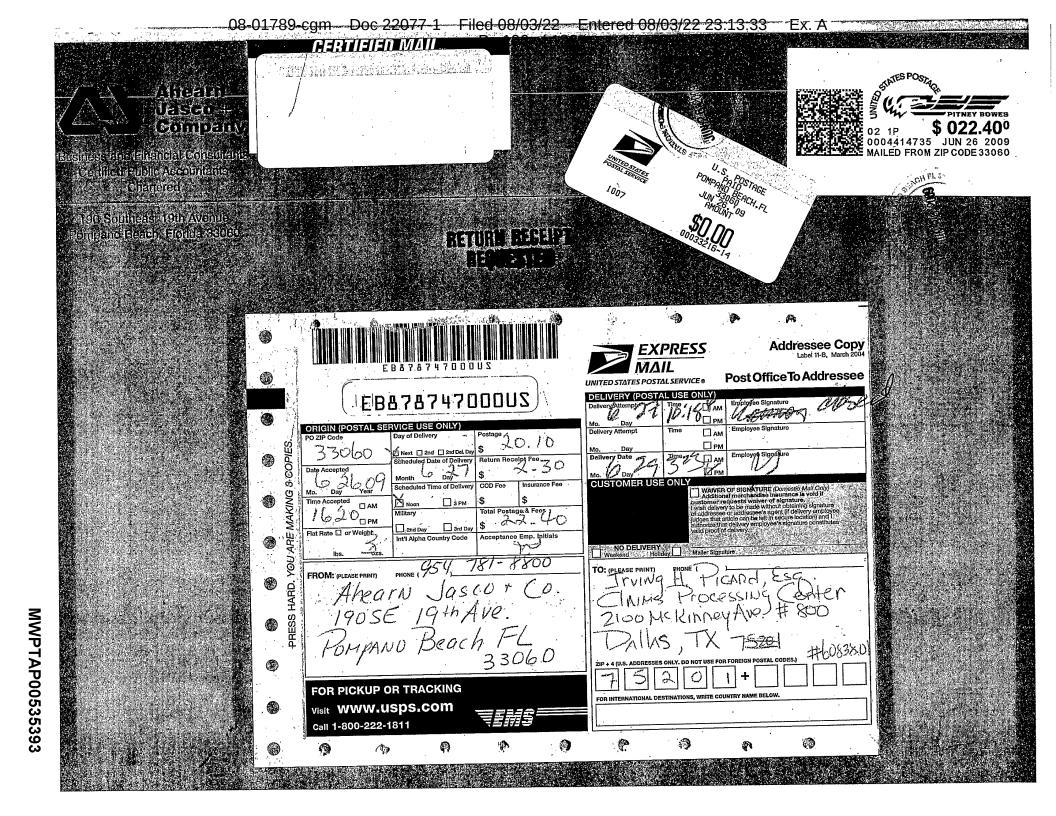


EXHIBIT 13

Bernard L. Madoff Investment Securities LLC Case No 08-01789-BRL

U.S. Bankruptcy Court for the Southern District of New York
Claim Number; 003865

CUSTOMER CLAIM

DECE	VIBER 11, 2008 ¬y
Irving H. Picard, Esq. Trustee for Bernard L. Madoff Investment Securities LLC Claims Processing Center 2100 McKinney Ave., Suite 800 Dallas, TX 75201	Provide your office and home telephone no. OFFICE: HOME
Account Number: _1ZB510 ST JAMES ASSOCIATES MICHAEL BIENES, DIANE BIENES GENERAL PARTNERS	Taxnaver LD. Number (Social Security No.)

(If incorrect, please change)

BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

1.	Claim for money balances as of December 11, 20	08_:
----	--	------

a. The Broker owes me a Credit (Cr.) Balance of

\$	
æ	

b. I owe the Broker a Debit (Dr.) Balance of

502180406

NOTE:

08-01789-cgr	п Бос	22077-1	Pg 109 of 182	intered 06/0	13/22 23.1	3.33 EX. A
(c. If yo	ou wish to r	epay the Debit Bala	nce,		
	plea	se insert t	he amount you wish	to repay and		
	atta	ch a check	payable to "Irving H	I. Picard, Esc	l	
		i	rnard L. Madoff Inve			
			make a payment, it r			
	-	this claim	• •		\$	
(d. If ba	alance is ze	ero, insert "None."		-	NONE
2.	Claim for	securities	as of December 11 ,	2008:		
PLEASE	DO NOT	CLAIM AN	Y SECURITIES YO	U HAVE IN \	OUR POS	SESSION.
	•			Y	<u>ES</u>	NO
1 1 1 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	a. The	Rroker ou	es me securities		/	
					<u>v</u>	
	o. I ow	∖e`me πιοίν	er-securities			
•	c. If ye	es to either	, please list below:			
	 4		Light and a			er of Shares or sount of Bonds
Date of Transaction (trade dat			Name of Security		The Broke Owes Me (Long)	
	 		ATTACHED			
claim and Please er sale conf any othe assistant documer transfers	d shorte nclose, if irmation r docum ce in protation (s , etc.) of	n the time possible, s and checentation, s occessing uch as ca	speed the review, a required to delive copies of your last cks which relate to the such as corresponding your claim. In procelled checks, recosits of cash or separation. You should	er your secu account stat the securitied dence, whicl articular, yo eipts from th curities with	rities and tement and sor cash y h you belied to should be bebtor, at the Debtor	cash to you. d purchase or ou claim, and eve will be of l provide all proof of wire or from as far

information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	25-0	
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	•	
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.		<u> </u>

9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.
	Please list the full name and address of anyone assisting you in the preparation of this claim form:
	nnot compute the amount of your claim, you may file an estimated claim. In that ease indicate your claim is an estimated claim.
CONVIC	VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR ONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.
	DREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY
Date _	2-20-09 Signature Michael Biana
Date _ Z	0/02/09 Signature William Signature
address than a pe	rship of the account is shared, all must sign above. Give each owner's name, phone number, and extent of ownership on a signed separate sheet. If other ersonal account, e.g., corporate, trustee, custodian, etc., also state your capacity nority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

ST JAMES ASSOCIATES

GENERAL PARTNERS

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London WIJ 8DT Tel 020 7493 6222

11/30/08

PAGE 1

1-ZB510-4-0

YOUR TAX PAVER IDENTIFICATION NUMBERS

Redacted

MICHAEL BIENES, DIANE BIENES

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	THN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				BALANCE FORWARD			1,810,057.00
1/06		403	19100	S & P 100 INDEX NOVEMBER 470 CALL	20=300		817,687.00
11/06	403		19335	S & P 100 INDEX	20.500	826,553.00	
11/07		279	31621	NOVEMBER 460 PUT S. & P. 100 INDEX NOVEMBER 470 CALL	7.22 1.02 1.02 1.03 1.03 1.03 1.03 1.03 1.03 1.03 1.03		613,521.00
11/07	279		31856	S & P 100 INDEX	13.800	385,299.00	
1710		342	44081	NOVEMBER 460 PUT S.E.P. 100 INDEX NOVEMBER 483 CALL	12.400		423,738.00
11/10	342		44316	S & P 100 INDEX	16.800	574,902.00	
1/19		1,024	30295	NOVEMBER 475 PUT SEPHIOO INDEX DECEMBER 430 CALL			2,661,376-00
1/19	1,024		30533	S & P 100 INDEX	30	3,073,024.00	
1/19	682		30771	DECEMBER 420 PUT S.E.P. 100 INDEX NOVEMBER 470 CALL	1.500	102,982,00	
1/19	342		31009	S & P 100 INDEX	•900	31,122.00	
1719		682	31247	NOVEMBER 485 CALL S E P 100 INDEX NOVEMBER 460 PUT	45		3,068,318.00
.1/19		342	31485	S & P 100 INDEX	59		2,017,458.00
				NOVEMBER 475 PUT CONTINUED ON PAGE 2			
		a gille in Address. La The State State and Life	્રે. () કર્યું	CAMPONIA - A CHAPPARA			
DIEACE		D INCOME TAY DURDOSES			J	I	

ST JAMES ASSOCIATES

GENERAL PARTNERS

MICHAEL BIENES, DIANE BIENES

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061

Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

PERIOD ENDING 11/30/08

1-ZB510-4-0

*****6905

Redacted BOUGHT RECEIVED OR LONG DESCRIPTION DATE. PRICE OR SYMBOL 11/25 72263 S & P 100 INDEX 458,865.00 DECEMBER 380 CALL S & P. 100 INDEX DECEMBER 370 PUT NEW BALANCE 6,593,503.00 SECURITY POSITIONS 23.300 S & P 100 INDEX DECEMBER 430 CALL SIE P-100 INDEX DECEMBER 380 CALL 16.500 S & P 100 INDEX DECEMBER 420 PUT S E P 100 INDEX DECEMBER 370 PUT MARKET VALUE OF SECURITIES SHORT

ST JAMES ASSOCIATES

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 3DT Tel 020 7493 6222

(212) 000-2001

SPAGE 1

1-ZB510-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER

11/30/08

GENERAL PARTNERS

Redacted

MICHAEL BIENES, DIANE BIENES

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				BALANCE FORWARD		1,810,056.06	
11/06	3,627		11110	APPLE INC	105+380	382•358•26	
11/06	6,448	a najiditah di penjaha	11345	ABBOTT LABORATORIES	55-090	355,477.32	valoral laborate de la laborate
11/06	4,433		11580	AMGEN INC	60-350	267,708.55	
11/06	3,224	อสามสมัยอย่า เหตุกลลับบาย เป	11815	BOEING CO	51.120	164,938.88	1974 2042 Park Tork (1985) 1974
11/06	20,956		12050	BANK OF AMERICA	23.840	500,429.04	
L1/06	2,418		12285	BAXTER INTERNATIONAL INC	60-600 32-290	146,626.80 156,347.44	to magnification of the real descriptions are selected to the state of the selected of the sel
11/06	4,836		12520	BANK OF NEW YORK MELLON CORP BRISTOL MYERS SQUIBB COMPANY	20.610	166,438,60	
11/06	8,060		12755 12990	ANHEUSER BUSCH COS INC	62.430	176,227.03	
11/06 11/06	2,821 22,568		13225	CITI GROUP INC	13.530	306.247.04	
11/06	12,090		13460	COMCAST CORP	15.790	191,384.10	
200000000000000000000000000000000000000	common a management of the second of the sec	a marginar constituent anniques, color	vivaria-totana in vicari	CL a Armodora a mado proceso de a seu colonica con contra con actual de la contra con actual de la contra con actual de la contra con actual de la contra contra con actual de la contra	NAMES A CONTROL OF STREET		
11/06	6,448		13695	CONOCOPHILIPS	51.120	329,878.76	est and the second seco
L1/06	24 , 583	Substitution of the substi	13930	CISCO SYSTEMS INC	17.520	431,677-16	
L1/06	6,045		14165	CVS CAREMARK CORP	30-510	184,673.95	
12/06	8,463	SECTION SECTION	14400	CHEVRON CORP	73.740 24.760	624,399.62 199,887.60	
11/06	8,060		14635 14870	THE WALT DISNEY CO GENERAL ELECTRIC CO	19.600	846+895+60	
11/06	63,121 806	1 There are refer to be and have the control of the control of	15105	GOOGLE	356-520	287,387.12	
L1/06	1,612		15340	GOLDMAN SACHS GROUP INC	91.870	148.158.44	a salahan mengapangkan kacaman dalam mengapan dan pada pengapan pengapan kacamatan dalam men
L1/06	7,254		15575	HOME DEPOT INC	23.300	169,308-20	
11/06	10,075	17.4127-11.41	15810	HEWLETT PACKARD CO	38-310	386,376-25	
11/06	5,642	<u></u>	16045	INTERNATIONAL BUSINESS MACHS	92.800	523,802.60	
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ST JAMES ASSOCIATES

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GENERAL PARTNERS

MICHAEL BIENES, DIANE BIENES

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/06	22,971		16280	INTEL CORP	16.070	370,061-97	
LI/06	11,687		16515	JOHNSON & JOHNSON	61.310	716,996.97	THE PERSON NAMED IN COLUMN TO SERVICE AND ADDRESS OF THE PERSON NAMED IN COLUMN TO SE
11/05			16750	J.P. MORGAN CHASE & CO	40.910	627,107-74	
11/06			16985	KRAFT FOOD INC	29-110	187,958-28	
L1/06 L1/06	8,060 4,836		17220 17455	COCA COLA CO MCDONALOS CORP	44.490 57.900	358,911.40 280,197.40	
11/06	THE STATE OF THE SECTION OF THE SECT		17690	MEDINALDS CORE	40.310	195.137.16	
11/06	2,821		17925	3M-COMPANY	63.590	179.499.39	
11/06			18160.	ALTRIA GROUP INC	19-160	162-489-08	
11/06	8,866		18395	MERCK & CO	30.780	273,249.48	
11/06			,18630	MICROSOFT CORP	22•310	729,570,33	
1706				ORACLE CORPORATION	18-110	299,891.53	
11/06			19570	OCCIDENTAL PETROLEUM CORP	54-290	197,054-83	
L1/06 L1/06			19805 20040	PEPSICO INC	57 178690	367,793.00 493.017.83	
1/06			20275	PROCTER & GAMBLE CO	64.570	807,172.01	
11/06			20510	PHILLIP MORRIS INTERNATIONAL	42.730	379,198.18	
11/06		e andarus karana engakan salah di sel	20745	QUALCOMM INC	37.810	259,310.31	r orași per represi a productive de la complete de la complete de la complete de la complete de la complete de
11/06	4,836		20980	SCHLUMBERGER LTD	51.760	250,504,36	A CONTRACTOR OF THE CONTRACTOR
11/06			21215	ATET INC	26-980	653,343.40	
11/06			21450	TIME WARNER INC	10.060	150,600.66	
17/06	4,030		21685	UNITED PARCEL SVC INC	52 -79 0	212,904-70	eriorija - Zogosof Thirld Strik iss Vilonista investigator sala
1/06	7,254		21920	CLASS B U S BANCORP	29.550	214,645.70	
11/06	4,030		22155	UNITED TECHNOLOGIES CORP	54.920	221,488.60	1
AND WALL	a local magazine di da di salam di salam	enermarieni ereniaren sonnen besteri	ara wasan ara sa	TURKER HAT STREET BY THE TOTAL STREET AND A STREET STREET, THE	reservations remaining	chara Gerrania ancia faminana di dipensionale di antina di	E MONTO O MANDE ETTALLETTE CENTRALENCE CONTROL SENSO NO C
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GENERAL PARTNERS

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APAGE 3

YOUR ACCOUNT NUMBER

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11/30/08

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ST JAMES ASSOCIATES MICHAEL BIENES, DIANE BIENES

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED
11/06	11,687		22390	VERIZON COMMUNICATIONS	29.980	350,843-26	
L1/06 L1/05	13,702 9,269		22625 22860	WELLS FARGO & CO NEW WAL-MART STORES INC	33.660 56.560	461,757.32 524.624.64	
11/06	21,762		23095	EXXON MOBIL CORP	73-680	1,604,294.16	10+21
LI/06	enter man production designed activities and the	manuskannuskannuskinisti (1900–1900)	and the second of the	FIDELITY SPARTAN U.S.TREASURY MONEY MARKET	DIV	Wasaniyan Barila ora bir barila da da da da da da da da da da da da da	
	1.6 Jan 32 Jan 20			DIV 11/06/08 FIDELITY SPARTAN		30•677•00	
11706	30,677	STATE OF STREET ON THE COLUMN STATE OF	10875	U S TREASURY MONEY MARKET	Control of the Contro		SANCE CONTROLLED AND ACT OF CHARGE CONTRACT AND AND ACT OF CHARGE CONTROL OF CHARGE CONTRACT OF CONTRACT OF CHARGE CONTRACT OF CONTRACT OF CHARGE CONTRACT OF CONTRACT OF CONTRACT OF CO
LI/06		33,411	48392	FIDELITY SPARTAN U.S. TREASURY MONEY MARKET	1.		33,411.00
11/06	and the second second	2,025,000	48593	U S TREASURY BILL	99.989		2,024,777.25
:				DUE 12/11/2008 12/11/2008			
11/06		396259000	48817	U S TREASURY BILL	99.932		_3,622,535±00
				°DUE 12/18/2008 12/18/2008			
L1/06	ring transfer the state of the	5,000,000	.49026	U.S. TREASURY BILL	.99.960		4,998,000.00
				DUE 01/08/2009 1/08/2009			
L1/06		5,000,000	49239	U S TREASURY BILL	99-946		4,997,300.00
				DUE 01/15/2009 1/15/2009			
11/06	Contract Market Contract	5,000,000	49454	U S TREASURY BILL	99.934		4,996,700.00
A CONTRACT OF THE PARTY OF	or has more companion to severe water higher of the page of		Construction and account of the	DUE 01/22/2009 1/22/2009	Market and State a resident of the second state of the second state of the second state of the second state of	en en en en en en en en en en en en en e	
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ST JAMES ASSOCIATES

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11/30/08

PAGE 4

VOUR ACCOUNT NUMBER 1-ZB510-3-0

OVOUR TAX PAYER (GENTIFICATION NUMBER)

GENERAL PARTNERS

Redacted

MICHAEL BIENES, DIANE BIENES

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	TATE OF THE	DES	CRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
1/06		5,000,000	49669		TREASURY.		99.928		4,996,400.00
			ri je vir i i	DUE	01/29/200	29 / 2009			
1/06		3,100,000	³ 49882 ·		TREASURY 2/12/2009		99.902		3,096,962.00
			i. Halisanglikings		2/12/2009				
J06	3,425,000		50119	∍U∵S	TREASURY 03/26/200	BILL	99.802	3,418,218.50	
					3/	26/2009			
L/06	3,425,000		50348	2 C. Sec. 40 (1)	TREASURY 4/02/2009	A CONTRACTOR OF THE PROPERTY O	99-751	3,416,471,75	
					4/	02/2009		man as of the last and the last and the	
1/06	3,425,000	THE WAS COLUMN TO THE PARTY OF	50577		TREASURY -04/09/200	and the second s	99.726	3,415,615.50	
				100	4/	09/2009			
/07 /07	2,511 4,464	Control of the Contro	23631 23866		LE INC DIT LABORA		108*800*** 56*590	273,296.80 252,795.76	er and a state of the second s
/07	3,069		24101	AMGE	EN INC		62.070	190,614.83	
/07 /07	2,232 14,229		24336 24571	Paragraph of Land	ENG CO (OF AMERI	CA	53.640 23.720	119,813.48 338,080.88	
/07	1,674		24806			ATIONAL INC	61.740	103,418.76	
L/07 L/07	3,069 5,580		2504 1 252 7 6	a financial base	The state of the state of the state of the	ORK MELLON CORP SQUIBB COMPANY	34-210 21-020	105,112.49 117,514.60	A CHARLES AND A STATE OF THE ST
1/07	1,953 15,066		255 11 25746		EUSER BUSC I GROUP IN		64-190 14-410	125;441:07 217;703:06	
	199000	Marketine and the control of the con	" 20146 "	CIII	E GKUUP IN	New York of the American and the State of th	TANATA	ZZJYIOJ*OO	en la 19 decembra de la companya de la companya de la companya de la companya de la companya de la companya de
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	-	en no view on a construction of the first	The second of the second		e wielweg in two selections	in the manufacture of the control of the second of the sec	n asa musaya tahan	general weeks a little of the seed of the	A CONTRACTOR OF THE STATE OF TH
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			and a second of the						
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ST JAMES ASSOCIATES

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michael bienes, diane bienes general partners

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E	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
07	8,091		25981	COMCAST CORP	17.390	141,025-49	- 1
07	4 , 185		26216	CL A CONGCOPHILIPS	53-060	222;223-10	
07	16,461	active department of the	26451	CISCO SYSTEMS INC	174580	290,042.38	
07	3,906	man managan saka saka	26686	CVS CAREMARK CORP	31.720	124,054.32	
07	5,859		26921	CHEVRON CORP	75•450 mg	442,295.55	
07	5 ,301		27156	THE WALT DISNEY CO	25.620	136,023-62	
07	29,295		27391	GENERAL ELECTRIC CO	19.010	581,504.95	
07	558		27626	GOOGLE	349-160	194,853.28	
07	1,116		27861 28096	GOLDMAN SACHS GROUP INC HOME DEPOT INC	89.070 Z2.480	99,446.12	
07	4,743 6,975	Target And Carlotte	28331	HEWLETT-PACKARD CO	38.820	106,811.64 271,048.50	
37	3,906		28566	INTERNATIONAL BUSINESS MACHS	92.430	361,187,58	The constitution of the state o
7	15,624	and the second section of the second section is a second section of the second section of the second section is a second section of the section of the	28801	INTEL CORP.	16	250,608.00	
1	7.812	Tana Palana Sak	29036	JOHNSON & JOHNSON	51.820	483,249,84	
7	10,602		29271	J.P. MURGAN CHASE & CO	40.960	434.682.92	
7	4,185		29506	KRAFT FOOD INC	. 29-710	124,503.35	
77	5,580	vachi ede e serenijetika ser	29741	COCA COLA CO	46-580	260,139,40	
7	3,069		29976	MCDUNALDS CORP	57•510	176,620,19	
7	3,069		30211	MEDTRONIC INC	41.140	125,380.66	
7	1,953		30446	3M COMPANY	64-880	126,788.64	
7	5,859		30681	ALTRIA GROUP INC	19.370	113,722-83	
7	6,138		30916	MERCK & CO MICROSOFT CORP	30.480 22.940	187,331-24	
7	22,320 11,160	er sammen er en en en en en en en en en en en en en	31151 31386	ORACLE CORPORATION	18.470	512,912.80 206,571.20	THE SECTION OF THE PARTY OF THE SECTION OF THE SECT
*	114100		22366	GRACLE CURFURATION	709-410	2009011020	
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885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

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1-ZB510-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER

GENERAL PARTNERS

Redacted

ST JAMES ASSOCIATES MICHAEL BIENES, DIANE BIENES

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DATE	BOUGHT, RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
1/07	2,232		32091	OCCIDENTAL PETROLEUM CORP	54.380	121,465.16	
1/07	47454	200400000000000000000000000000000000000	32326	PEPSICO INC	58-630	261,902.32	
1/07	18,972		32561	PEIZER INC	18	342,254.00	
1707	8,649	anna Tarana ann an ann an an an an an an an an a	32796	PROCTER & GAMBLE CO	65.180	564,086.82	
1/07	5,859		33031 33266	PHILLIP MORRIS INTERNATIONAL QUALCOMM INC	43-640	255,920-76	
1/07	4 , 743 3 , 348		33501	SCHLUMBERGER LTD	37.690 .51.770	178,952.67 173,458.95	
1/07	16,182		33736	ATET INC	28.910	468.468.62	
1/07	10,044		33971	TIME WARNER INC	10.110	101,945.84	
1/07	2,790	er ola alaksa Eromal Kollinglo	34206	UNITED PARCEL SVC INC	53.680	149,878-20	Angelegie (1985) strate (1987) (1986) se Tentital (1986) (1986) (1986)
				CLASS B			
1/07	5,022	CONTINUE AND A CONTINUE OF A SECOND	34441	U S BANCORP	30•790	154,827.38	
1/07	2,790		34676	UNITED TECHNOLOGIES CORP	56	156,351-00	
1/07	7,812 9,466		34911 35146	VERIZON COMMUNICATIONS NELLS FARGUE CO NEW	31.810 34.080	248,811.72	
1/07 1/07	67417		35381	WAL-MART STORES INC	56•730	323,661.88 364,292.41	
1/07	14,787		35616	EXXON MOBIL CORP	75.280	1,113,756.36	
101	ia na pod pod populacija se pod prijeka iz vijeka li. NYOMA INDEPOSITATION NEVER ILI TOTAN	1411 S. 1500 Sept. 91 S. 122	FIDELITY SPARTAN	DIV	Sand There are a superior of the sand superior and the sand superior of		
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			ALCEPTOS AS	DIV 11/07/08			
107		30,677	11127	FIDELITY SPARTAN	1		30,677.00
and the second	REPORT TO TEMP	100 000	4 4 4	U.S. TREASURY MONEY MARKET			
1/07		3,100,000	11375	U S TREASURY BILL DUE 02/05/09	99.923		3,097,613.00
		وأنساه هالما		2/05/2009		The state of the s	25 15 15 15 15 15 15 15 15 15 15 15 15 15
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11/30/08

YOUR TAX PAYER IDENTIFICATION NUMBER ******6905

ST JAMES ASSOCIATES MICHAEL BIENES, DIANE BIENES GENERAL PARTNERS Redacted

			<u>i</u>		1-78210-	3-0 *******	<u> </u>	
DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED	AMOUNT CREDITED TO YOUR ACCOUNT	-
L1/07		3,100,000	11590	U S TREASURY BILL	99.887		3,096,497.00	
L1/07		3,100,000	11806	DUE 02/19/2009 2/19/2009 U S TREASURY BILL DUE 02/26/2009	99•889		3,096,559.00	1 1
E1/07		3,100,000	12016	2/26/2009 U S TREASURY BILL DUE 03/05/09 3/05/2009	99•866		3,095,846.00	• • •
L1/07		3,125,000	12077 	U S TREASURY BILL DUE 3/12/2009 3/12/2009	99.840		3,120,000.00	
L1/07	1,475,000	PORTON TO THE CONTROL OF THE CONTROL	12353	U S TREASURY BILL DUE 04/09/2009	99.720	1,470,870-00		
E1/07	1,475,000		12573	4/09/2009 U S TREASURY BILL DUE 4/16/2009	99•671	1,470,147+25	A CONTRACTOR OF SHIPMEN	
13/07	26,545		12808	4/16/2009 FIDELITY SPARTAN U.S. TREASURY MONEY MARKET	1	26,545.00		
11/10 11/10	3,078 5, 6 72		36091 36326	APPLE INC ABBOTT LABORATORIES	108•720 55•910	334,763.16 306,157.52	toperature tradective. For comparing any or the contractive and any or the contractive and the contractive	-
17/10 17/10 17/10	3,762 2,736		36561 36796	AMGEN INC BOEING CO	59•620 52•190	224,440.44 142,900.84		
TATALO	17,784	A character and a series of the series of th	37031	BANK OF AMERICA	24-050	428,416.20	er og menne er omkligeren skiller formaler, skiller for skiller for til skiller for skille	÷ .
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PLEASE	RETAIN THIS STATEMENT FO	R INCOME TAX PURPOSES		•				

ST JAMES ASSOCIATES

GENERAL PARTNERS

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061 Althiated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

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YOUR TAX PAYER IDENTIFICATION NUMBER ...

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MICHAEL BIENES, DIANE BIENES

	i		i					12.2
DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TAN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT	
1710	2,394		37266	BAXTER INTERNATIONAL INC	60.770	145,578-38		
1/10	4,104 7,182		37501 37736	BANK OF NEW YORK MELLON CORP BRISTOL MYERS SQUIBE COMPANY	-33.480 21.310	137,565.92 153,335.42		-
11/10	2,394		37971	ANHEUSER BUSCH COS THE	64.090	153,526.46 278,958.38		
11/10	19,494		38206	CITI GROUP INC	14.270	179,036,60	and the second s	
11/10	10,260		38441	COMCAST CORP				
11/10	5,472		38676	*CONOCOPHILIPS	54-130	296,417.36		
11/10	20,862		38911	CISCO SYSTEMS INC	18.080	378,018-96		
11/10	.5 ,1 30	and the same of	39146	CVS_CAREMARK_CORP	31.300 76.410	160,774.00 575,208.84		
[1/10 [1/10	7,524 6,478		39381 39616	-CHEVRON-CORP THE HALT DISNEY-CO	25.660	165,997.68		
11/10	37,278		39851	GENERAL ELECTRIC CO	20.530	766,808.34		
1/10			40086	GOOGLE	363-580	248,715.72		
1710	1,710		40321	GOLDMAN SACHS GROUP INC	92•680 23•030	158,550.80 142.018.68		1
11/10	6,156	Creative Control of the second superior and expension	40556 40791	HOME DEPOT INC HEWLETT PACKARD CO	37.290	331,937.68	• •	-
1/10	8,892 4,788		41026	INTERNATIONAL BUSINESS MACHS	92.660	443,847.08		
1/10	20,178		41261	INTEL CORPS	15.880	322,233.64		-
11/10	9,918		41496	MOZNIE JOHNSON	61-320	608,567.76	RANGE AND AND THE CONTRACTOR OF THE PROPERTY O	1
11/10	12,996		41731	J.P. MORGAN CHASE & CO	41.730	542,842.08 164,925.20		
11/10	5,472		41966 42201	KRAFT FOOD INC	45.500	327,068,00		1_
1/10 11/10	7,1 82 4,104		42436	MCDONALDS CORP	57-230	235,035.92		1
11/10	4:104	. •	42671	MEDTRONIC INC	40-300	165,555.20		.
2 -3862	GN Teelsko (Panalika	SEC. Assets well take						
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Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

PERIOD ENDING 11/30/08

MICHAEL BIENES, DIANE BIENES GENERAL PARTNERS Redacted

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DATE BOUGHT	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AWOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	394	42906	3M COMPANY	64.690	154,962.86	
	182 524	43141 43376	ALTRIA GROUP INC	18.890 30.510	135,954.98 229,857.24	
L1/10 27,		43611	MICROSOFT CORP	23-200	643,794.40	
11/10 14,		43846	ORACLE CORPORATION	18.600	261,369.20	
L1/10 3,	078	.44551	OCCIDENTAL PETROLEUM CORP.	56.010	172,521.78	The amount of the second secon
	672	44786	PEPSICO INC	57.550	315,131.60	
	282	45021	PFIZER INC	17.960	437,075.72	State of the state
L1/10 10, L1/10 7,		45256 45491	PROCTER & GAMBLE CO PHILLIP MORRIS INTERNATIONAL	65.230 44.030	691,992.46 316,510.46	
	182 514	45726	QUALCOMM INC	37.310	217-152-34	
	446	45961	SCHLUMBERGER LTD	50.500	224,700,00	
11/10 21,		46196	ATET INC	28.580	606,858.32	
11/10 127	312	46431	TIME WARNER INC	11-010	136,047.12	
	620	46666	UNITED PARCEL SYC INC.	54.420	186,252.40	
		disemblina sue sessor	CEASS B	24 530		IN A SANGER FUNDE TO MAKE MAKENDA TAKAN SANGER SANGER
	156 420	46901 47136	U S BANCORP UNITED TECHNOLOGIES CORP	31.510 56.430	194,221.56 193,126.60	1
1/10	A 4 4 4 5 1 2 24 2 27 2 2 3 3 3 4 5 5 7 1 2 2 2 3 3 3 4 5 6 7 2 2 2 3 3 5 7 2 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	47371	VERIZON COMMUNICATIONS	32	328,730.00	的 第二次 第二次 第二次
11710 1117		47606	WELLS FARGO & CO NEW	34,600	402,793.80	
	866	47841	WAL-MART STORES INC	55.710	438,528-86	
189		48076	.EXXON MOBIL CORP	75-800	1,400,612.40	ons a commission of the commission of the description
13/10			FIDELITY SPARTAN	DIA	and the second s	1.44
	a serbita in the Calent Generalists Continue to bear	i presenti do ese	U.S. TREASURY MONEY MARKET		· · · · · · · · · · · · · · · · · · ·	and the first that the second section is a second section of the second section of the second section is a second section of the section of the second section of the se
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PERIOD ENDING 11/30/08

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MICHAEL BIENES, DIANE BIENES GENERAL PARTNERS Redacted

DATE BOUGHT RECEIVED OR LONG	SOLD DELIVERED OF SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT		
11/10	26,545	13044	FIDELITY SPARTAN	1	· · · · · · · · · · · · · · · · · · ·	26,545.00		
17/10	3,200,000	13416	U S TREASURY MONEY MARKET U S TREASURY BILL DUE 03/19/2009	99-867		3,195,744.00		
		n seria. T	3/19/2009		The same of the same of			
11/10	3,425,000	-13 618 .	U_S_TREASURY_BILL DUE 03/26/2009	99-834		3,419,314.50		
			3/26/2009					
11/10	3,425,000	13820	U S TREASURY BILL DUE 4/02/2009	99.770		3,417,122.50		
			4/02/2009					
L1/10	4,900,000	14053	U S TREASURY BILL DUE 04/09/2009	99.742		4-887-356-00		
			4/09/2009					
11/10	1,475,000	.14273	U S TREASURY BILL DUE 4/16/2009	99-686		1,470,368.50		
	*.		4/16/2009					
13/10 50,000		14500 -	U.S.TREASURY BILL DUE 4/16/2009	-99-686	49,843.00			
		1/700	4/16/2009					
L1/16 8,051		14732	FIDELITY SPARTAN U.S. TREASURY MONEY MARKET	1	8,051.00	and any property of the former specific many and any property of the contract		
11/18 11/18 500,000	7,168	49480	ANHEUSER BUSCH COS INC	. 70		501,760.00		
11/18 500,000	POSAN PO ASTRONOMY TECHNOLOGY, ELEVANOR MANAGER AND AND AND AND AND AND AND AND AND AND	49715	U S TREASURY BILL DUE 4/16/2009	99.830	499,150.00	A STATE OF THE STA		
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MICHAEL BIENES, DIANE BIENES

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DATE	BOUGHT RECEIVED OR LONG: 1	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED. TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/18	2,610		49953	FIDELITY SPARTAN	1	2,610.00	
11/19				U-S-TREASURY-MONEY MARKET FIDELITY SPARTAN	DIV		1.32
				U S TREASURY MONEY MARKET			
11/19		10,661	54197	DIV 11/19/08 FIDELITY SPARTAN	A CONTRACTOR CONTRACTOR AND AND AND AND AND AND AND AND AND AND		10,661.00
11/19	4,550,000		58619	U S TREASURY MONEY MARKET	00 000	4.546.633.00	
11817	- 44200000		20013	US TREASURY BILL DUE 03/26/2009		222222222494040000000000000000000000000	ter tig trade i til 1940 fra fra fra 1940 fra 1940 fra 1940 fra 1940 fra 1940 fra 1940 fra 1940 fra 1940 fra 1
11/19	4,053		63233	3/26/2009 FIDELITY SPARTAN		4,053.00	
HOLE PAR			TATE CATAL	U S TREASURY MONEY MARKET	-		
L1/20	et graves on a service a personal research and the service of the	4,550,000	63929	U S TREASURY BILL DUE 03/26/2009	99+962		4,548,271-00
				3/26/2009			
LE/20	4,550,000		64167	U-S-TREASURY BILL DUE 4/16/2009	99.947	4 ,547, 588 . 50	
Post of the same o			-536.000	4/16/2009		ciós con estados en espesas	
17/20	682		64408	FIDELITY SPARTAN U S TREASURY MONEY MARKET	* 1	: 682-00	
11/25	1,215 2,160		64647 64885	APPLE INC	85-070	103,408.05	4 11 L
11/25	1,485		65123	ABBOTT LABORATORIES AMGEN INC	54.140 53.630	117.028.40 79.699.55	
11/25 11/25	6+885 810		65361 65599	BANK OF AMERICA BAXTER INTERNATIONAL INC	12.980 52.570	89,642.30 42,613.70	
		arrangan) ang kanalagan kanalagan kanalagan kanalagan kanalagan kanalagan kanalagan kanalagan kanalagan kanala Kanalagan kanalagan k		DAXIER INTERNALIDIAL INC	STATE STREET	42,013.10	and the second second second second second second second second second second second second second second seco
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MICHAEL BIENES, DIANE BIENES

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION 1	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/25	1,620		65837	BANK OF NEW YORK MELLON CORP	24.690	40,061.80	
11/25	2,700		66075	BRISTOL MYERS SQUIBB COMPANY CITI GROUP INC	20.140	54•486•00 48•076•00	
11/25 11/25	7,830 675		66313 66551	COLGATE PALMOLIVE CO	62-660	42,322,50	
11/25	3,915	1.0	66789	COMCAST CORP	13.970	54,848-55	, and the second second
			67027	CL A CONGCOPHILIPS	45-100	97,502.00	
L1/25 L1/25	2,160 8,100		67265	CTSCO SYSTEMS INC	14.970	121,581.00	
11/25	2,025		67503	CVS CAREMARK CORP	27-040	54,837.00	
11/25	2,835		67741 67979	CHEVRON CORP THE WALT DISNEY: CO	68.710 19.760	194,905.85 50,786.40	
11/25 11/25	2 , 565		68217	EXELON CORP	48.740	46,096.30	
11/25	14,850		68455	GENERAL ELECTRIC CO	14-010	208,642.50	
11/25	270		68693	GOOGLE HOME DEPOT INC	275 19 . 530	74,260.00 44.912.35	
L1/25 L1/25	2 ,2 95 3 , 375		68931 69169	HEWLETT PACKARD GO	32.990	111,476.25	
11/25	1,890		69407	INTERNATIONAL BUSINESS MACHS	75-080	141,976-20	
11/25	7,830		69645	INTEL CORP JOHNSON & JOHNSON	12.270 57.650	96,387.10 225.855.75	
L1/25 L1/25	3 , 915 5 ,1 30		69883 3 70121		27.760	142,613.80	And Bright Committee
11/25	2,025		70359	KRAFT FOOD INC	25.900	52,528.50	
11/25	2,700		70597	COCA COLA CO MEDONALDS CORP	42.040 55	113,616.00 81.734.00	
L1/25 L1/25	1,485 1,620		70835 71073	MEDIRONIC INC	30.800	49,960=00	
11/25	945	* , · · ·	71311	3M COMPANY	58•280	55,111.60	F
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PERIOD ENDING 11/30/08 PAGE 13

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YOUR TAX PAYER IDENTIFICATION NUMBER ******6905

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TAN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/25	2,835		71549	ALTRIA GROUP INC	16.250	46,181.75	
11/25	2,970	THAT (BOWN A BUILDING AND	71787	MERCK & CD	25	74.368.00	A CONTRACTOR OF THE CONTRACTOR
11/25	10,800		72025	MICROSOFT CORP	18-100	195,912.00	
11/25 11/25	5,400	e i digeti ne se gje i se projetira. Postava i se projetira i se se	72739	DRACLE CORPORATION	16.050	86,886.00	
11/25	1,215 2,160		72977	OCCIDENTAL PETROLEUM CORP	44-570	54,200,55	
12/25	9,315		73215 73453	PEPSICO INC PEIZER INC	51.800	111,974.00	PROBLEM DAY, AND THE BOARD OF CO.
11/25	4,050		73691	PROCTER & GAMBLE CO	515-320 61-940	143,077.80	
11/25	2.835		73929	PHILLIP MORRIS INTERNATIONAL	36.380	251,019,00 103,250,30	
11/25	2,295	ا العالم المراجع المراجع المراجع المراجع المراجع المراجع المراجع المراجع المراجع المراجع المراجع المراجع المراجع	74167	OUALCOMM INC	29.850	68,596,75	
11/25	1,620		74405	SCHLUMBERGER LTD	46-270	75.021.40	REST STORMS VALUE OF
11/25	8,100		74643	ALEL INC SECTION SECTIONS SECTIONS	25	202.824.00	
11/25	4,995		74881	TIME WARNER INC	8.010	40,208.95	
11/25	60 1,350		75119	UNITED PARCEL SYC INC.	50.760	68,580.00	
11/25	2,430			CLASS B			
11/25	1,350	201 * 1907 * 41.00 (30.00 PM-00) PM-00 PM-	75357	U S BANCORP	23.400	56,959.00	
11/25	3,915		75595 75833	UNITED TECHNOLOGIES CORP VERIZON COMMUNICATIONS	44-890	60,655.50	هي د د د د
11/25	5,265		76071	WELLS FARGO & CO NEW	26.570 23.820	104,177-55	W. Transport
L1/25	3,105		76309	WAL-MART STORES INC	51.450	125,622.30 159.876.25	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
LI/25	1,890		76547	WYETH	33	62.445.00	en, den derengen generalen sigdig et som er er er er er er er er er er er er er
12/25	7,290	ASSERVE TORSELECT SINGLESS	76785	EXXON MOBIL CORP	72	525,171.00	
L1/25				FIDELITY SPARTAN	VIG SEE	and the second second	•46
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11/30/08

PAGE 14

MICHAEL BIENES, DIANE BIENES GENERAL PARTNERS

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YOUR TAX PAYER TOENTIFICATION NUMBER:

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11/25	obsessor vijen sija i milija i kandidetes	4,735	77376	FIDELITY SPARTAN	1	A CONTRACTOR OF THE PROPERTY O	4, 735.00
11/25		5,100,000	77616	U.S. TREASURY MONEY MARKET U.S. TREASURY BILE	99.878	 	5.093.778.00
		24 1 40 4 100		DUE 4/16/2009	77*010		
11/25	19,767	and some of the state of the st	77913	4/16/2009 FIDELITY SPARIAN	Mary 1	19,767.00	er sak kulanti ki tisasi oʻla tirik saksisi kalisi siyli tirk kitasi siyla ili saksi saksi s
				U S TREASURY MONEY MARKET			
				NEW BALANCE	Commence of the State of the St	6,593,502.22	The second second second second second second second second second second second second second second second se
				SECURITY POSITIONS	MKT PRICE		
	69,656 18,544			ATET INC ABBOTT LABORATORIES	28.560 52.390		
baseve s	24,339			ALTRIA GROUP INC	16-080		
	12,749 10,431		rietaria	AMGEN INC APPLE INC	55•540 92•670		
PATRY STREET	59,854 13,629		de el dellace d'Elle Disconagnes della	BANK OF AMERICA BANK OF NEW YORK MELLON CORP	16.250 30.210		
	7,296			BAKTER INTERNATIONAL INC	52.900		
page of the control o	8,192 23,522	and the complete that the design and the complete the com	a emiliare massima no combraco.	BUEING CO BRISTOL MYERS SQUIBB COMPANY	42.630 20.700		
	17,106 24,681			CVS CAREMARK CORP	-28.930 79.010		
Section States	70,006		A CAR Selve	CISCO SYSTEMS INC CITI GROUP INC	16.540		
THE STREET	64+958		The management of the second		8.290		
				CONTINUED ON PAGE 1.15			
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YOUR TAX PAYER IDENTIFICATION NUMBER >>>

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MICHAEL BIENES, DIANE BIENES
GENERAL PARTNERS

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BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED: TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT	
23,522			COCA COLA CO	46-870			
675 34 9 356			COLGATE PALMOLIVE CO. COMCAST CORP	65.070 17.340	2500 St. 100		
18,265			CL-A CONOCOPHILIPS	52.520			
22,424			THE WALT DISNEY CO	22.520			
945 62,307			EXELON CORP EXXON MOBIL CORP	56-210y 80-150/			
124,544		-	GENERAL ELECTRIC CO GOLDMAN SACHS GROUP INC	17-170\\\\\\78-990\/			
2,318			GOUGLE	292。960 义			
29;317 20;448			HEWLETT-PACKARD CO HOME DEPOT INC	23.110 V			
66,603 16,226		i de la companya de la companya de la companya de la companya de la companya de la companya de la companya de La companya de la companya de la companya de la companya de la companya de la companya de la companya de la co	INTEL CORP INTERNATIONAL BUSINESS MACHS	13-800/ 81-600/			
44,042			J.P. MORGAN CHASE & CO	31.660			
33,332 18,130		To The Particular Company was supported	JOHNSON & JOHNSON KRAFT FOOD INC	58.580 / 27.210 /	NATIONAL STREET, STREE	nancanana i cimplo Manana (VVII efficator navi Alia 2 Performati), i Aprezio (1, 2 Performati)	
13,494 13,629		4	MEDGNALOS CGRP MEDTRONIE ING	58-7509/ 30-520			
25,498	The second secon	ner was an in the second of th	MERCK & CO	26.720	to the Control of the second s	Prof. (Life (Brest 1981) Prog. 2011 - Section	
93,465 10,152			MICROSOFT CORP OCCIDENTAL PETROLEUM CORP	20.220\ 54.140\			
47,105 18,544		34	ORACLE CORPORATION PEPSICO INC	16.090 56.700			
109944				50•100			
			CONTINUED ON PAGE 16				
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PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES							

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MICHAEL BIENES, DIANE BIENES

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DATE RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TAN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
80,376			PFIZER INC	16.430		
.24,742 35,794			PHILLIP MORRIS INTERNATIONAL	42-160		
19,703			PROCTER & GAMBLE CO QUALCOMM INC	64•350 33•570		
14,250			SCHLUMBERGER LTD	50.740		
19,767		143.00 M	FIDELITY SPARTAN	1		
8,113			U.S.TREASURY MONEY MARKET 3M COMPANY	66.930		
42,262			TIME WARNER INC	9.050		
20,862			U_S_BANCORP	26.980		
11, 590			UNITED PARCEL SVC INC	57.600		
11,590			UNITED TECHNOLOGIES CORP	48+530		
33,674		2010	- VERIZON COMMUNICATIONS	,32.650		A MANAGEMENT AND THE STATE OF T
269657 409081			WAL-MART STORES INC WELLS FARGO & CO NEW	55•880 28•890		
1,890			WYETH	36.010		
			MARKET VALUE OF SEGURITIES			
			LONG			
		.4	9,530,425.36			
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				ALTERNATION OF STREET		
PLEASE RETAIN THIS STATEMENT FO	OR INCOME TAX PURPOSES			4		

GENERAL PARTNERS

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061 Madoff Securities International Limited
12 Berkeley Street
Mayfair, London WIJ 8DT
Tel 020 7493 6222

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YOUR TAX PAYER IDENTIFICATION NUMBER 1

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ST JAMES ASSOCIATES MICHAEL BIENES, DIANE BIENES

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DATE	BOUGHT RECEIVED OR LONG	SOLD SOLD SOLUTION OF SOLUTION	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT	
	-			YEAR-TO-DATE SUMMARY				
Standard All Alexander				DIVIDENDS			291,210.12 287,225,944.78	
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7373579473	CONTRACTOR CONTRACTOR	D. A. A. A. A. A. A. A. A. A. A. A. A. A.		。 《中国》《《西西·西西·西西·西西·西西·西西·西西·西西·西西·西西·西西·西西·西西				
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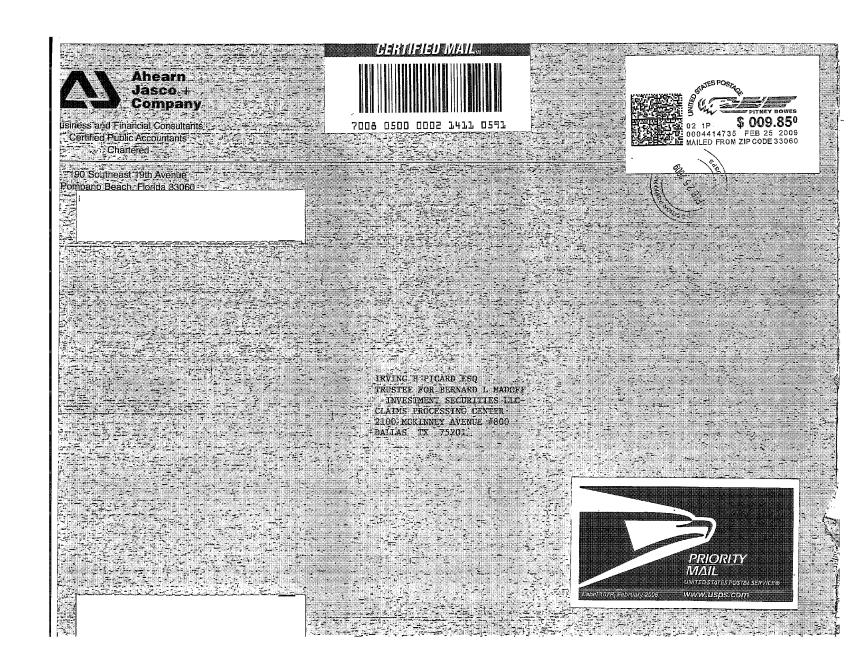


EXHIBIT 14

	C.	If you wish to repay the Debit Balance,							
		please insert the amount you wish to repa	v and						
		attach a check payable to "Irving H. Picard, Esq.,							
		Trustee for Bernard L. Madoff Investment							
		If you wish to make a payment, it must be	e enclosed						
		with this claim form.	\$	0					
	d.	If balance is zero, insert "None."		NONE					
2.	Clai	m for securities as of December 11, 2008 :							
DIEVE	E DO	NOT CLAIM ANY SECURITIES YOU HAV	E IN VOUD DOS	SESSION					
FLEAS		NOT CLAIM ANT SECURITIES TOO HAV	E IN TOOK POS	353310N.					
			YES	NO					
• , •	a. ˙	The Broker owes me securities							
	b.	I owe the Broker securities							
	C.	If yes to either, please list below:							
				er of Shares or sount of Bonds					
Date of Transac (trade of	ction	Name of Security	The Broke Owes Me (Long)						
Nov	<u> 2008</u>	SEE ATTBEHED STATEM	IENTS						
		DATED NOV 30, 2008	·						

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Pg 134 of 182

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	· ·	
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	•	
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	1 · · · · · · · · · · · · · · · · · · ·	<u>/</u>

9.	Have you or any member of your family ever filed a claim under the Securities	
	Investor Protection Act of 1970? if	,
	so, give name of that broker.	

Please list the full name and address of anyone assisting you in the preparation of this claim form: Peblea L. M. Donough CPA of Whearn Jasco + Co, 190 SE 19 Ave, Pompano Beach FC 33060

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF. *

Date 25, 2009	Signature Frank Cullus
Date	Signature

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

* Customer reserves the right to amend the claim
for any purpose

502180406

MWPTAP00043873

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

KENN JORDAN ASSOCIATES

C/O FRANK AVELLINO

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11/30/08

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YOUR TAX PAYER IDENTIFICATION NUMBER

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DATE	EOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
			;	BALANCE FORWARD		475,379.59	
11/12	7,140		1241	WELLS FARGO & CO NEW	29.800	213.057.00	
11/12	5,100		1743	HEWLETT PACKARD CO	34-900	178,194.00	
11/12	4,420		5567	WAL-MART STORES INC	55-830	246.944.60	
11/12	2,890		6069	INTERNATIONAL BUSINESS MACHS	87-270	252,325.30	
11/12	10,710		9893	EXXON MOBIL CORP	72-880	780,972.80	
11/12	11,730		10395	INTEL CORP	14-510	170,671.30	
11/12	5,610	•	14721	NOSNHOL 3 NOSNHOL	59-580	334,467.80	,
11/12	7,650		19046	J.P. MORGAN CHASE & CO	38-530	295,060.50	
11/12	4,080		23372	COCA COLA CO	44-660	182,375.80	
11/12	2,380	the state of the state of the	27698	MCDONALDS CORP	55-370	131,875-60	
11/12	4,420		32024	MERCK & CO	28-550	126,367.00	
11/12	16,150		36350	MICROSOFT CORP	21-810	352,877.50	
11/12	8,160	Water to the light	40676	ORACLE CORPORATION	17-300	141,494.00	
11/12	3,230		53654	PEPSICO INC	56-410	182,333.30	
11/12	1,870		54156	APPLE INC	100-780	188,532-60	
11/12	13,770	landing to the state of the sta	57980	PFIZER INC DEPARTMENT OF A Approvide to	16.940	233,813.80	page of the state
11/12	3,230		58482	ABBOTT LABORATORIES	54-610	176,519.30	
11/12	6,120		62306	PROCTER & GAMBLE CO	64-080	392,413.60	
11/12	2,210		62808	- AMGEN INC	59-160	130,831.60	
11/12	4,250		66632	PHILLIP MORRIS INTERNATIONAL	43-600	185,470.00	grander grander er en en en en en en en en en en en en en
11/12	10,200		67134	BANK OF AMERICA	21-590	220,626.00	
11/12	3,400		70958	QUALCOMM INC	33-770	114,954.00	
11/12	11,050		71460	CITI GROUP INC	12-510	138,677.50	,
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885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

KENN JURDAN ASSOCIATES

C/O FRANK AVELLINO

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PAGE 2

1-ZA879-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED ON SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	2,550		75284	SCHLUMBERGER LTD	49-480	126,276.00	
11/12	6,120		75786	COMCAST CORP	16-510	101,285-20	
				CL A			
11/12	12,070		79610	ATET INC	27	326,372.00	
11/12	3,060		80112	CONOCOPHILIPS	52-510	160,802.60	
11/12	2,040		83936	UNITED PARCEL SVC INC	52.040	105,242.60	
			·	CLASS Boys of the Tarth first than the liber			
11/12	12,410	÷ '	84438	CISCO SYSTEMS INC	16.730	208,115.30	
11/12	3,570		88262	U S BANCORP	29.530	105,564-10	•
11/12	4,250		88764	CHEVRON CORP	73-430	312,247.50	
11/12	2,040		92588	UNITED TECHNOLOGIES CORP	53-160	108,527-40	
11/12	21,590	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	93090	GENERAL ELECTRIC CO	19.630	424,674.70	The second second second
11/12	5,780		96914	VERIZON COMMUNICATIONS	30.410	176,000-80	
11/12	510		97416	GODGLE	337.400	172,094.00	المحتوي ويتأثر والمجاور والمأما السيسار المادادات
11/12	The second secon	50,000	20214	U S TREASURY BILL	99-942	Commence of the second state of the	49,971.00
				DUE 12/18/2008	****		
1	•	, i		12/18/2008		•	ADD AA
11/12		7,675,000	23847	U S TREASURY BILL	99.936	e, w. 6 jeg.	7,670,088.00
, , , , , , ,				DUE 2/12/2009			
	1		<i>'</i> ,	2/12/2009		* *	36•39
11/12				FIDELITY SPARTAN	DIV		30*39
	•	,		U S TREASURY MONEY MARKET		,	, , ,
	•			DIV 11/12/08			42,298.00
11/12		42,298	18951	FIDELITY SPARTAN	1		₹ 42 , 278•00
_				U S TREASURY MONEY MARKET			
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885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

KENN JORDAN ASSOCIATES

C/D FRANK AVELLING

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11/30/08

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YOUR TAX PAYER IDENTIFICATION NUMBER

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	28,996		28319	FIDELITY SPARTAN	1	28,995.00	
11/19				U S TREASURY MONEY MARKET FIDELITY SPARTAN U S TREASURY MONEY MARKET	DIV		:3•56
11/19		28 ₂ 996	53845	DIV 11/19/08 FIDELITY SPARTAN U.S. TREASURY MONEY MARKET	1	·	A 28,996.00
11/19	525,000		58300	U S TREASURY BILL DUE 03/26/2009	99•926	524,611.50	
11/19	13,708	A STATE OF THE STA	62681	3/26/2009 FIDELITY SPARTAN U S TREASURY MONEY MARKET		13,708.00	
's " , ss				NEW BALANCE		950,359.24	
. ,8 .	12,070			SECURITY POSITIONS ATET INC ABBOTT LABORATORIES	MKT PRICE 28.560 52.390		
	3,230 2,210 1,870			AMGEN INC APPLE INC	55•540 92•670		
	10,200 4,250 12,410			BANK OF AMERICA CHEVRON CORP CISCO SYSTEMS INC CITI GROUP INC	16-250 79-010 16-540 8-290		
MV	11,050 4,080			COCA COLA CO	46-870		
/PTAP0				CONTINUED ON PAGE 4		,	,
MWPTAP00043876							

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KENN JORDAN ASSOCIATES

C/O FRANK AVELLINO

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11/30/08

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1-ZA879-3-0

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	6,120			COMCAST CORP	17-340		
1179 1 1 2 1	3,060 10,710			CONDCOPHILIPS EXXON MOBIL CORP GENERAL ELECTRIC CO	52.520 80.150 17.170		
	21,590 510 5,100	,		GDOGLE HEWLETT PACKARD CO	292-960 35-280		
	11,730 2,890	, , , , , , , , , , , , , , , , , , ,	, ,	INTEL CORP INTERNATIONAL BUSINESS MACHS J.P. MORGAN CHASE & CO	13.800 81.600 31.660	,	
	7,650 5,610 2,380			JOHNSON & JOHNSON MCDONALDS CORP	58•580 58•750		
	4,420 16,150		- 1 - 41	MERCK & CO MICROSOFT CORP ORACLE CORPORATION	26.720 20.220 16.090		
	8,160 3,230 13,770			PEPSICO INC PFIZER INC	56•700 16•430		
	4,250 6,120			PHILLIP MORRIS INTERNATIONAL PROCTER & GAMBLE CO OUALCOMM INC	42•160 64•350 33•570		
	3,400 2,550 13,708	100		SCHLUMBERGER LTD FIDELITY SPARTAN	50.740		
	3,570			U S TREASURY MONEY MARKET U S BANCORP	26.980		
S	2,040			UNITED PARCEL SVC INC CLASS B	57-600		
WPTAI				CONTINUED ON PAGE 5	,		
MWPTAP000438			- T				

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KENN JORDAN ASSUCIATES

C/O FRANK AVELLING

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PAGE 5

VOUR ACCOUNT NUMBER

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YOUR TAX PAYER IDENTIFICATION NUMBER

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	525,000 2,040 5,780 4,420 7,140			U S TREASURY BILL DUE 03/26/2009 3/26/2009 UNITED TECHNOLOGIES CORP VERIZON COMMUNICATIONS WAL-MART STORES INC WELLS FARGO & CO NEW	99•971 48•530 32•650 55•880 28•890		
		i de la companya de la companya de la companya de la companya de la companya de la companya de la companya de La companya de la companya de la companya de la companya de la companya de la companya de la companya de la co	and the second	MARKET VALUE OF SECURITIES LONG SHORT 8,028,465.05			
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885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

KENN JORDAN ASSOCIATES

C/D FRANK AVELLING

Redacted

11/30/08

PAGE 1

YOUR ACCOUNT NUMBER
1-ZA879-4-0

YOUR TAX PAYER IDENTIFICATION NUMBER

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AMOUNT CREDITED TO YOUR ACCOUNT	AMOUNT DEBITED TO YOUR ACCOUNT	PRICE OR SYMBOL	DESCRIPTION	TRN	SOLD DELIVERED OR SHORT	SOUGHT RECEIVED OR LONG	DATE
475,380.00			BALANCE FORWARD	,			
268,430.00		15.800	S & P 100 INDEX	45002	170		11/12
00	302,770.00	17-800	NOVEMBER 460 CALL S & P 100 INDEX	49328	•	170	11/12
441,830.00		26	NOVEMBER 450 PUT S & P 100 INDEX DECEMBER 430 CALL	35432	170		11/19
00	510,170.00	30	S & P 100 INDEX DECEMBER 420 PUT	39757	•	170	11/19
00	51,170.00	3	S & P 100 INDEX NOVEMBER 460 CALL	44082		170	11/19
628,830.00		37	S & P 100 INDEX NOVEMBER 450 PUT	48407	170	. , ,	11/19
950,360-00			NEW BALANCE		A Same Comment		
Y I Salaki		MKT PRICE 23-300	SECURITY POSITIONS S & P 100 INDEX		170		
1: 4:		16-500	DECEMBER 430 CALL S & P 100 INDEX		87421 	170	
· · · · · · · · · · · · · · · · · · ·	810. Ha		DECEMBER 420 PUT MARKET VALUE OF SECURITIES				
			LONG SHORT 280,500.00 396,100.00-				
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						,	WPTAP0
				•			MWPTAP00043

PLESSE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

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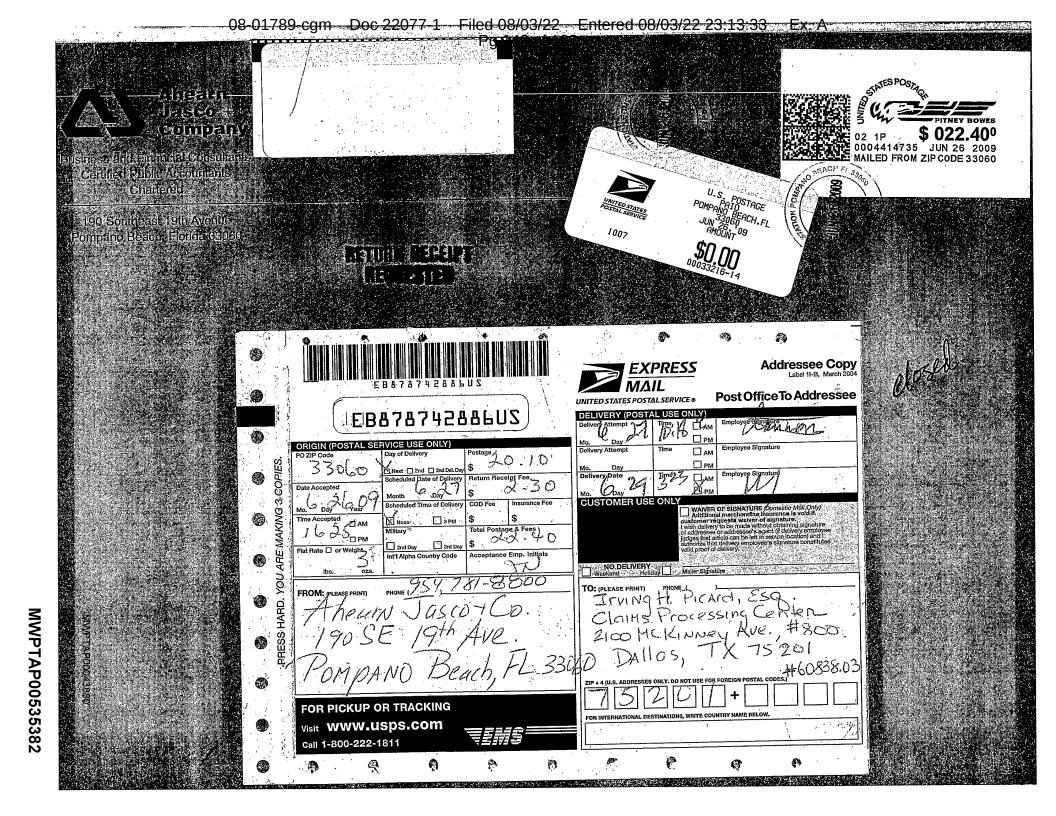


EXHIBIT 15

08-01789-cgm Doc 22077-1 Filed 08/03/22 Entered 08/03/22 23:13:33 Ex. A Pg 145 of 182

CUSTOMER CLAIM	CU	ST	ON	IER	CL	AIM
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Bernard L. Madoff Investment Securities LLC

Case No 08-01789-BRL

U.S. Bankruptcy Court for the Southern District of New York

Claim Number:

013035

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

RECEIVED

(Please r	wint a	DECEMBER 11, 2008	JUN 2 9 2009
Name of Mailing City Account	Custo Addre Reda No.:	omer: Pachel Anne Rosenthal Trustess: Clo Frank Avelling Redacted	1
NOTE:	THE SHO PRO REC REC SUE LES	FORE COMPLETING THIS CLAIM FORM, BE SURE TO E ACCOMPANYING INSTRUCTION SHEET. A SEPAI DULD BE FILED FOR EACH ACCOUNT AND, TO FORECTION AFFORDED UNDER SIPA, ALL CUSTOMER CEIVED BY THE TRUSTEE ON OR BEFORE March CEIVED AFTER THAT DATE, BUT ON OR BEFORE JUBJECT TO DELAYED PROCESSING AND TO BEING SASS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOR STIFIED MAIL - RETURN RECEIPT REQUESTED.	READ CAREFULLY RATE CLAIM FORM RECEIVE THE FULL R CLAIMS MUST BE 4, 2009. CLAIMS RILL BE ATISFIED ON TERMS RUR CLAIM FORM BY
1.	Clai	m for money balances as of December 11, 2008 :	
	a.	The Broker owes me a Credit (Cr.) Balance of	\$
	b.	I owe the Broker a Debit (Dr.) Balance of	\$
	C.	If you wish to repay the Debit Balance,	
		please insert the amount you wish to repay and	
		attach a check payable to "Irving H. Picard, Esq.,	
		Trustee for Bernard L. Madoff Investment Securities	LLC."
		If you wish to make a payment, it must be enclose	d
		with this claim form.	\$
	d.	If balance is zero, insert "None."	None

1

2. Claim for securities as of **December 11, 2008**:

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

		YES	NO
a.	The Broker owes me securities	X	
b.	I owe the Broker securities		<u>×</u>
C.	If yes to either, please list below:		
			r of Shares or ount of Bonds
Date of Transaction (trade date)	Name of Security	The Broke Owes Me (Long)	
Partners	ship security position in	Kenn Jord	an
	ship security position in Associates Valued	at \$ 288,0	076

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		X
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		X
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)		<u> </u>
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.		Х_
9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.		
	Please list the full name and address of anyone assi preparation of this claim form:	isting you in the	

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.*

Date Signature	Date 24 June 2009	Signature_	Hanon	Canol And	Jui
			TRUSTES		

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

*Costomer reserves the right to amend the claim for any purpose.

Redacted

Irving H. Picard, Esq.
Trustee for Bernard L. Madoff Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

Sent to:

Irving H. Picard, Esq.

Trustee for Bernard L. Madoff Investment Securities LLC

Claims Processing Center

2100 McKinney Avenue, Suite 800

Dallas, Texas 75201

Enclosed please find the following filings for customers of Bernard L. Madoff Investment Securities LLC:

- 1. Lisa Cianciaroso Trust, Customer of Bernard L. Madoff Investment Securities LLC via Aster Associates (#1ZB509)
- 2. Louise Cianciaroso Trust, Customer of Bernard L. Madoff Investment Securities LLC via Aster Associates (#1ZB509)
- 3. Heather Lowles Trust, Customer of Bernard L. Madoff Investment Securities LLC via Aster Associates (#1ZB509)
- 4. Tiffany Lowles Trust, Customer of Bernard L. Madoff Investment Securities LLC via Aster Associates (#1ZB509)
- 5. Melanie Lowles Trust, Customer of Bernard L. Madoff Investment Securities LLC via Aster Associates (#1ZB509)
- 6. Carmella Mazzella Trust, Customer of Bernard L. Madoff Investment Securities LLC via Aster Associates (#1ZB509)
- 7. Taylor McEvoy Trust, Customer of Bernard L. Madoff Investment Securities LLC via Aster Associates (#1ZB509)
- 8. Madison McEvoy Trust, Customer of Bernard L. Madoff Investment Securities LLC via Aster Associates (#1ZB509)
- 9. Rachel Anne Rosenthal, Customer of Bernard L. Madoff Investment Securities LLC via Aster Associates (#1ZB509)
- 10. Rachel Anne Rosenthal Trust, Customer of Bernard L. Madoff Investment Securities LLC via Aster Associates (#1ZB509)
- 11. Rachel Anne Rosenthal Trust #3, Customer of Bernard L. Madoff Investment Securities LLC via Aster Associates (#1ZB509)
- 12. Dionigi Vitiello, Customer of Bernard L. Madoff Investment Securities LLC via Aster Associates (#1ZB509)
- 13. Mary Vitiello Marriage Trust, Customer of Bernard L. Madoff Investment Securities LLC via Aster Associates (#1ZB509)
- 14. Rachel Anne Rosenthal Trust, Customer of Bernard L. Madoff Investment Securities LLC via Kenn Jordan Associates (#1ZA879)

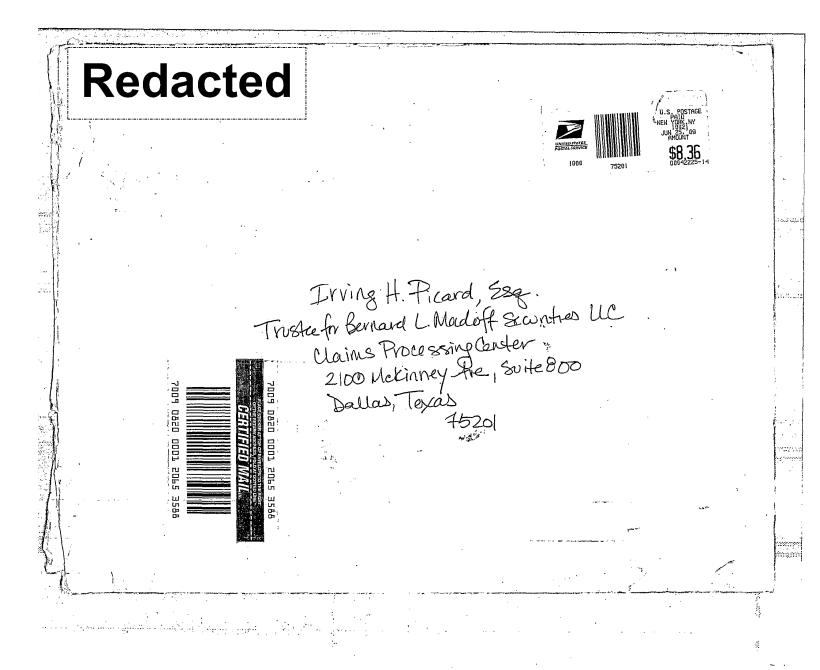


EXHIBIT 16

CUSTOMER CLAIM

Bernard L. Madoff Investment Securities LLC Case No 08-01789-BRL

U.S. Bankruptcy Court for the Southern District of New York
Claim Number:

012824

Date Received____

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

RECEIVED

DECEMBER 11, 2008

JUN 29 2009

Irving H. Picard, Esq.
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

Account Number: 1ZB249

MAYFAIR BOOKKEEPING SERV INC

MAYFAIR PENSION PLAN C/O FRANK AVELLINO

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Provide your office and home telephone no.

OFFICE: Sed of the sed

Taxpaver LD. Number (Social Security No.)

NOTE:

BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

1. Claim for money balances as of **December 11, 2008**:

a. The Broker owes me a Credit (Cr.) Balance of

b. I owe the Broker a Debit (Dr.) Balance of

\$_____O

502180406

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· ·		Pg 154 of 18		*

C	С.	If you wish to repay the Debit Balance,			
		please insert the amount you wish to repay	and		
		attach a check payable to "Irving H. Picard,	Esq.	•,	
		Trustee for Bernard L. Madoff Investment S	ecur	ities LLC."	
		If you wish to make a payment, it must be	encl	osed	
		with this claim form.		\$	0
c	d.	If balance is zero, insert "None."		No	NE
2.	Clair	n for securities as of December 11, 2008 :			
PLEASE I	DO I	NOT CLAIM ANY SECURITIES YOU HAVE	IN Y	OUR POSSE	ESSION.
•	•		Y	ES	NO
	a.	The Broker owes me securities	!	<u> </u>	
k	b.	I owe the Broker securities			<u>/</u>
C	c.	If yes to either, please list below:			
				Number o	f Shares or
				Tace Amou	int of Donas
Date of Transactio				The Broker	l Owe
(trade date		Name of Security		Owes Me (Long)	the Broker (Short)
•	•	·		, 0,	` ,
41 6					
Nov 20	08	SEE ATTACHED STATEMEN			
	·	DATES NOV 30, 2008			
					

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

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information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR

COMPLETION.

		<u>YES</u>	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.	-	
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	•	
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		<u> </u>
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	·	· , · · · · · · · · · · · · · · · · · ·

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· ·		Pg 156 of 18	2	

9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.
	Please list the full name and address of anyone assisting you in the preparation of this claim form: Rebecca Mersonough CPA co Ahearn Tasco + Co, 190 SE 19 Ave, Pompeno Beach Fe 33060.
	annot compute the amount of your claim, you may file an estimated claim. In that ease indicate your claim is an estimated claim.
CONVI	A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. STION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR ONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.
THE FO	DREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY
Date _	June 25, 2009 Signature Frank Curthus
Date	/ Signature
address than a p	ership of the account is shared, all must sign above. Give each owner's name, phone number, and extent of ownership on a signed separate sheet. If other ersonal account, e.g., corporate, trustee, custodian, etc., also state your capacity hority. Please supply the trust agreement or other proof of authority.)
	This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:
	Irving H. Picard, Esq.,

Irving H. Picard, Esq., Trustee for Bernard L. Madoff Investment Securities LLC Claims Processing Center

2100 McKinney Ave., Suite 800 Dallas, TX 75201

* Customer reserves the right to amend the claim for any purpose.

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair. London W1J 8DT Tel 020 7493 6222

MAYFAIR BOOKKEEPING SERV INC MAYFAIR PENSION PLAN C/O FRANK AVELLINO

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				BALANCE FORWARD		1,556,395.09	
13/06	3,150		11106	APPLE INC	105.380	332,073.00	
1/06	5,600		11341	ABBOTT LABORATORIES	55.090	308,728.00	
1/06	3,850		11576	AMGEN INC	60.350	232,501.50	
1/06	2,800		11811	BOEING CO	51-120	143,248.00	
11/06	18,200		12046	BANK OF AMERICA	23-840	434,616.00	
1/06	2,100		12281	BAXTER INTERNATIONAL INC	60-600	127,344.00	
1/06	4,200		12516	BANK OF NEW YORK MELLON CORP	32-290	135,786.00	
11/06	7,000		12751	BRISTOL MYERS SQUIBB COMPANY	20.610	144,550.00	
11/06	2,450		12986	ANHEUSER BUSCH COS INC	62-430	153,051.50	
1706	19,600		13221	CITI GROUP INC	13-530	265,972.00	
11/06	10,500		13456	COMCAST CORP	15.790	166,215.00	
Li Li			ŀ	CLA			
11/06	5,600		13691	CONOCOPHILIPS	51-120	286,496.00	
11/06	21,350		13926	CISCO SYSTEMS INC	17-520	374,906.00	
11/06	5,250		14161	CVS CAREMARK CORP	30-510	160,387.50	
1/06	7,350		14396	CHEVRON CORP	73-740	542,283.00	
11/06	7,000		14631	THE WALT DISNEY CO	24.760	173,600-00	
11/06	37,450		14866	GENERAL ELECTRIC CO	19-600	735,518.00	
11/06	700		15101	GOOGLE	356-520	249,592.00	
11/06	1,400		15336	GOLDMAN SACHS GROUP INC	91.870	128,674.00	
1/06	6,300		15571	HOME DEPOT INC	23.300	147,042.00	
17/06	8,750		15806	HEWLETT PACKARD CO	38-310	335,562.50	
11/06	4,900		16041	INTERNATIONAL BUSINESS MACHS	92-800	454,916.00	
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PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

MAYFAIR PENSION PLAN

C/O FRANK AVELLINO

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair. London W1J 8DT Tel 020 7493 6222

11/30/08

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MAYFAIR BOOKKEEPING SERV INC

	i				1-26249-3-	1777778200	
DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
1/06	19,950		16276	INTEL CORP	16.070	321,394.50	
1/06	10,150		16511	MOZNHOL 3 NOZNHOL	61-310	622,702.50	
106	13,300		16746	J.P. MORGAN CHASE & CO	40.910	544,635.00	
106	5,600		16981	KRAFT FOOD INC	29-110	163,240.00	
106	7,000		17216	COCA COLA CO	44-490	311,710.00	
/06	4,200		17451	MCDONALDS CORP	57-900	243,348.00	
706	4,200		17686	MEDTRONIC INC	40.310	169,470.00	
106	2,450		17921	3M COMPANY	63-590	155,893.50	
1/06	7,350		18156	ALTRIA GROUP INC	19-160	141,120.00	
106	7,700		18391	MERCK & CO	30.780	237,314.00	
106	28,350		18626	MICROSOFT CORP	22-310	633,622.50	•
106	14,350		18861	ORACLE CORPORATION	18-110	260,452.50	
106	3,150		19566	OCCIDENTAL PETROLEUM CORP	54-290	171,139.50	
106	5,600		19801	PEPSICO INC	57	319,424-00	
/06	24,150		20036	PFIZER INC	17-690	428,179.50	
106	10,850	*	20271	PROCTER & GAMBLE CO	64-570	701,018.50	
1/06	7,700		20506	PHILLIP MORRIS INTERNATIONAL	42.730	329,329.00	
1/06	5,950		20741	QUALCOMM INC	37-810	225,207.50	
1/06	4,200		20976	SCHLUMBERGER LTD	51.760	217,560.00	
106	21,000		21211	ATET INC	26.980	567,420.00	
1/06	12,950		21446	TIME WARNER INC	10.060	130,795.00	
1/06	3,500		21681	UNITED PARCEL SVC INC	52•790	184,905.00	
1/06	6,300		21916	U S BANCORP	29-550	186,417.00	
1/06	3,500		22151	UNITED TECHNOLOGIES CORP	54+920	192,360.00	
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885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London WIJ BDT Tel 020 7493 6222

MAYFAIR BOOKKEEPING SERV INC MAYFAIR PENSION PLAN C/O FRANK AVELLINO

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11/30/08

PAGE 3

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11/06 11/06								
11/06 11,900 22656 MAL-MART STORES INC 56-560 401,030-00 455,630-00 11/06 18,900 23091 EXXON MOBIL CORP 73-680 DIV 1/06/08 19,923 10871 FIDELITY SPARTAN USTREASURY MONEY MARKET DIV 11/06/08 1,050,000 48589 EXEMPTIAN 1 19,923-00 1,393,308-0	DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
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11/06 11/06					WELLS FARGO & CO NEW	33•660	401,030.00	
11/06 11/06		,				56-560	455,630.00	
11/06		• • •				73.680	1.393.308.00	
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J New York □ London

MADE BERNARD L. MADOFF INVESTMENT SECURITIES LLC

MAYFAIR PENSION PLAN C/O FRANK AVELLINO

MAYFAIR BOOKKEEPING SERV INC

Redacted

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061

Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair. London WlJ 8DT Tel 020 7493 6222

PERIOD ENDING 11/30/08 PAGE

YOUR ACCOUNT NUMBER 1-28249-3-0 YOUR TAX PAYER IDENTIFICATION NUMBER *****8200

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TAN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/06		4,300,000	49665	U S TREASURY BILL DUE 01/29/2009	99•928		4,296,904.00
11/06		2,825,000	49878	1/29/2009 U S TREASURY BILL DUE 2/12/2009	99•902		2,822,231.50
11/06	2,825,000		50115	2/12/2009 U S TREASURY BILL DUE 03/26/2009	99-802	2,819,406.50	
11/06	2,825,000		50344	3/26/2009 U S TREASURY BILL DUE 4/02/2009	99•751	2,817,965.75	
11/06	2,825,000		50573	4/02/2009 U S TREASURY BILL DUE 04/09/2009 4/09/2009	99-726	2,817,259.50	
11/07 11/07 11/07 11/07 11/07 11/07 11/07 11/07 11/07	2,178 3,872 2,662 1,936 12,342 1,452 2,662 4,840 1,694 13,068		23627 23862 24097 24332 24567 24802 25037 25272 25507 25742	APPLE INC ABBOTT LABORATORIES AMGEN INC BOEING CO BANK OF AMERICA BAXTER INTERNATIONAL INC BANK OF NEW YORK MELLON CORP BRISTOL MYERS SQUIBB COMPANY ANHEUSER BUSCH COS INC CITI GROUP INC	108-800 56-590 62-070 53-640 23-720 61-740 34-210 21-020 64-190 14-410	237,053.40 219,270.48 165,336.34 103,924.04 293,245.24 89,704.48 91,173.02 101,929.80 108,804.86 188,831.88	
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11/30/08

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/07	7,018		25977	COMCAST CORP	17-390	122,323.02	-
				CL A			
11/07	3,630		26212	CONOCOPHILIPS	53.060	192,752.80	
11/07	14,278		26447	CISCO SYSTEMS INC	17-580	251,578-24	
11/07	3,388		26682	CVS CAREMARK CORP	31-720	107,602-36	
11/07	5,082		26917	CHEVRON CORP	75•450	383,639.90	
11/07	4,598		27152	THE HALT DISNEY CO	25-620	117,983.76	
11/07	25,410		27387	GENERAL ELECTRIC CO	19-810	504,388.10	
11/07	484		27622	GOOGLE	349-160	169,012.44	
11/07	968		27857	GOLDMAN SACHS GROUP INC	89.070	86,257.76	
11/07	4,114		28092	HOME DEPOT INC	22.480	92,646.72	•
11/07	6,050		28327	HEWLETT PACKARD CO	38-820	235,103.00	
11/07	3,388		28562	INTERNATIONAL BUSINESS MACHS	92•430	313,287.84	
11/07	13,552		28797	INTEL CORP	16	217,374.00	
11/07	6,776		29032	NOSNHOL 3 NOSNHOL	61.820	419,163.32	
11/07	9,196		29267	J.P. MORGAN CHASE & CO	40.960	377,035.16	
11/07	3,630		29502	KRAFT FOOD INC	29.710	107,992.30	
11/07	4,840		29737	COCA COLA CO	46.580	225,640.20	
11/07	2,662		29972	MCDONALDS CORP	57-510	153,197.62	
11/07	2,662		30207	MEDTRONIC INC	41-140	109,620.68	
11/07	1,694		30442	3M COMPANY	64-880	109,973.72	
11/07	5,082		30677	ALTRIA GROUP INC	19.370	98,641.34	
11/07	5,324		30912	MERCK & CD	30•480	162,487.52	
11/07	19,360		31147	MICROSOFT CORP	22.940	444,892.40	
11/07	9,680		31382	ORACLE CORPORATION	18-470	179,176-60	
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> MAYFAIR PENSION PLAN C/O FRANK AVELLINO

MAYFAIR BOOKKEEPING SERV INC

885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061

Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair. London W1J 8DT Tel 020 7493 6222

PERIOD ENDING 11/30/08 PAGE 6

	Re	edacted			YOUR ACCOUNT NUMBER YOUR TAX PAYER IDENTIFICATION NO 1-ZB249-3-0 ******8200				
DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT		
11/07	1,936		32087	OCCIDENTAL PETROLEUM CORP	54-380	105,356-68			
11/07	3,872	ļ	32322	PEPSICO INC	58-630	227,169.36			
11/07	16,456		32557	PFIZER INC	18	296,866.00			
11/07	7,502		32792	PROCTER & GAMBLE CO	65-180	489,280.36			
11/07	5,082		33027	PHILLIP MORRIS INTERNATIONAL	43.640	221,981.48			
11/07	4,114		33262	QUALCOMM INC	37-690	155,220-66			
12/07	2,904		33497	SCHLUMBERGER LTD	51-770	150,456.08			
11/07	14,036		33732	ATET INC	28-910	406.341.76			
11/07	8,712		33967	TIME WARNER INC	10-110	88,426.32			
11/07	2,420	,	34202	UNITED PARCEL SYC INC	53-680	130,001.60	-		
11/07	4,356		34437	U S BANCORP	30•790	134,295-24			
11/07	2,420		34672	UNITED TECHNOLOGIES CORP	56	135,616.00			
11/07	6,776		34907	VERIZON COMMUNICATIONS	31-810	215,815.56			
11/07	8,228		35142	WELLS FARGO & CO NEW	34-080	280,739.24			
11/07	5,566		35377	WAL-MART STORES INC	56-730	315,981.18			
11/07	12,826		35612	EXXON MOBIL CORP	75-280	966,054.28			
11/07		ļ		FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 11/07/08	DIV		•3		
11/07		19,923	11121	FIDELITY SPARTAN U.S. TREASURY MONEY MARKET	1		19,923.0		
11/07		2 , 825 , 000	11371	U S TREASURY BILL DUE 02/05/09 2/05/2009	99•923	·	2,822,824.7		
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MAYFAIR BOOKKEEPING SERV INC

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YOUR TAX PAYER IDENTIFICATION NUMBER
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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/07		2,675,000	11586	U S TREASURY BILL DUE 02/19/2009	99-887		2,671,977.25
11/07		2,675,000	11802	2/19/2009 U S TREASURY BILL DUE 02/26/2009	99•889		2,672,030-75
11/07		2,825,000	12012	2/26/2009 U S TREASURY BILL DUE 03/05/09	99•866		2,821,214.50
11/07	50,000		12349	3/05/2009 U S TREASURY BILL DUE 04/09/2009 4/09/2009	99•720	49,860.00	
11/67	50,000		12569	U S TREASURY BILL DUE 4/16/2009 4/16/2009	99•671	49,835.50	
11/07	5,585		12804	FIDELITY SPARTAN U.S. TREASURY MONEY MARKET	1	5,585.00	
11/10 11/10 11/10 11/10 11/10 11/10 11/10	2,682 4,768 3,278 2,384 15,496 2,086 3,576 6,258		36087 36322 36557 36792 37027 37262 37497 37732	APPLE INC ABBOTT LABORATORIES AMGEN INC BOEING CO BANK OF AMERICA BAXTER INTERNATIONAL INC BANK OF NEW YORK MELLON CORP BRISTOL MYERS SQUIBB COMPANY	108•720 55•910 59•620 52•190 24•050 60•770 33•480 21•310	291,694.04 266,768.88 195,565.36 124,515.96 373,297.80 126,849.22 119,867.48 133,607.98	
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PERIOD ENDING 11/30/08 PAGE 8

YOUR ACCOUNT NUMBER 1-ZB249-3-0 YOUR TAX PAYER IDENTIFICATION NUMBER *****8200

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/10	2,086		37967	ANHEUSER BUSCH COS INC	64.090	133,774.74	
11/10	16,986		38202	CITI GROUP INC	14.270	243,069-22	
11/10	8,940		38437	COMCAST CORP	17.410	156,002-40	
				CL A			
11/10	4,768		38672	CONOCOPHILIPS	54-130	258,281.84	
11/10	18,178		38907	CISCO SYSTEMS INC	18.080	329,385.24	
11/10	4,470		39142	CVS CAREMARK CORP	31.300	140,089.00	
11/10	6,556		39377	CHEVRON CORP	76-410	501,205.96	
11/10	5,662		39612	THE WALT DISNEY CO	25.660	145,512.92	
11/10	32,482		39847	GENERAL ELECTRIC CO	20.530	668,154-46	
11/10	596		40082	GODGLE	363.580	216,716-68	
11/10	1,490		40317	GOLDMAN SACHS GROUP INC	92.680	138,152-20	
11/10	5,364		40552	HOME DEPOT INC	23.030	123,746.92	
11/10	7,748		40787	HEWLETT PACKARD CO	37-290	289,231.92	
11/10	4,172		41022	INTERNATIONAL BUSINESS MACHS	92-660	386,743.52	
11/10	17,582	•	41257	INTEL CORP	15.880	279,905-16	
11/10	8,642		41492	NOSNHOL 3 NOSNHOL	61.320	530,272.44	
11/10	11,324		41727	J.P. MORGAN CHASE & CO	41.730	473,002.52	
11/10	4,768		41962	KRAFT FOOD INC	30.100	143,706.80	
11/10	6,258		42197	COCA COLA CO	45-500	284,989-00	
11/10	3,576		42432	MCDONALDS CORP	57-230	204,797.48	
11/10	3,576		42667	MEDTRONIC INC	40.300	144,255.80	
11/10	2,086		42902	3M COMPANY	64-690	135,026.34	
11/10	6,258		43137	ALTRIA GROUP INC	18-890	118,463.62	
11/10	6,556		43372	MERCK & CO	30.510	200,285.56	
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MAYFAIR PENSION PLAN

C/O FRANK AVELLINO

MAYFAIR BOOKKEEPING SERV INC

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PERIOD ENDING 11/30/08 9

YOUR ACCOUNT NUMBER 1-78249-3-0 YOUR TAX PAYER IDENTIFICATION NUMBER *****8200

1/10	DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
1/10	1/10	24,138		43607	MICROSOFT CORP		560,966.60	
1/10	1/10	12,218		43842	ORACLE CORPORATION	18.600	227,742.80	
17-960 380,843.68 380,843	1/10	2,682	ļ	44547	OCCIDENTAL PETROLEUM CORP	56.010	150,325.82	
11/10	1/10	4,768		44782	PEPSICO INC	57-550	274,588.40	
13/10 6,258 45487 45487 45722 QUALCOMM INC 37*310 189,214-46 189,214-46 199,791-00 189,476 11/10 10,728 46427 TIME WARNER INC 11-010 110,544-28 11/10 2,980 46662 UNITED PARCEL SVC INC 54.420 162,290-60 11/10 2,980 47132 UNITED TECHNOLOGIES CORP 56.430 168,280-40 11/10 10,132 47602 WELLS FARGO & CD NEM 34-600 350,972-20 11/10 16,092 48072 48072 EXXON MOBIL CORP 75.800 1,220,416.60 11/10 16,092 11/10 2,875,000 13195 US TREASURY MONEY MARKET 1 5,585 13040 13195 US TREASURY BILL 10 10 3712/2009 3/12/2009 3/12/2009	1/10	21,158		45017	PFIZER INC	17-960	380,843.68	
11/10 6,258 45487 45722 QUALCOMM INC 37-310 189,214-46 199,791-00 11/10 3,874 45957 SCHLUMBERGER LTD 50.500 195,791-00 189,476 11/10 10,728 46427 TIME WARNER INC 11-010 118,544-28 11/10 2,980 46662 UNITED PARCEL SVC INC 54-420 162,290-60 11/10 2,980 47367 47132 UNITED TECHNOLOGIES CORP 56-430 169,233-64 11/10 10,132 47602 47367 VERIZON COMMUNICATIONS 32 286,437-00 11/10 10,132 47602 47837 WAL-MART STORES INC 55-710 382,110-34 11/10 16,092 11/10 16,092 11/10 5,585 13040 FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 11/10/08 FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 11/10/08 TIME INC 5,580 1,220,416-60 1,2871,40 1,400 1,		9,238		45252	PROCTER & GAMBLE CO	65-230	602,963.74	
13/10				45487	PHILLIP MORRIS INTERNATIONAL	44.030	275,789.74	
11/10	1/10	5,066		45722	QUALCOMM INC	37.310	189,214.46	
11/10				45957	SCHLUMBERGER LTD	50.500	195,791.00	
11/10		18,476		46192	ATET INC	28.580	528,783.08	
CLASS B 11/10 2,980 11/1	1/10	10,728		46427	TIME WARNER INC	11.010	118,544.28	•
11/10				46662	UNITED PARCEL SVC INC	54-420	162,290.60	
11/10					CLASS B			
11/10	1/10	5,364		46897	U S BANCORP	31-510	169,233.64	
11/10	1/10	2,980		47132	UNITED TECHNOLOGIES CORP	56-430	168,280.40	
11/10 6,854 16,092 47837 48072 WAL-MART STORES INC EXXON MOBIL CORP 75.800 1,220,416.60 1,220,41	1/10	8,940		47367	VERIZON COMMUNICATIONS	32	286,437.00	
11/10 16,092 48072 EXXON MOBIL CORP 75.800 DIV US TREASURY MONEY MARKET DIV 11/10/08 11/10 5,585 13040 FIDELITY SPARTAN US TREASURY MONEY MARKET DIV 11/10/08 11/10 2,875,000 13195 US TREASURY BILL 99.875 2,871,400 DUE 3/12/2009	1/10	10,132		47602	WELLS FARGO & CO NEW	34.600	350,972.20	
FIDELITY SPARTAN US TREASURY MONEY MARKET DIV 11/10/08 11/10 5,585 13040 FIDELITY SPARTAN 1 5,588 US TREASURY MONEY MARKET US TREASURY BILL 99.875 2,875,000 13195 US TREASURY BILL 99.875 2,871,400 3/12/2009	1/10	6,854		47837	WAL-MART STORES INC	55.710	382,110.34	
U S TREASURY MGNEY MARKET DIV 11/10/08 11/10 5,585 13040 FIDELITY SPARTAN 1 5,588 U S TREASURY MONEY MARKET U S TREASURY MONEY MARKET U S TREASURY BILL DUE 3/12/2009 3/12/2009	1/10	16,092		48072	EXXON MOBIL CORP	75-800	1,220,416.60	
13/10 5,585 13040 FIDELITY SPARTAN 1 5,588 U S TREASURY MONEY MARKET U S TREASURY BILL DUE 3/12/2009 3/12/2009	1/10				FIDELITY SPARTAN	DIV		•3
13/10 5,585 13040 FIDELITY SPARTAN 1 5,588 13040 U S TREASURY MONEY MARKET 2,875,000 13195 U S TREASURY BILL 99.875 2,871,400 13195 DUE 3/12/2009 3/12/2009	ļ				U S TREASURY MONEY MARKET			
U S TREASURY MONEY MARKET 2,875,000 13195 U S TREASURY BILL DUE 3/12/2009 3/12/2009			ļ		DIV 11/10/08			
11/10 2,875,000 13195 U S TREASURY BILL 99.875 2,871,40 DUE 3/12/2009 3/12/2009	1/10	1	5 ,5 85	13040	FIDELITY SPARTAN	1		5,585.0
DUE 3/12/2009 3/12/2009					U S TREASURY MONEY MARKET			
3/12/2009	1/10		2,875,000	13195	U S TREASURY BILL	99-875		2,871,406.2
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11/30/08

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11/10		2,875,000	13412	U S TREASURY BILL DUE 03/19/2009	99•867		2,871,176-25
11/10		2,825,000	13614	3/19/2009 U S TREASURY BILL DUE 03/26/2009 3/26/2009	99•834		2,820,310.50
11/10		2,825,000	13816	U S TREASURY BILL DUE 4/02/2009	99•770		2,818,502.50
11/10		2,875,000	14049	4/02/2009 U S TREASURY BILL DUE 04/09/2009	99•742		2,867,582.50
11/10		50,000	14269	4/09/2009 U S TREASURY BILL DUE 4/16/2009	99•686		49,843.00
11/10	50,000	,	14496	4/16/2009 U S TREASURY BILL DUE 4/16/2009	99•686	49,843.00	
11/10	614	•	14728	4/16/2009 FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	614-00	
11/18	425,000	6,230	49476 49711	ANHEUSER BUSCH COS INC U S TREASURY BILL DUE 4/16/2009	70 99•830	424,277.50	436,100.00
11/18	11,823		49949	4/16/2009 FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	11,823.00	
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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/19				FIDELITY SPARTAN	DIV		∗ 3(
				U S TREASURY MONEY MARKET			
į				DIV 11/19/08			
11/19	.	12,437	54011	FIDELITY SPARTAN	1	ł	12,437.00
				U S TREASURY MONEY MARKET			
11/19	3,950,000		58444	U S TREASURY BILL	99•926	3,947,077-00	
				DUE 03/26/2009			
				3/26/2009			
11/19	12,380		63047	FIDELITY SPARTAN	1	12,380.00	
-				U S TREASURY MONEY MARKET			
11/20		3,950,000	63925	U S TREASURY BILL	99•962		3,948,499.00
				DUE 03/26/2009		1	
				3/26/2009			
11/20	3,950,000		64163	U S TREASURY BILL	99•947	3,947,906-50	
				DUE 4/16/2009			
				4/16/2009			
11/20	593		64404	FIDELITY SPARTAN	1	593•00	
				U S TREASURY MONEY MARKET		İ	
11/25	1,053	I	64643	APPLE INC	85.070	89,620-71	
11/25	1,872		64881	ABBOTT LABORATORIES	54-140	101,424.08	
11/25	1,287		65119	AMGEN INC	53-630	69,072-81	
11/25	5,967		6535 7	BANK OF AMERICA	12.980	77,689.66	
11/25	702		65595	BAXTER INTERNATIONAL INC	52-570	36,932-14	
11/25	1,404		65833	BANK OF NEW YORK MELLON CORP	24 • 690	34,720.76	
11/25	2,340		66071	BRISTOL MYERS SQUIBB COMPANY	20.140	47,220-60	
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MAYFAIR BOOKKEEPING SERV INC MAYFAIR PENSION PLAN C/O FRANK AVELLINO 11/30/08

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YOUR ACCOUNT NUMBER

1-ZB249-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/25	6,786		66309	CITI GROUP INC	6-100	41,665.60	
11/25	585		66547	COLGATE PALMOLIVE CO	62.660	36,679.10	
11/25	3,393		66785	COMCAST CORP	13.970	47,535-21	
				CL A		ļ	
11/25	1,872		67023	CONOCOPHILIPS	45•100	84,501-20	
11/25	7,020		67261	CISCO SYSTEMS INC	14.970	105,369.40	
11/25	1,755		67499	CVS CAREMARK CORP	27+040	47,525.20	
11/25	2,457		67737	CHEVRON CORP	68-710	168,918-47	
11/25	2,223		67975	THE WALT DISNEY CO	19.760	44,014-48	
11/25	819		68213	EXELON CORP	48.740	39,950.06	
11/25	12,870		68451	GENERAL ELECTRIC CO	14-010	180,822.70	•
11/25	234		68689	GOOGLE	275	64,359.00	
11/25	1,989		68927	HOME DEPOT INC	19-530	38,924-17	
11/25	2,925		69165	HEWLETT PACKARD CO	32.990	96,612.75	
11/25	1,638		69403	INTERNATIONAL BUSINESS MACHS	75-080	123,046.04	
11/25	6,786		69641	INTEL CORP	12-270	83,535.22	
11/25	3,393		69879	иогиноц з иогиноц	57.650	195,741-45	
11/25	4,446		70117	J.P. MORGAN CHASE & CO	27-760	123,597.96	
11/25	1,755		70355	KRAFT FOOD INC	25.900	45,524.50	
11/25	2,340		70593	COCA COLA CO	42+040	98,466-60	
11/25	1,287		70831	MCDONALDS CORP	55	70,836-00	
11/25	1,404		71069	MEDTRONIC INC	30-800	43,299.20	
11/25	819		71307	3M COMPANY	58 • 280	47,763.32	
11/25	2,457		71545	ALTRIA GROUP INC	16-250	40,024-25	
11/25	2,574		71783	MERCK & CO	25	64,452-00	
ļ				CONTINUED ON PAGE 13			
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PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

MAYFAIR PENSION PLAN C/O FRANK AVELLINO 885 Third Avenue New York, NY 10022 (212) 230-2424 800 334-1343 Fax (212) 838-4061 Affiliated with Madoff Securities International Limited 12 Berkeley Street Mayfair, London W1J 8DT Tel 020 7493 6222

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YOUR TAX PAYER IDENTIFICATION NUMBER

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MAYFAIR BOOKKEEPING SERV INC

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
1/25	9,360		72021	MICROSOFT CORP	18-100	169,790.00	
1/25	4,680		72735	ORACLE CORPORATION	16.050	75,301-00	
11/25	1,053		72973	OCCIDENTAL PETROLEUM CORP	44.570	45,974-21	
11/25	1,872		73211	PEPSICO INC	51-800	97,043.60	
1/25	8,073		73449	PFIZER INC	15-320	124,000.36	
11/25	3,510		73687	PROCTER & GAMBLE CO	61.940	217,549.40	
11/25	2,457		73 9 25	PHILLIP MORRIS INTERNATIONAL	36•380	89,483.66	
13/25	1,989		74163	QUALCOMM INC	29-850	59,450.65	
11/25	1,404		74401	SCHLUMBERGER LTD	46•270	65,019.08	
11/25	7,020		74639	ATET INC	25	175,780.00	
11/25	4,329	;	74877	TIME WARNER INC	8-010	34,848-29	•
13/25	1,170		75115	UNITED PARCEL SVC INC	50•760	59,435.20	
1/25	2,106		75353	U S BANCORP	23•400	49,364.40	
11/25	1,170		75591	UNITED TECHNOLOGIES CORP	44-890	52,567.30	
LI/25	3,393		75829	VERIZON COMMUNICATIONS	26•570	90,287-01	
11/25	4,563		76067	WELLS FARGO & CO NEW	23•820	108,872-66	
11/25	2,691		76305	WAL-MART STORES INC	51-450	138,558.95	
11/25	1,638		76543	WYETH	33	54,119.00	
11/25	6,318		76781	EXXON MOBIL CORP	72	455,148-00	
11/25				FIDELITY SPARTAN US TREASURY MONEY MARKET DIV 11/25/08	DIV		1.29
1/25		12,973	77372	FIDELITY SPARTAN U S TREASURY MONEY MARKET	i		12,973.00
				CONTINUED ON PAGE 14			
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11/30/08

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YOUR TAX PAYER IDENTIFICATION NUMBER

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MAYFAIR PENSION PLAN
C/O FRANK AVELLINO
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DATE BOUGHT SOLD DELIVERED ON SHORT
11/25 4,425,000 77

MAYFAIR BOOKKEEPING SERV INC

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/25 11/25	31,004	4,425,000	77612 77909	U S TREASURY BILL DUE 4/16/2009 4/16/2009 FIDELITY SPARTAN U S TREASURY MONEY MARKET	99-878	31,004.00	4,419,601.50
				NEW BALANCE		5,713,821.52	
. MVVPTAPOO	60,532 16,112 21,147 11,077 9,063 52,005 11,842 6,340 7,120 20,438 14,863 21,445 60,826 56,440 20,438 585			SECURITY POSITIONS ATET INC ABBOTT LABORATORIES ALTRIA GROUP INC AMGEN INC APPLE INC BANK OF AMERICA BANK OF NEW YORK MELLON CORP BAXTER INTERNATIONAL INC BOEING CO BRISTOL MYERS SQUIBB COMPANY CVS CAREMARK CORP CHEVRON CORP CISCO SYSTEMS INC CITI GROUP INC COCA COLA CO COLGATE PALMOLIVE CO CONTINUED ON PAGE 15	MKT PRICE 28.560 52.390 16.080 55.540 92.670 16.250 30.210 52.900 42.630 20.700 28.930 79.010 16.540 8.290 46.870 65.070		•

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PAGE 15

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C/O FRANK AVELLING

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MAYFAIR BOOKKEEPING SERV INC

MAYFAIR PENSION PLAN

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	29,851			COMCAST CORP	17-340		
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	15,870			CONOCOPHILIPS	52•520		
į.	19.483			THE WALT DISNEY CO	22-520		· ·
	819			EXELON CORP	56.210		
	54.136			EXXON MOBIL CORP	80-150		
- }	108,212			GENERAL ELECTRIC CO	17-170		
i	3,858			GOLDMAN SACHS GROUP INC	78•990		
	2,014			GODGLE	292•960		
1	25,473	+		HENLETT PACKARD CO	35•280		
-	17,767			HOME DEPOT INC	23-110		
- 1	57,870		}	INTEL CORP	13.800		}
1	14,098			INTERNATIONAL BUSINESS MACHS	81.600		
[38,266			J.P. MORGAN CHASE & CO	31.660		
-	28,961			JOHNSON & JOHNSON	58•580		
-	15,753			KRAFT FOOD INC	27-210		
- 1	11,725			MCDONALDS CORP	58.750		1
ļ	11,842			MEDTRONIC INC	30.520		
]	22,154		1	MERCK & CO	26•720		
]	81,208		į .	MICROSOFT CORP	20.220		
-	8,821			OCCIDENTAL PETROLEUM CORP	54-140		
	40,928		1	DRACLE CORPORATION	16.090		
ŀ	16,112			PEPSICO INC	56•700		
1	69,837			PFIZER INC	16-430		
	21,497			PHILLIP MORRIS INTERNATIONAL	42•160		
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PERIOD ENDING 11/30/08 16

YOUR ACCOUNT NUMBER 1-ZB249-3-0 YOUR TAX PAYER IDENTIFICATION NUMBER *****8200

C/O FRANK AVELLING Redacted

MAYFAIR BOOKKEEPING SERV INC

	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
+	31,100			PROCTER & GAMBLE CO	64-350		
	17,119		ļ	QUALCOMM INC	33.570		
	12,382		1	SCHLUMBERGER LTD	50.740		
1	31,004		1	FIDELITY SPARTAN	1 1		İ
	32,00.			U S TREASURY MONEY MARKET			
	7,049		1	3M COMPANY	66.930		
	36,719		,	TIME WARNER INC	9.050		
	18,126			U S BANCORP	26.980		
	10,070			UNITED PARCEL SVC INC	57-600		
	10,010			CLASS B			
1	10,070		1	UNITED TECHNOLOGIES CORP	48.530		ł
	29,259			VERIZON COMMUNICATIONS	32-650		
	23,161			WAL-MART STORES INC	55.880		
	34,823			WELLS FARGO & CO NEW	28-890		
	1,638			WYETH.	36-010		1
1	1,030	•					
			ł	MARKET VALUE OF SECURITIES			
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MAYFAIR BOOKKEEPING SERV INC

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PAGE 1

1-Z8249-4-0

YOUR TAX PAYER IDENTIFICATION NUMBER

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11/30/08

AMOUNT DEBITED TO YOUR ACCOUNT AMOUNT CREDITED TO YOUR ACCOUNT BOUGHT RECEIVED OR LONG SOLD DELIVERED OR SHORT TRN DESCRIPTION PRICE OR SYMBOL DATE 1,556,396.00 BALANCE FORWARD 20.300 710,150.00 350 19096 S & P 100 INDEX 11/06 NOVEMBER 470 CALL 20.500 717,850.00 350 19331 S & P 100 INDEX 11/06 NOVEMBER 460 PUT 532,158.00 242 31617 S & P 100 INDEX 22 11/07 NOVEMBER 470 CALL 334,202.00 13.800 242 31852 S & P 100 INDEX 11/07 NOVEMBER 460 PUT 369-222-00 12.400 44077 S & P 100 INDEX 298 11/10 NOVEMBER 485 CALL S & P 100 INDEX 16.800 500,938.00 44312 11/10 298 NOVEMBER 475 PUT 2,313,110.00 890 30291 S & P 100 INDEX 26 11/19 DECEMBER 430 CALL 2,670,890.00 30 30529 S & P 100 INDEX 11/19 890 DECEMBER 420 PUT 1.500 89,392.00 S & P 100 INDEX 30767 11/19 592 NOVEMBER 470 CALL 27,118.00 S & P 100 INDEX .900 11/19 298 31005 NOVEMBER 485 CALL 2,663,408.00 45 11/19 592 31243 S & P 100 INDEX NOVEMBER 460 PUT 1,757,902.00 59 298 31481 S & P 100 INDEX 11/19 NOVEMBER 475 PUT CONTINUED ON PAGE

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11/30/08

PAGE 2

1-ZB249-4-0

C/O FRANK AVELLING Redacted

MAYFAIR BOOKKEEPING SERV INC

DATE	BOUGHT	SOLD DELIVERED OR SHORT	TRN	description	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/25 11/25	117	117	72259 72497	S & P 100 INDEX DECEMBER 380 CALL S & P 100 INDEX DECEMBER 370 PUT	34	ρ 245,817.00	397,683.00
		890		NEW BALANCE SECURITY POSITIONS S & P 100 INDEX	MKT PRICE 23.300		5,713,822.00
	890	1) 117		DECEMBER 430 CALL S & P 100 INDEX DECEMBER 380 CALL S & P 100 INDEX DECEMBER 420 PUT	51 16∙500		
	117			S & P 100 INDEX DECEMBER 370 PUT	5•100		
			ng sing Single Property	MARKET VALUE OF SECURITIES LONG 1,528,170-00 2,787,400-00-		A STATE OF THE SAME OF	A Marie 10
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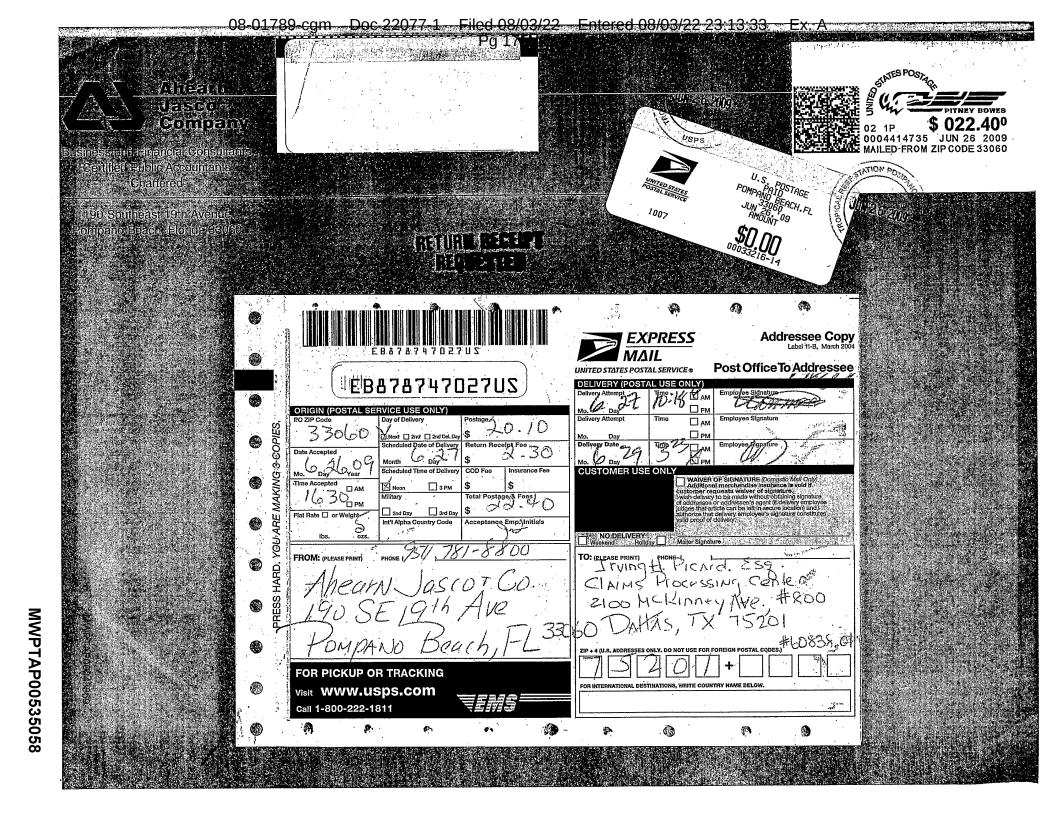


EXHIBIT 17

Bernard L. Madoff Investment Securities LLC

Case No 08-01789-BRL

U.S. Bankruptcy Court for the Southern District of New York

Claim Number: 000810

CUSTOMER CLAIM

		Date Received
BERNARD L. N	In Liquidation DECEMBER 11, 2008	RECEIVED JAN 212009
Irving H. Picard, Esq. Trustee for Bernard L. Madoff Investment Se Claims Processing Center 2100 McKinney Ave., Suite 800 Dallas, TX 75201	Provide your office and OFFICE:	nd home telephone no.
Account Number: 1B0018 DIANE K BIENES	Taxnaver I.D. Mumbe	er (Social Security No.)
Redacted	Account SEE St	Closed /31/19 atement attached
(If incorrect, please change)		
ACCOMPANYING IN BE FILED FOR EAC AFFORDED UNDER TRUSTEE ON OR E DATE, BUT ON OR PROCESSING AND	TING THIS CLAIM FORM, BE SURE TO NSTRUCTION SHEET. A SEPARATI CH ACCOUNT AND, TO RECEIVE TO R SIPA, ALL CUSTOMER CLAIMS MUS BEFORE March 4, 2009. CLAIMS I R BEFORE July 2, 2009, WILL BE TO BEING SATISFIED ON TERMS LE BE SEND YOUR CLAIM FORM BY CE TED.	E CLAIM FORM SHOULD THE FULL PROTECTION ST BE RECEIVED BY THE RECEIVED AFTER THAT SUBJECT TO DELAYED ESS FAVORABLE TO THE
**********	************	*****
	alances as of December 11, 2008 wes me a Credit (Cr.) Balance of	: \$ <u>O</u>
b. I owe the Brot	ker a Debit (Dr.) Balance of	\$O
502180406	1	

08-01789-cgm	Doc 22077-1	Filed 08/03/22	Entered 08/03/22 23:13:33	Ex. A
· ·		Pg 178 of 18		

If you wish to repay the Debit Balance,

C.

2.		please insert the amount you wish to repart attach a check payable to "Irving H. Picard Trustee for Bernard L. Madoff Investment If you wish to make a payment, it must be with this claim form. If balance is zero, insert "None." In for securities as of December 11, 2008:	d, Esq., Securities Lie enclosed \$_	<u>0</u> N 0	
PLEASE	DO	NOT CLAIM ANY SECURITIES YOU HAVI		'OSSE	SSION.
			YES		NO
	a.	The Broker owes me securities			X
	b.	I owe the Broker securities			X
	C.	If yes to either, please list below:			
Date of Transact (trade da	ion	Name of Security		Amoun Iroker Me	Shares or at of Bonds I Owe the Broker (Short)

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		YES	<u>NO</u>
3.	Has there been any change in your account since December 11, 2008? If so, please explain.	NA	
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		×
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		X
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	•	X_
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		<u>X</u>
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.		

08-01789-cgm Doc 22077-1 Filed 08/03/22 Entered 08/03/22 23:13:33 Ex. A

9.	Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.
	Please list the full name and address of anyone assisting you in the preparation of this claim form: <u>Nebecca, M. Jonovah, G.H., Aheanx Jaso H. 190 SE 19 ANE, Pompano Beach FC 33060</u> .

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

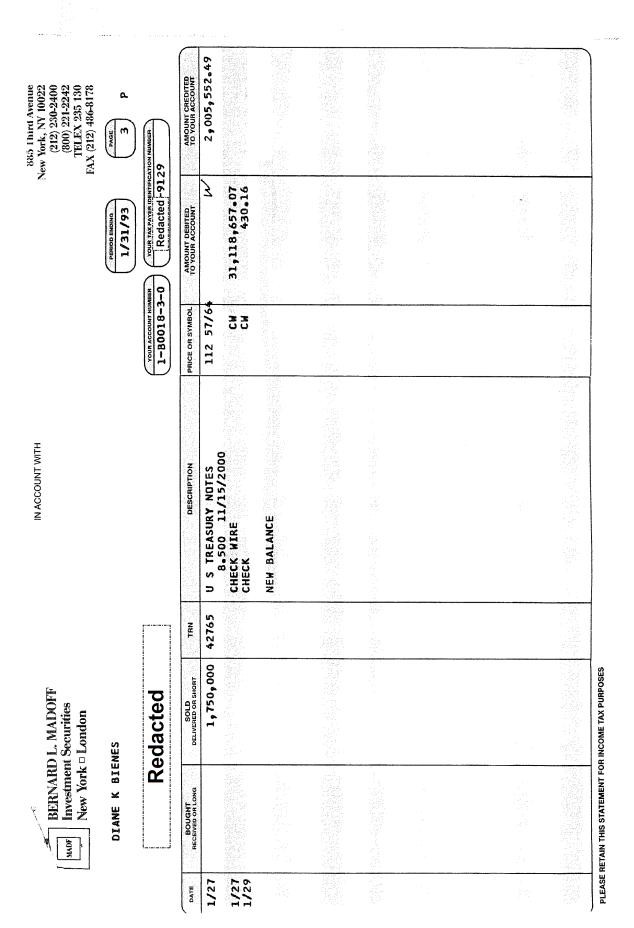
THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Date _	6 4 Jan 2009	Signature / Signature / Suns
Date _	<u> </u>	Signature

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201



AHEARN JASCO + COMPANY CERTIFIED PUBLIC ACCOUNTANTS 1190 SE 19TH AVENUE POMPANO BEACH FL 33060





Irving H. Picard, Esq.
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201